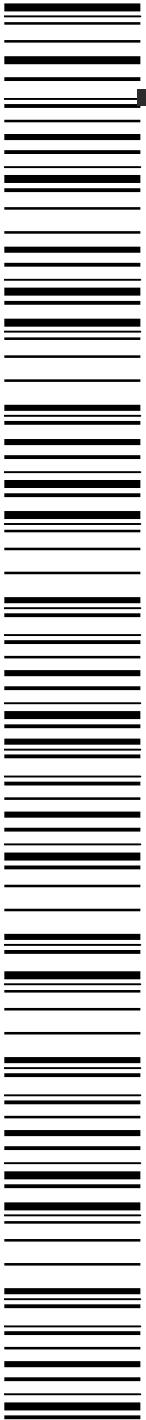


# **A Novel Representation of Sequence Data based on Structural Information for Effective Music Retrieval**

**Chia-Hsiung Lee, Chung-Wen Cho,  
Yi-Hung Wu, and Arbee L. P. Chen**

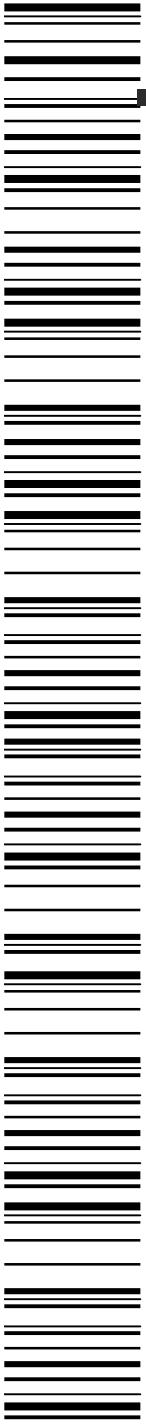
*Department of Computer Science  
National Tsing Hua University  
Hsinchu, Taiwan*



# Outline



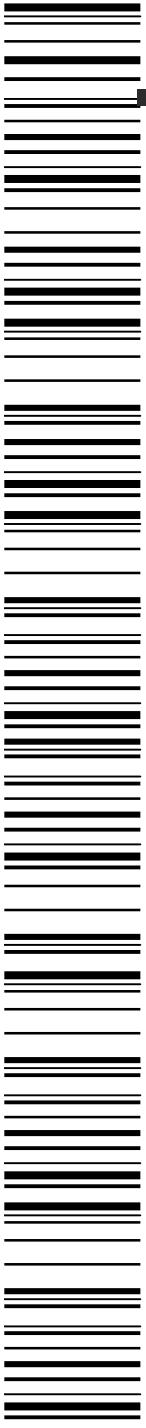
- ◆ Introduction
- ◆ Rule-based Representation
  - Frequent Rule
  - Correlative Rule
- ◆ Experimental Results
- ◆ Conclusion



# Introduction (1/4)



- ◆ The growth of **sequence data**
  - *Customer transactions, stock sequences, and music data*
- ◆ Application of sequence analysis
  - *Customer behavior analysis, stock price prediction, music classification and retrieval*
- ◆ Approaches for sequence analysis



# Introduction (2/4)

- ◆ Similarity search
  - **Sequence representation** and Similarity measure
- ◆ Two types of sequence representation
  - Global representation
  - Local representation

# Introduction (3/4)

- ◆ Sequential pattern approach

Seq. ID	Sequence	Representation	Frequency threshold = 0.4
S <sub>1</sub>	<ACGAG>	{<A>, <G>}	
S <sub>2</sub>	<EAF>	{<A>,<E>,<EA>}	
S <sub>3</sub>	<BDBDEA>	{<E>, <EA>}	
S <sub>4</sub>	<GGAGA>	{<A>, <G>}	

Sequential patterns: {<A:0.75>, <E:0.5>, <G:0.5>, <EA:0.5>}

# Introduction (3/4)

- ◆ Sequential pattern approach

Seq. ID	Sequence	Representation	Frequency threshold = 0.4
S <sub>1</sub>	<ACGAG>	{<A>, <G>}	
S <sub>2</sub>	<EAF>	{<A>,<E>,<EA>}	
S <sub>3</sub>	<BDBDEA>	{<E>, <EA>}	
S <sub>4</sub>	<GGAGA>	{<A>, <G>}	

Sequential patterns: {<A:0.75>, <E:0.5>, <G:0.5>, <EA:0.5>}

# Introduction (3/4)

- ◆ Sequential pattern approach

Seq. ID	Sequence	Representation	Frequency threshold = 0.4
S <sub>1</sub>	<ACGAG>	{<A>, <G>}	
S <sub>2</sub>	<EAF>	{<A>,<E>,<EA>}	
S <sub>3</sub>	<BDBDEA>	{<E>, <EA>}	
S <sub>4</sub>	<GGAGA>	{<A>, <G>}	

Sequential patterns: {<A:0.75>, <E:0.5>, <G:0.5>, <EA:0.5>}

# Introduction (3/4)

- ◆ Sequential pattern approach
  - ✓ Losing the characteristics of sequence

Seq. ID	Sequence	Representation	Frequency threshold = 0.4
$S_1$	$\langle ACGAG \rangle$	$\{\langle A \rangle, \langle G \rangle\}$	
$S_2$	$\langle EAF \rangle$	$\{\langle A \rangle, \langle E \rangle, \langle EA \rangle\}$	
$S_3$	$\langle BDBDEA \rangle$	$\{\langle E \rangle, \langle EA \rangle\}$	
$S_4$	$\langle GGAGA \rangle$	$\{\langle A \rangle, \langle G \rangle\}$	

Sequential patterns:  $\{\langle A:0.75 \rangle, \langle E:0.5 \rangle, \langle G:0.5 \rangle, \langle EA:0.5 \rangle\}$

# Introduction (3/4)

- ◆ Sequential pattern approach
  - ✓ Losing the characteristics of sequence
  - ✓ Ignoring sequential relationship

Seq. ID	Sequence	Representation	Frequency threshold = 0.4
S <sub>1</sub>	<ACGAG>	{<A>, <G>}	
S <sub>2</sub>	<EAF>	{<A>,<E>,<EA>}	
S <sub>3</sub>	<BDBDEA>	{<E>, <EA>}	
S <sub>4</sub>	<GGAGA>	{<A>, <G>}	

Sequential patterns: {<A:0.75>, <E:0.5>, <G:0.5>, <EA:0.5>}

# Introduction (4/4)

- ◆ Markov Model approach

Markov model of <ADBECADBC>

	A	B	C	D	E
A	0	0	0	1	0
B	0	0	0.5	0	0.5
C	1	0	0	0	0
D	0	1	0	0	0
E	0	0	1	0	0

# Introduction (4/4)

- ◆ Markov Model approach

Markov model of <ADBDHCADBC>

	A	B	C	D	E
A	0	0	0	1	0
B	0	0	0.5	0	0.5
C	1	0	0	0	0
D	0	1	0	0	0
E	0	0	1	0	0

# Introduction (4/4)

- ◆ Markov Model approach
  - ✓ Losing some subsequences

Markov model of <ADBECADBC>

	A	B	C	D	E
A	0	0	0	1	0
B	0	0	0.5	0	0.5
C	1	0	0	0	0
D	0	1	0	0	0
E	0	0	1	0	0

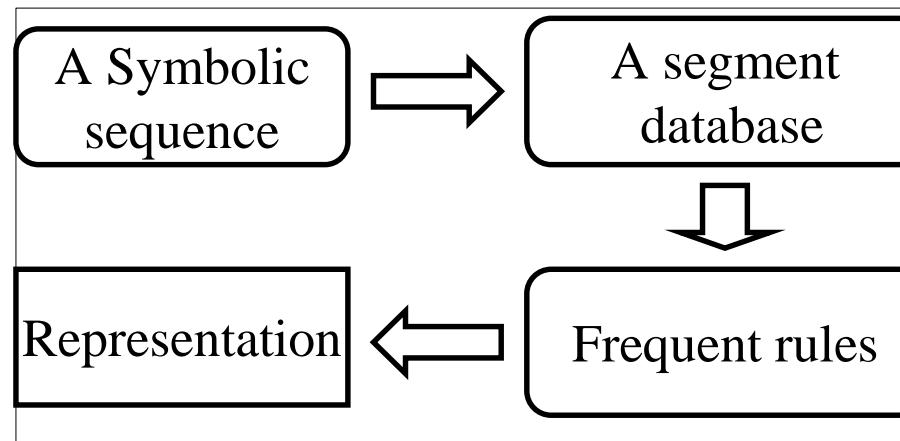
# Introduction (4/4)

- ◆ Markov Model approach
  - ✓ Losing some subsequences
  - ✓ No frequency information

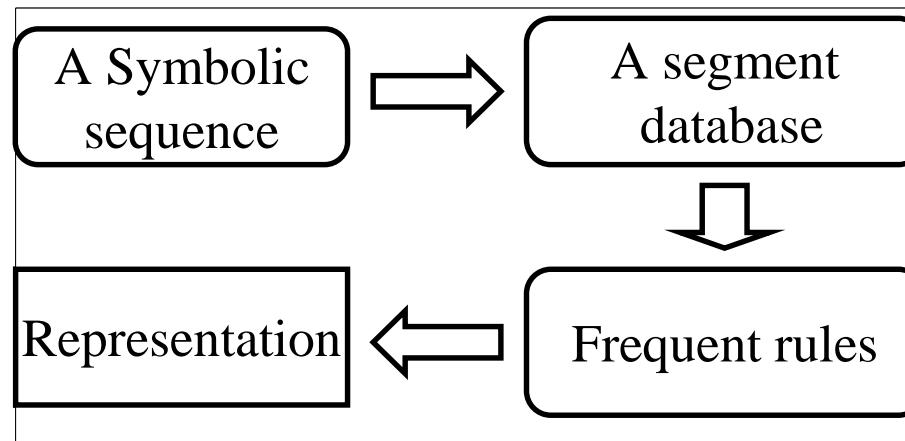
Markov model of <ADBEHCADBC>

	A	B	C	D	E
A	0	0	0	1	0
B	0	0	0.5	0	0.5
C	1	0	0	0	0
D	0	1	0	0	0
E	0	0	1	0	0

# A Rule-based Representation - Overview



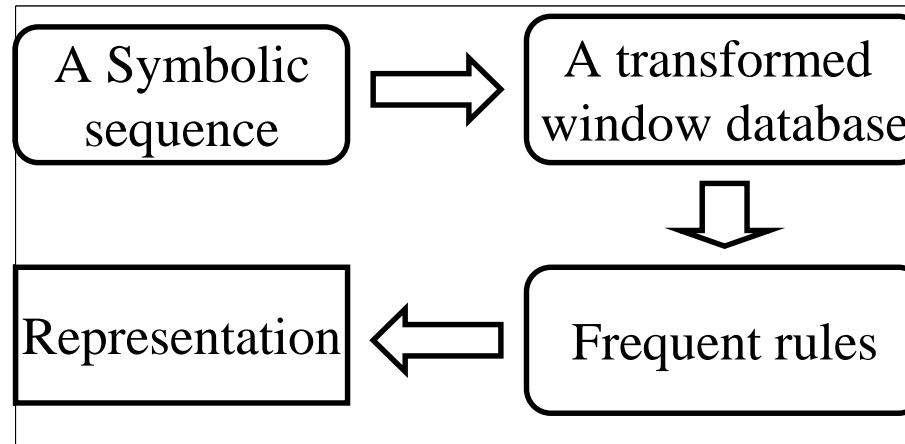
# A Rule-based Representation - Overview



A sequence <BDBCDBCADB>  
window size = 4

WID	Window	WID	Window
$W_1$	BDBC	$W_6$	BCAD
$W_2$	DBCD	$W_7$	CADB
$W_3$	BCDB	$W_8$	ADB
$W_4$	CDBC	$W_9$	DB
$W_5$	DBCA	$W_{10}$	B

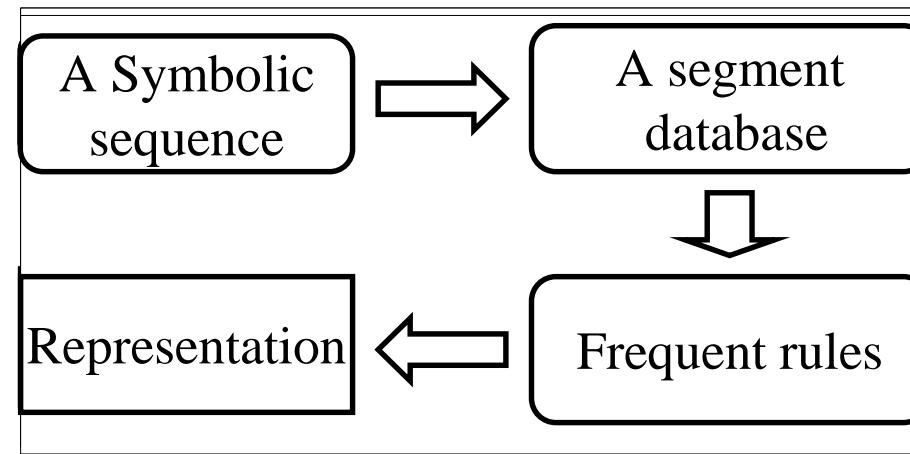
# A Rule-based Representation - Overview



- ✓ Frequent Patterns
  - ✓ subsequences whose first elements are the first elements of windows
  - ✓ satisfy the frequent frequency threshold ( $\text{minsup} = 0.2$ )
  - ✓ { $\langle B \rangle, \langle C \rangle, \langle D \rangle, \langle BC \rangle, \dots$ }

WID	Window	WID	Window
$W_1$	BDBC	$W_6$	BCAD
$W_2$	DBCD	$W_7$	CADB
$W_3$	BCDB	$W_8$	ADB
$W_4$	CDBC	$W_9$	DB
$W_5$	DBCA	$W_{10}$	B

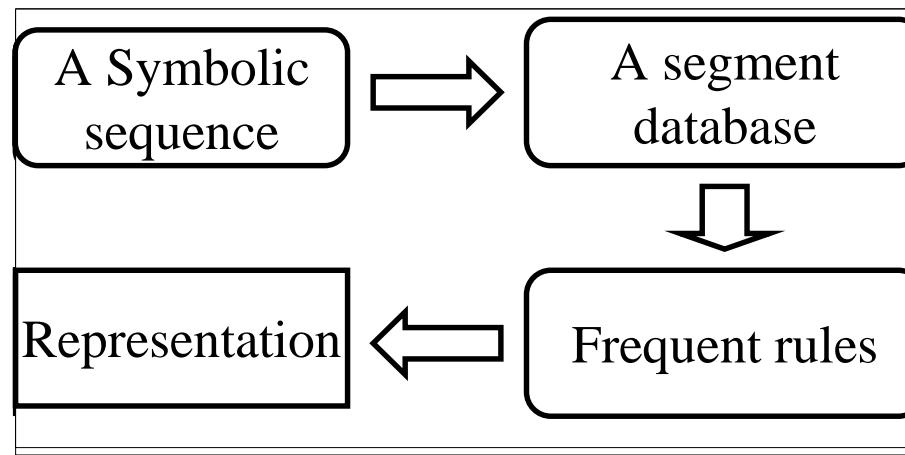
# A Rule-based Representation - Overview



- ✓ Frequent Rules
  - ✓  $X \rightarrow Y$
  - ✓  $XY$ ,  $X$  and  $Y$  must be frequent patterns
  - ✓  $XY.sup / X.sup \geq \text{minconf}$  ( $\text{minconf} = 0.6$ )
  - ✓  $B \rightarrow C$ ,  $B \rightarrow D$ , ...

WID	Window	WID	Window
$W_1$	BDBC	$W_6$	BCAD
$W_2$	DBCD	$W_7$	CADB
$W_3$	BCDB	$W_8$	ADB
$W_4$	CDBC	$W_9$	DB
$W_5$	DBCA	$W_{10}$	B

# A Rule-based Representation - Overview



- ✓ Characteristic Matrix
  - ✓  $\langle X \rangle \rightarrow \langle Y \rangle$
  - ✓ the first row represents the right parts of frequent rules
  - ✓ the first column represents the left parts of frequent rules
  - ✓ a unit represents a rule

	B	C	D
B	0	1	1
C	0	0	1
D	1	1	0

# A Rule-based Representation - Distance Measurement

- ◆ Different rules
- ◆ The union of rules

M <sub>1</sub>	B	C	CD	D
A	1	1	1	1
AC	0	0	0	1
C	1	0	0	1

M <sub>2</sub>	B	BC	C
A	1	1	1
AB	0	0	1
B	0	0	1

$$\text{Distance} = \frac{\text{The number of Different Rules}}{\text{The number of Union of Rules}}$$

$$\text{Distance}(M_1, M_2) = \frac{8}{10} = 0.8$$

# A Rule-based Representation - Distance Measurement

- ◆ Different rules
- ◆ The union of rules

M <sub>1</sub>	B	C	CD	D
A	1	1	1	1
AC	0	0	0	1
C	1	0	0	1

M <sub>2</sub>	B	BC	C
A	1	1	1
AB	0	0	1
B	0	0	1

$$\text{Distance} = \frac{\text{The number of Different Rules}}{\text{The number of Union of Rules}}$$

$$\text{Distance}(M_1, M_2) = \frac{8}{10} = 0.8$$

# A Rule-based Representation - Distance Measurement

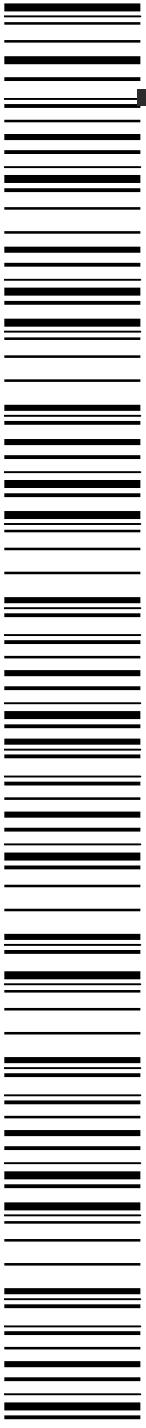
- ◆ Different rules
- ◆ The union of rules

M <sub>1</sub>	B	C	CD	D
A	1	1	1	1
AC	0	0	0	1
C	1	0	0	1

M <sub>2</sub>	B	BC	C
A	1	1	1
AB	0	0	1
B	0	0	1

$$\text{Distance} = \frac{\text{The number of Different Rules}}{\text{The number of Union of Rules}}$$

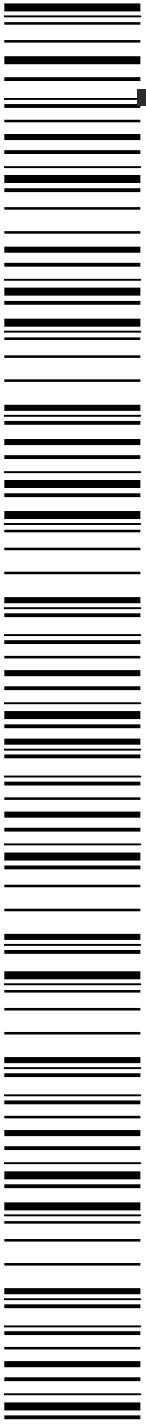
$$\text{Distance}(M_1, M_2) = \frac{8}{10} = 0.8$$



# The Problems of Rule-based Representation Using Frequent Rules

---

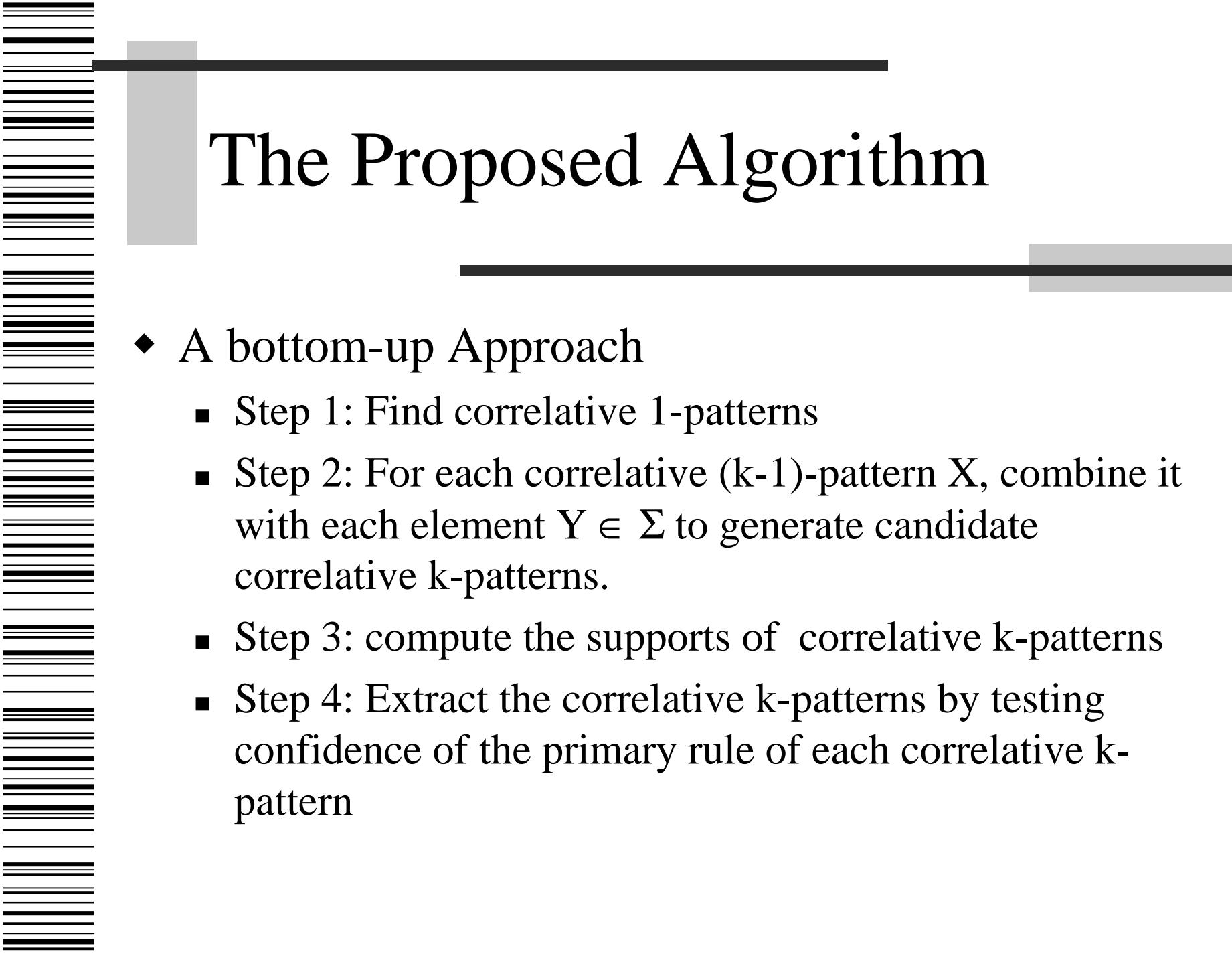
- ◆ Only relationships between frequent patterns
  - E.g. major products {Milk, Juice}  
minor products {Jelly, Cookie}
- ◆ The relationships between long patterns are hardly derived



# Correlative Patterns and Correlative Rules

---

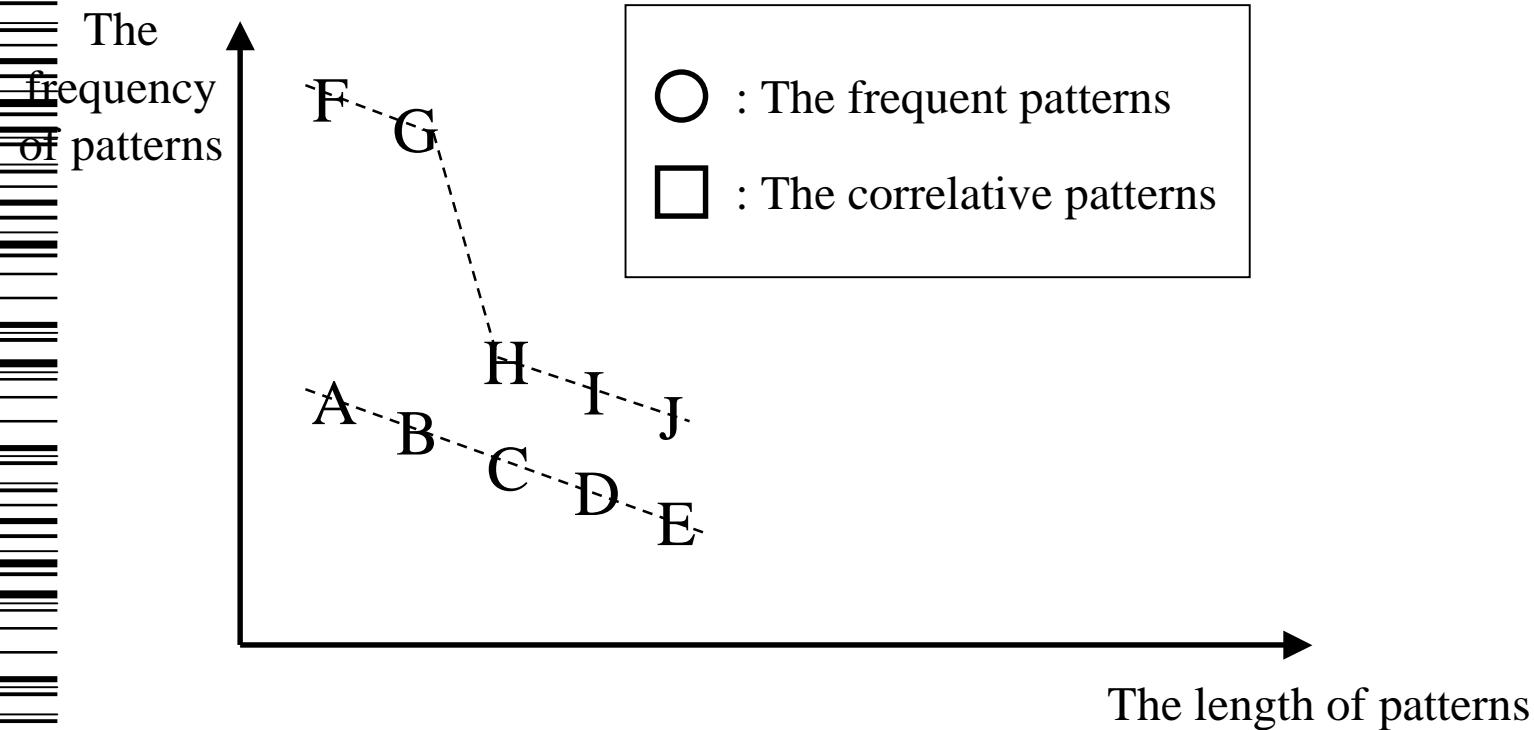
- ◆ Correlative Patterns
  - Correlative 1-pattern  $\langle x \rangle$ :  $X.sup \geq \text{minsup}$
  - Correlative k-pattern  $\langle a_1 a_2 \dots a_k \rangle$ : the confidence of  $\langle a_1 \dots a_{k-1} \rangle \rightarrow \langle a_k \rangle \geq \text{minconf}$ 
    - $\langle a_1 \dots a_k \rangle.sup \geq \langle a_1 \dots a_{k-1} \rangle.sup * \text{minconf}$
    - Primary rule
- ◆ Correlative Rules
  - given a correlative k-pattern  $\langle \beta \gamma \rangle$  where  $\beta$  and  $\gamma$  are patterns, the rule  $\langle \beta \rangle \rightarrow \langle \gamma \rangle$  the *correlative rule* if its confidence is not below the minconf.



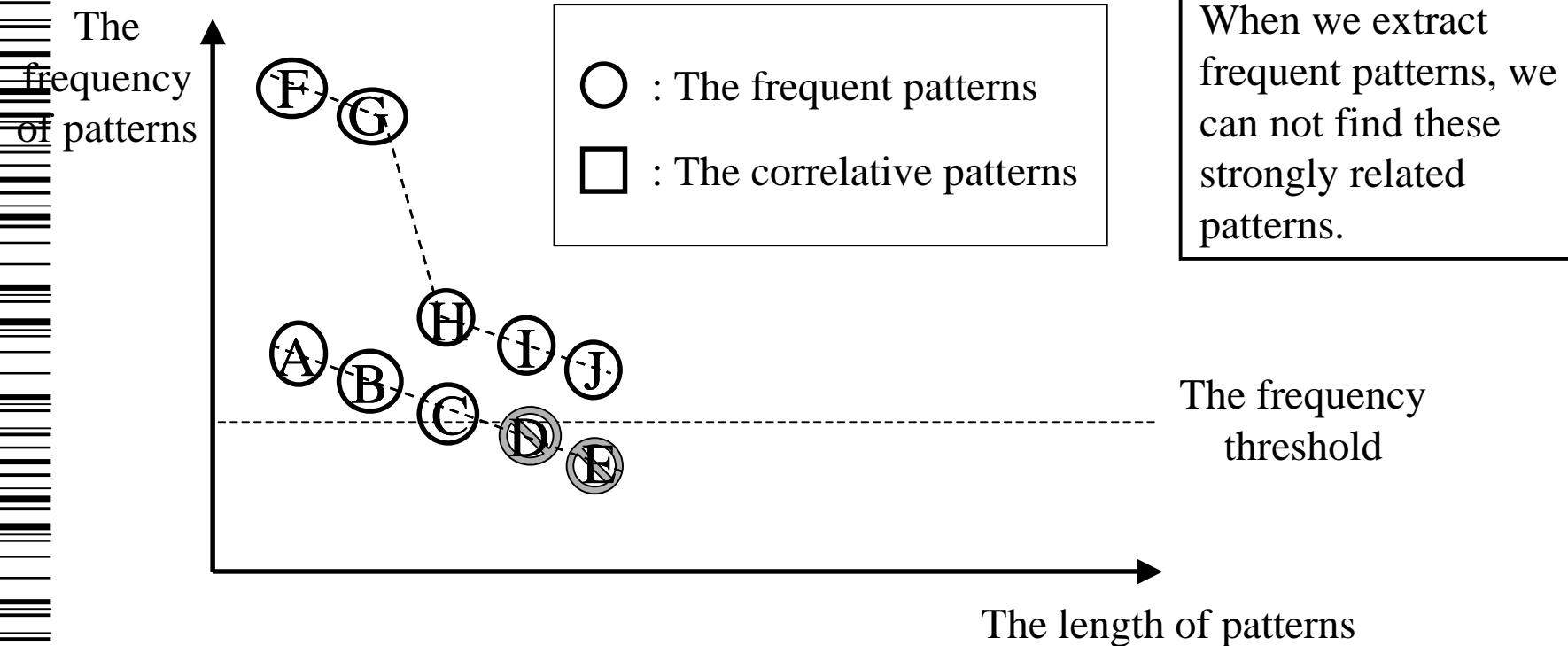
# The Proposed Algorithm

- ◆ A bottom-up Approach
  - Step 1: Find correlative 1-patterns
  - Step 2: For each correlative  $(k-1)$ -pattern  $X$ , combine it with each element  $Y \in \Sigma$  to generate candidate correlative  $k$ -patterns.
  - Step 3: compute the supports of correlative  $k$ -patterns
  - Step 4: Extract the correlative  $k$ -patterns by testing confidence of the primary rule of each correlative  $k$ -pattern

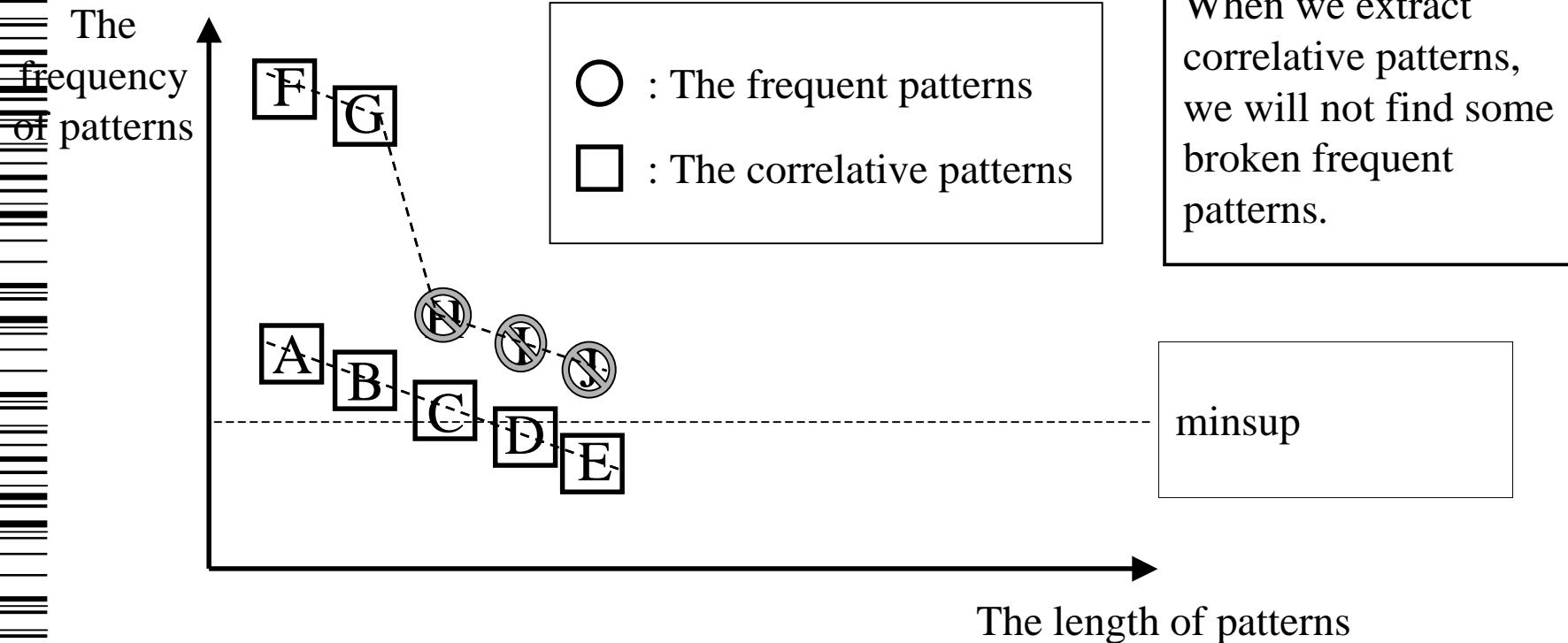
# Comparison to the Two Kinds of Patterns



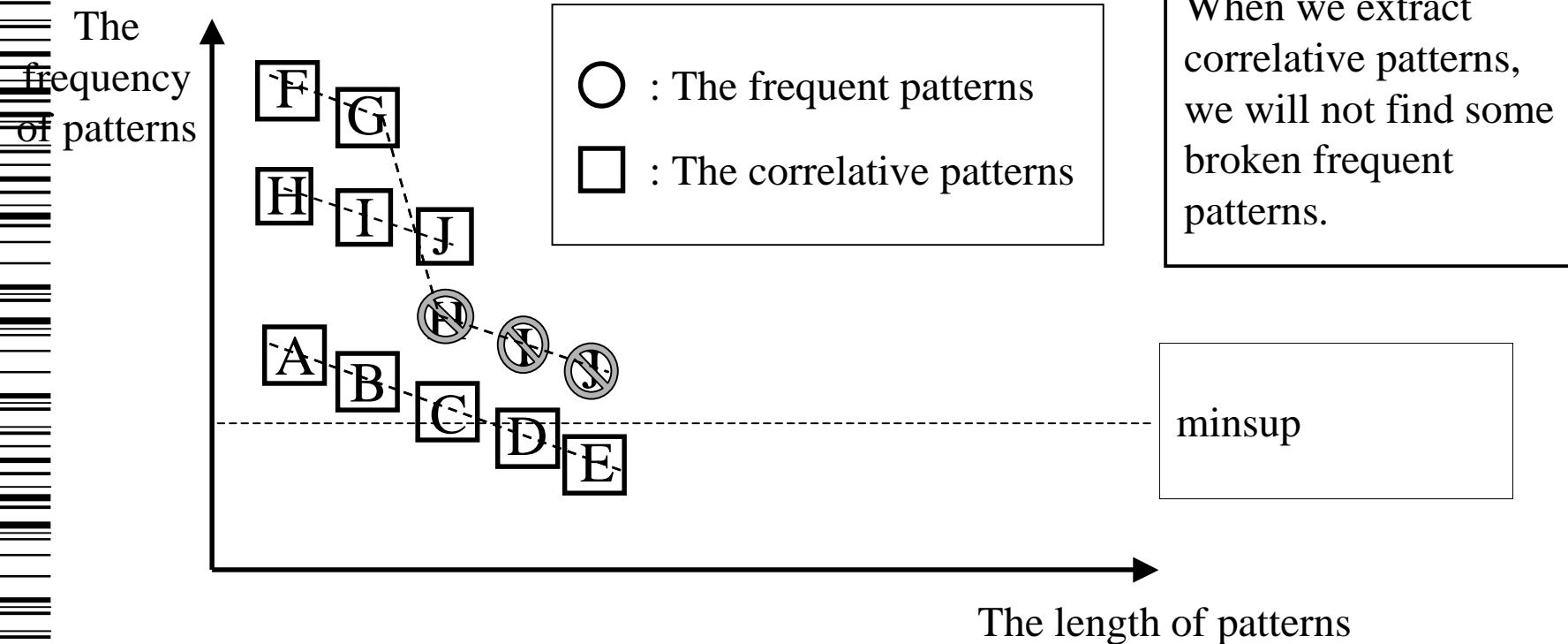
# Comparison to the Two Kinds of Patterns



# Comparison to the Two Kinds of Patterns



# Comparison to the Two Kinds of Patterns





# Experimental Results (1/7)



- ◆ Variation retrieval
  - Dataset: 2866 polyphonic music data that contains
    - Twinkle: 26 variations, Lachrimae 22 variations,
    - Folia : 17 variations
  - Relevant results: the variations of query
  - Evaluation: average precision of 11-pt recall/precision

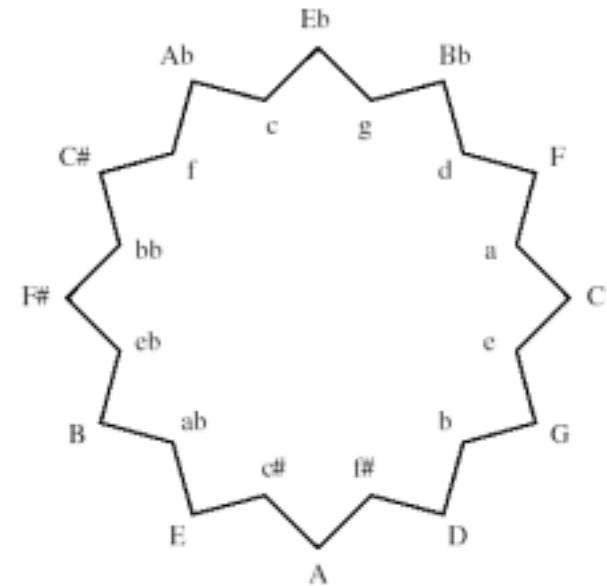
# Experimental Results (2/7)

- ◆ Harmonic Description (“Harmonic Models for Polyphonic Music Retrieval”)[PC02]

- Chord lexicon
  - 24 major and minor triads

$$Context(s, c) = \sum_{p \in \text{lexicon}} \frac{|s \cap p|}{Edist(p, c) + 1}$$

- s: simultaneity,
  - c: lexicon chord



# Experimental Results (3/7)

## ◆ Markov Modeling(MM)

Lexical Chord	Timestep (Simultaneity)				
	1	2	3	4	5
P	0.2	0.1	0.7	0.5	0
Q	0.5	0.1	0.1	0.5	0.1
R	0.3	0.8	0.2	0	0.9

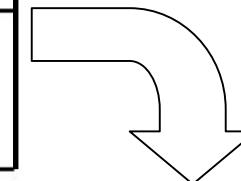
Markov Model			
	P	Q	R
P	0.293	0.287	0.42
Q	0.1417	0.1333	0.725
R	0.5308	0.1615	0.3077

$$\begin{array}{lcl} P \rightarrow P & = & 0.2 * 0.1 = 0.02 \\ P \rightarrow Q & = & 0.2 * 0.1 = 0.02 \\ P \rightarrow R & = & 0.2 * 0.8 = 0.16 \\ Q \rightarrow P & = & 0.5 * 0.1 = 0.05 \\ Q \rightarrow Q & = & 0.5 * 0.1 = 0.05 \\ Q \rightarrow R & = & 0.5 * 0.8 = 0.4 \\ R \rightarrow P & = & 0.3 * 0.1 = 0.03 \\ R \rightarrow Q & = & 0.3 * 0.1 = 0.03 \\ R \rightarrow R & = & 0.3 * 0.8 = 0.24 \\ \\ \text{TOTAL} & = & 1.0 \end{array}$$

# Experimental Results (3/7)

## ◆ Markov Modeling(MM)

Lexical Chord	Timestep (Simultaneity)				
	1	2	3	4	5
P	0.2	0.1	0.7	0.5	0
Q	0.5	0.1	0.1	0.5	0.1
R	0.3	0.8	0.2	0	0.9



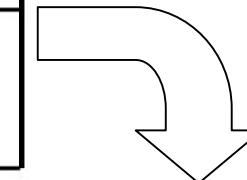
Markov Model			
	P	Q	R
P	0.293	0.287	0.42
Q	0.1417	0.1333	0.725
R	0.5308	0.1615	0.3077

$$\begin{array}{lcl} P \rightarrow P & = & 0.2 * 0.1 = 0.02 \\ P \rightarrow Q & = & 0.2 * 0.1 = 0.02 \\ P \rightarrow R & = & 0.2 * 0.8 = 0.16 \\ Q \rightarrow P & = & 0.5 * 0.1 = 0.05 \\ Q \rightarrow Q & = & 0.5 * 0.1 = 0.05 \\ Q \rightarrow R & = & 0.5 * 0.8 = 0.4 \\ R \rightarrow P & = & 0.3 * 0.1 = 0.03 \\ R \rightarrow Q & = & 0.3 * 0.1 = 0.03 \\ R \rightarrow R & = & 0.3 * 0.8 = 0.24 \\ \\ \text{TOTAL} & = & 1.0 \end{array}$$

# Experimental Results (3/7)

## ◆ Markov Modeling(MM)

Lexical Chord	Timestep (Simultaneity)				
	1	2	3	4	5
P	0.2	0.1	0.7	0.5	0
Q	0.5	0.1	0.1	0.5	0.1
R	0.3	0.8	0.2	0	0.9



Markov Model			
	P	Q	R
P	0.293	0.287	0.42
Q	0.1417	0.1333	0.725
R	0.5308	0.1615	0.3077

$$\begin{array}{lcl} P \rightarrow P & = & 0.2 * 0.1 = 0.02 \\ P \rightarrow Q & = & 0.2 * 0.1 = 0.02 \\ P \rightarrow R & = & 0.2 * 0.8 = 0.16 \\ Q \rightarrow P & = & 0.5 * 0.1 = 0.05 \\ Q \rightarrow Q & = & 0.5 * 0.1 = 0.05 \\ Q \rightarrow R & = & 0.5 * 0.8 = 0.4 \\ R \rightarrow P & = & 0.3 * 0.1 = 0.03 \\ R \rightarrow Q & = & 0.3 * 0.1 = 0.03 \\ R \rightarrow R & = & 0.3 * 0.8 = 0.24 \\ \\ \text{TOTAL} & = & 1.0 \end{array}$$

# Experimental Results (4/7)

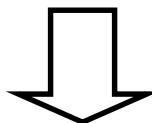
## ◆ Time Invariant Markov Modeling(TIMM)

Spread	Equivalent Transitions	Transn. Counts	Count Totals	Markov Model
+0	P→P Q→Q R→R	0.44 +0.6 +0.40	=1.00	0.25
+1	P→Q Q→R R→P	0.43 +0.87 0.69	=1.99	0.4975
+2	P→R Q→P R→Q	0.63 0.17 0.21	=1.01	0.2525

# Experimental Results (5/7)

- ◆ Harmonic Description simplification

Lexical Chord	Timestep (Simultaneity)				
	1	2	3	4	5
P	0.2	0.1	0.7	0.6	0
Q	0.5	0.1	0.1	0.4	0.1
R	0.3	0.8	0.2	0	0.9



{Q, R, P, P, R}

# Experimental Results (6/7)

- ◆ Average precision comparison to [PC02]

	MM0	MM1	MM2	MM3	TIMM0	TIMM1	TIMM2
Twinkle Queries	0.1205	0.1094	0.1517	0.1332	0.0414	0.0772	0.1103
Lachrimae Queries	0.2144	0.1429	0.0883	0.1649	0.0967	0.1961	0.1461
Folia Queries	0.5904	0.1669	0.2801	0.2759	0.0286	0.0038	0.0005

Matrix	5-40	6-40	7-40	8-40	9-40	10-40	11-40	12-40
Twinkle Queries	0.13346	0.13252	0.13819	0.13664	0.1344	0.13949	0.141	0.15734
Lachrimae Queries	0.38979	0.33932	0.3419	0.35176	0.3507	0.35789	0.35257	0.31443
Folia Queries	0.50231	0.44275	0.43706	0.43936	0.45056	0.46974	0.48694	0.46566

# Experimental Results (6/7)

- ◆ Average precision comparison to [PC02]

	MM0	MM1	MM2	MM3	TIMM0	TIMM1	TIMM2
Twinkle Queries	0.1205	0.1094	0.1517	0.1332	0.0414	0.0772	0.1103
Lachrimae Queries	0.2144	0.1429	0.0883	0.1649	0.0967	0.1961	0.1461
Folia Queries	0.5904	0.1669	0.2801	0.2759	0.0286	0.0038	0.0005

Matrix	5-40	6-40	7-40	8-40	9-40	10-40	11-40	12-40
Twinkle Queries	0.13346	0.13252	0.13819	0.13664	0.1344	0.13949	0.141	0.15734
Lachrimae Queries	0.38979	0.33932	0.3419	0.35176	0.3507	0.35789	0.35257	0.31443
Folia Queries	0.50231	0.44275	0.43706	0.43936	0.45056	0.46974	0.48694	0.46566

# Experimental Results (6/7)

- ◆ Average precision comparison to [PC02]

	MM0	MM1	MM2	MM3	TIMM0	TIMM1	TIMM2
Twinkle Queries	0.1205	0.1094	0.1517	0.1332	0.0414	0.0772	0.1103
Lachrimae Queries	0.2144	0.1429	0.0883	0.1649	0.0967	0.1961	0.1461
Folia Queries	0.5904	0.1669	0.2801	0.2759	0.0286	0.0038	0.0005

Matrix	5-40	6-40	7-40	8-40	9-40	10-40	11-40	12-40
Twinkle Queries	0.13346	0.13252	0.13819	0.13664	0.1344	0.13949	0.141	0.15734
Lachrimae Queries	0.38979	0.33932	0.3419	0.35176	0.3507	0.35789	0.35257	0.31443
Folia Queries	0.50231	0.44275	0.43706	0.43936	0.45056	0.46974	0.48694	0.46566

# Experimental Results (6/7)

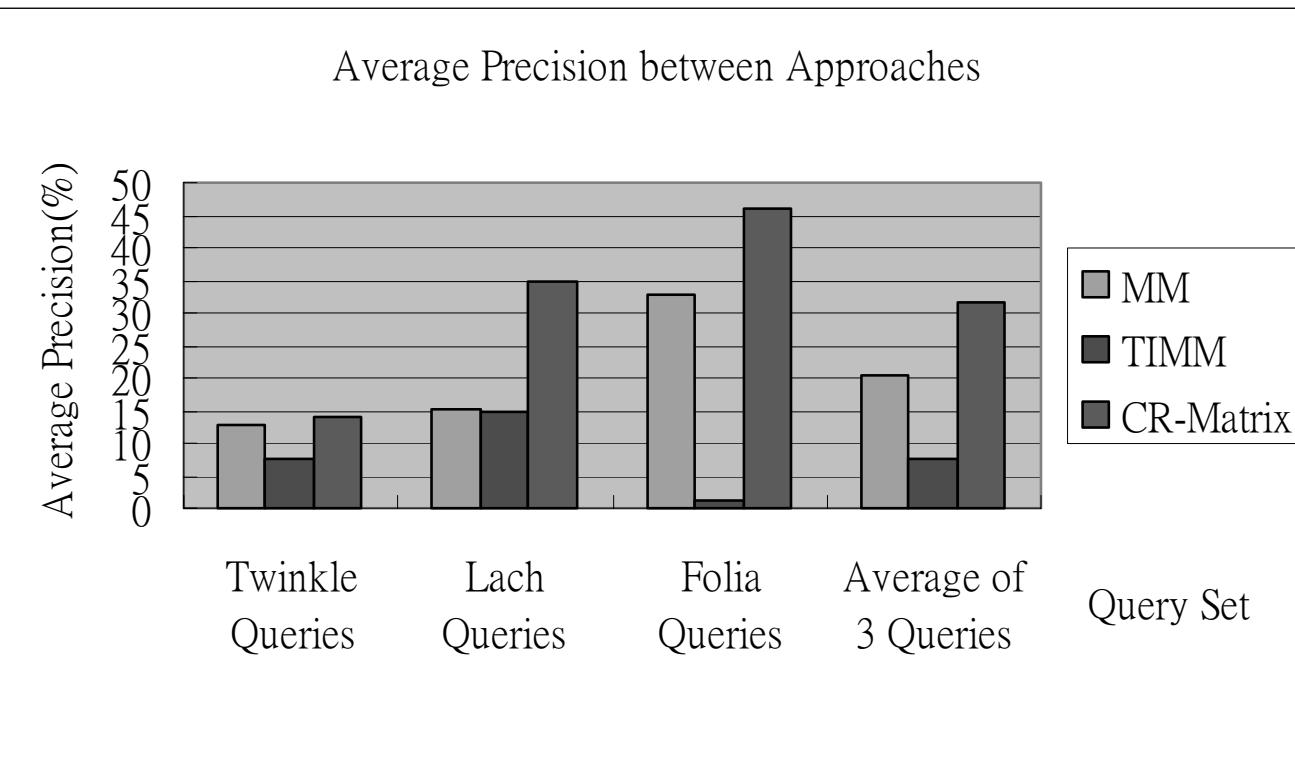
- ◆ Average precision comparison to [PC02]

	MM0	MM1	MM2	MM3	TIMM0	TIMM1	TIMM2
Twinkle Queries	0.1205	0.1094	0.1517	0.1332	0.0414	0.0772	0.1103
Lachrimae Queries	0.2144	0.1429	0.0883	0.1649	0.0967	0.1961	0.1461
Folia Queries	0.5904	0.1669	0.2801	0.2759	0.0286	0.0038	0.0005

Matrix	5-40	6-40	7-40	8-40	9-40	10-40	11-40	12-40
Twinkle Queries	0.13346	0.13252	0.13819	0.13664	0.1344	0.13949	0.141	0.15734
Lachrimae Queries	0.38979	0.33932	0.3419	0.35176	0.3507	0.35789	0.35257	0.31443
Folia Queries	0.50231	0.44275	0.43706	0.43936	0.45056	0.46974	0.48694	0.46566

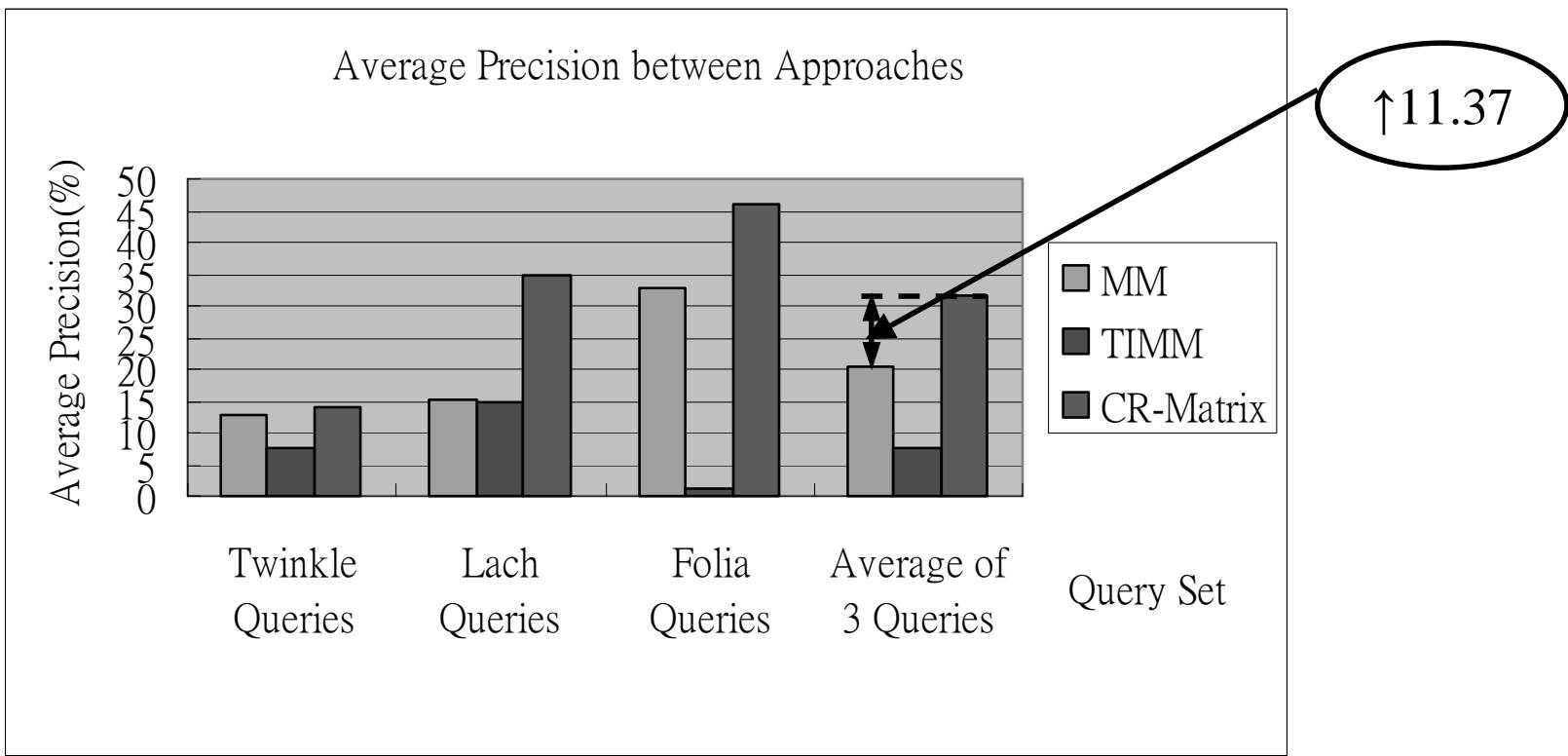
# Experimental Results (7/7)

- ◆ Comparison between approaches:



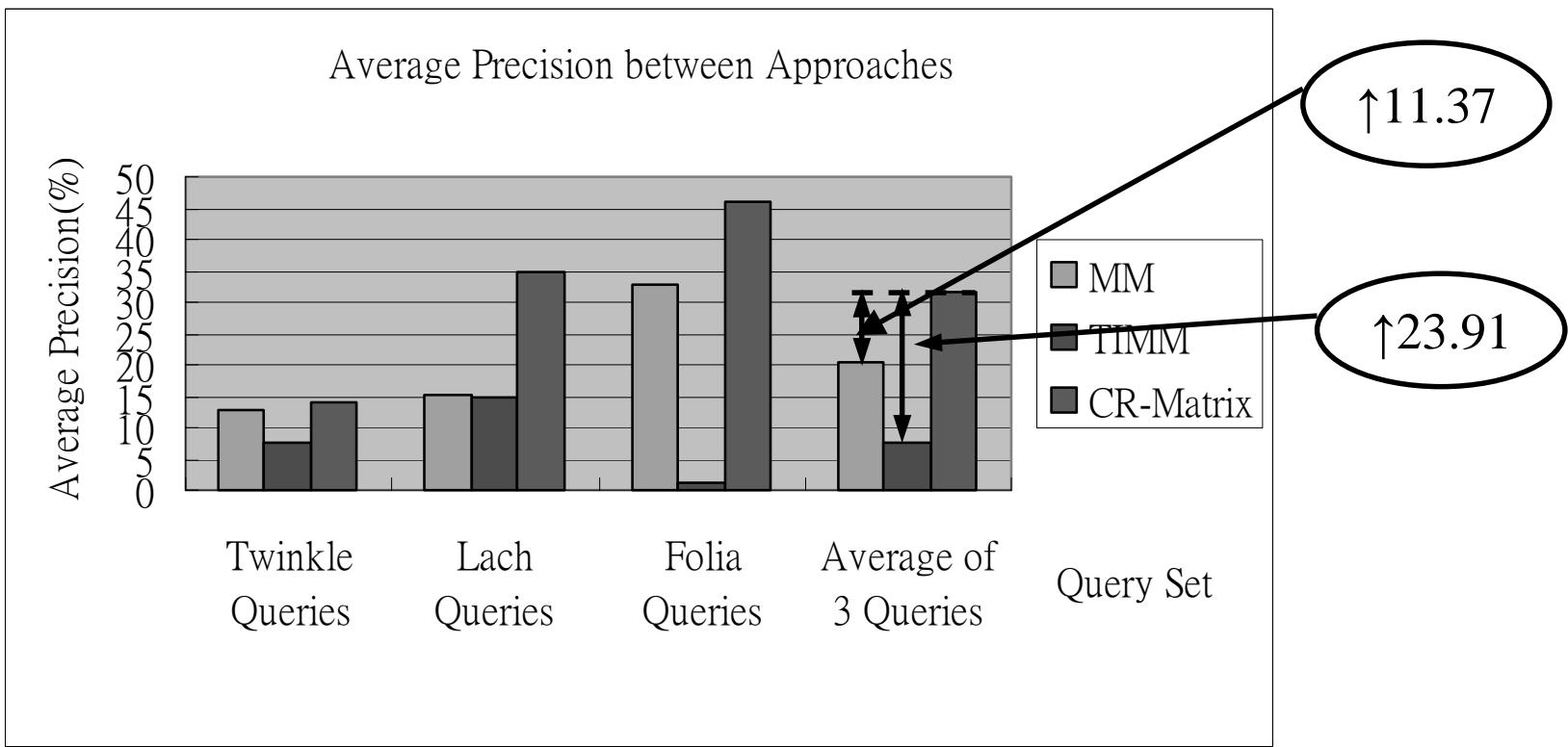
# Experimental Results (7/7)

- ◆ Comparison between approaches:



# Experimental Results (7/7)

- ◆ Comparison between approaches:

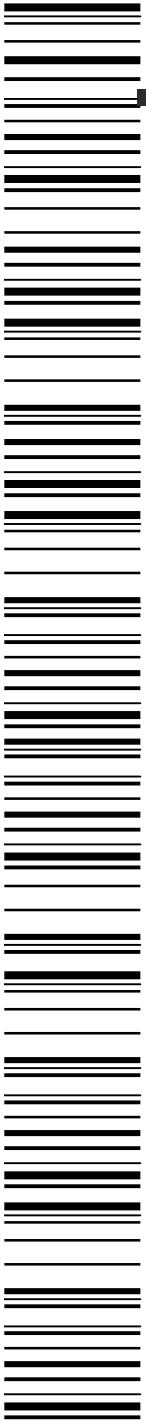




# Conclusion



- ◆ Rule-based representation
- ◆ Correlative patterns (correlative rules)
  - Extract all patterns that strongly relate to the important patterns
  - Long patterns are more easily extracted
- ◆ Improved accuracy of variation retrieval
  - 1.56 times of MM precision
  - 4 times of TIMM precision



Thanks for your listening!