

CS5371  
Theory of Computation

Lecture 23: Complexity VIII  
(Space Complexity)

# Objectives

- Introduce Space Complexity
- Savitch's Theorem
- The class PSPACE

# Space Complexity

Definition [for DTM]:

Let  $M$  be a DTM that halts on all inputs.

The **space complexity** of  $M$  is a function  $f: \mathbb{N} \rightarrow \mathbb{N}$ , where  $f(n)$  is the maximum number of tape cells that  $M$  scans on any input of length  $n$

If the space complexity of  $M$  is  $f(n)$ , we say  $M$  runs in space  $f(n)$

# Space Complexity (2)

Definition [for NTM]:

Let  $M$  be an NTM that all branches halt on all inputs.

The **space complexity** of  $M$ ,  $f(n)$ , will be the maximum number of tape cells that  $M$  scans on any branch of its computation for any input of length  $n$

Again, if the space complexity of  $M$  is  $f(n)$ , we say  $M$  runs in space  $f(n)$

# Space Complexity Classes

Definition: Let  $f: \mathbb{N} \rightarrow \mathbb{R}$  be a function. We define two notation for describing **space complexity classes** as follows:

$SPACE(f(n)) = \{ L \mid L \text{ is a language decided by a DTM } M \text{ that runs in } f(n) \text{ space} \}$

$NSPACE(f(n)) = \{ L \mid L \text{ is a language decided by an NTM } M \text{ that runs in } f(n) \text{ space} \}$

# Example 1

Theorem: **SAT** is in  $SPACE(n)$

Proof: The following DTM **M** decides **SAT**:

**M** = "On input  $\langle F \rangle$ ,

1. For each truth assignment,
  - (a) Evaluate **F** on that truth assignment
2. If **F** is evaluated to TRUE in some case, **accept**. Otherwise, **reject**."

The space usage is  $O(\text{length of } \langle F \rangle)$ . Why??

## Example 2

Let  $ALL_{NFA}$  be the language

$$\{ \langle M \rangle \mid M \text{ is an NFA and } L(M) = \Sigma^* \}$$

Theorem:  $ALL_{NFA}$  is in  $co\text{-NSPACE}(n)$ . I.e., the complement of  $ALL_{NFA}$  is in  $NSPACE(n)$

Note that we still do not know if  $ALL_{NFA}$  is in NP, or in co-NP.

## Example 2 (cont.)

Proof Idea: We shall construct an NTM  $S$  that decides the complement of  $ALL_{NFA}$

Question: When will an NFA  $M$  belongs to the complement of  $ALL_{NFA}$ ?

Answer: ... when it rejects some string (of length at most  $2^q$ , where  $q = \#$  of states in  $M$ )

Based on this idea, the NTM  $S'$  in the next slide decides the complement of  $ALL_{NFA}$ :



## Example 2 (cont.)

$S'$  = "On input  $\langle M \rangle$ ,

1. Place a marker on start state of NFA
2. Guess an input string  $w$  of length  $2^q$  where  $q$  = number of states in  $M$
3. Simulate the running of  $\langle M \rangle$  on  $w$ , by updating the set of states with marker after reading a character from  $w$
4. If at some point no accept states of  $M$  is marked, **accept**. Otherwise, **reject**."

## Example 2 (cont.)

Question 1: Why is the previous decider correctly decides the **complement** of  $ALL_{NFA}$ ? Note that currently, only strings of length  $2^q$  is examined...

Question 2: Is the space complexity  $O(\text{length of input})$ ?

## Example 2 (cont.)

The previous NTM  $S'$  has space problem...

We now modify it a bit to give  $S$  in the next slide, which decides the complement of  $ALL_{NFA}$  in  $O(\text{length of input})$  space:

## Example 2 (cont.)

$S'$  = "On input  $\langle M \rangle$ ,

1. Place a marker on start state of NFA

2. Repeat  $2^q$  times, where  $q = \#$  of states in  $M$

(a) Guess the next input symbol and update the set of states with marker to simulate reading of that symbol

3. If at some point no accept states of  $M$  is marked, **accept**. Otherwise, **reject**."

Guess symbols one by one, instead of guess whole string at the beginning

# Savitch's Theorem

Theorem: Let  $f: \mathbb{N} \rightarrow \mathbb{R}$  be a function, with  $f(n) \geq n$ . Then,

$$\text{NSPACE}(f(n)) \subseteq \text{SPACE}((f(n))^2)$$

Proof: Suppose language  $A$  can be decided by an NTM in  $k f(n)$  space, for some constant  $k$ . We shall show that it can be decided by a DTM in  $O((f(n))^2)$  space

## Savitch's Theorem (2)

- ... A naïve approach is to simulate all branches of the NTM's computation, one by one, using DTM. To do so, we need to keep track of which branch we are testing (that is, the choices made in each branch).
- Unfortunately, a branch in the NTM may have  $2^{O(f(n))}$  steps (though it uses  $O(f(n))$  space), so that we may need  $2^{O(f(n))}$  space...  
NOT GOOD...

# Savitch's Theorem (3)

... Instead, we solve the **yieldability problem**, such that given two configurations  $c_1$  and  $c_2$  of the NTM  $N$ , we want to decide whether  $c_2$  can be yielded from  $c_1$ , in some number of steps

For this purpose, let us define a recursive function, called **CAN\_YIELD**( $c_1, c_2, t$ ), that checks if  $c_1$  can yield  $c_2$  in  $t$  steps as follows (next slide)

Function  $CAN\_YIELD(c_1, c_2, t)$  {

1. If  $t = 1$ , test whether  $c_1 = c_2$  or whether  $c_1$  yields  $c_2$  in one step using the rule of NTM  $N$ . **Accept** if either test succeeds; **Reject** otherwise.
2. For each config  $c_m$  using  $k f(n)$  space:
  - a. Run  $CAN\_YIELD(c_1, c_m, t/2)$
  - b. Run  $CAN\_YIELD(c_m, c_2, t/2)$
  - c. If both accept, **accept**
3. If haven't accept yet, **reject**

}



# Savitch's Theorem (4)

We modify  $N$  a bit, and define some terms:

- We modify  $N$  so that when it accepts, it clears the tape and moves the tape head to leftmost cell. We denote such a configuration  $C_{\text{accept}}$
- Let  $C_{\text{start}}$  = start configuration of  $N$  on  $w$
- Select a constant  $d$  such that  $N$  has at most  $2^{d f(n)}$  configurations (which is the upper bound of  $N$ 's running time)

# Savitch's Theorem (5)

Based on this new  $N$ , there exists a DTM  $M$  that simulates  $N$  as follows:

$M =$  "On input  $w$ ,

1. Output the result

$CAN\_YIELD(c_{start}, c_{accept}, 2^{d f(n)})$  "

Question: What is space usage of  $M$ ?

# Savitch's Theorem (6)

- When **CAN\_YIELD** invokes itself recursively, it needs to store  $c_1$ ,  $c_2$ ,  $t$ , and the configuration  $c_m$  it is testing (so that these values can be restored upon return from the recursive call)
  - Each level of recursion uses  $O(f(n))$  space
  - Height of recursion:  $df(n) = O(f(n))$
- Total space =  $O((f(n))^2)$

# PSPACE and NSPACE

Definition: **PSPACE** is the class of languages that are decidable in polynomial space by a DTM. In other words,

$$\mathbf{PSPACE} = \bigcup_k \mathbf{SPACE}(n^k)$$

Similarly, we can define **NPSPACE** to be the class of languages that are decidable in polynomial space by a NTM. So, what is the relationship between PSPACE and NPSPACE?

$PSPACE = NPSPACE$

Theorem:  $PSPACE = NPSPACE$

Proof: By Savitch's Theorem.

# PSPACE = co-NPSPACE

Theorem: PSPACE = co-NPSPACE

We first prove  $PSPACE \subseteq co-NPSPACE$ :

We see that  $PSPACE = co-PSPACE$  (why?),  
and  $co-PSPACE \subseteq co-NPSPACE$  (why?)

We next prove  $co-NPSPACE \subseteq PSPACE$ :

We see that  $PSPACE = co-PSPACE$ ,  
and  $co-NPSPACE \subseteq co-PSPACE$  (Savitch)

# P, NP, and PSPACE

Theorem:  $P \subseteq PSPACE$

Proof: If a language is decided by some DTM  $M$  in  $f(n)$  time,  $M$  cannot see more than  $f(n)$  cells. Thus,  $TIME(f(n)) \subseteq SPACE(f(n))$ , so that  $P \subseteq PSPACE$

Theorem:  $NP \subseteq PSPACE$

# PSPACE and EXPTIME

Theorem:  $PSPACE \subseteq EXPTIME$

Proof: If a language is decided by some DTM  $M$  in  $f(n)$  space (where  $f(n) \geq n$ ),  $M$  can visit at most  $f(n) 2^{O(f(n))}$  configurations (why?) Thus,  $M$  must run in  $f(n) 2^{O(f(n))}$  time.

In other words,  $SPACE(f(n)) \subseteq TIME(2^{O(f(n))})$ ,  
so that  $PSPACE \subseteq EXPTIME$



# Summary

$P \subseteq NP \subseteq PSPACE = NPSPACE \subseteq EXPTIME$

It is shown in Chapter 9 that  $P \neq EXPTIME$ , so that we know at least one of the above containment ( $\subseteq$ ) must be proper ( $\subset$ )

Unfortunately, at this moment, we still don't know which one(s) is proper. What most researchers **believe** is all are proper.

# Next Time

- PSPACE-complete
- L and NL
- NL-complete