## CS5371 <br> Theory of Computation

## Lecture 10: Computability Theory I (Turing Machine)

## Objectives

- Introduce the Turing Machine (TM)
- Proposed by Alan Turing in 1936
- finite-state control + infinitely long tape
- A stronger computing device than the DFA or PDA


## What is a TM?

## control

## = blank symbols

- Control is similar to (but not the same as) DFA
- It has an infinite tape as memory
- A tape head can read and write symbols and move around the tape
- Initially, tape contains input string (on the leftmost end) and is blank everywhere


## What is a TM?

- Finite number of states: one for immediate accept, one for immediate reject, and others for continue
- Based on the current state and the tape symbol under the tape head, TM then decides the tape symbol to write on the tape, goes to the next state, and moves the tape head left or right
- When TM enters accept state, it accepts the input immediately; when TM enters reject state, it rejects the input immediately
- If it does not enter the accept or reject states, TM will run forever, and never halt


## TM versus DFA

- Similarities:
- Finite set of states
- Differences:
- TM has an infinite tape and
- TM can both read and write on the tape
- Tape head can move both left and right
- Input string of TM is stored in tape
- The accept or reject states in TM take effect immediately


## TM in Action

- Let us introduce a TM that recognizes the language

$$
B=\left\{w \# w \mid w \text { is in }\{0,1\}^{*}\right\}
$$

- We want the TM to accept if the input is in $B$, and to reject otherwise
- What should the TM do?


## TM in Action (2)

- Use multiple passes
- Starts matching corresponding chars, one on each side of \#
- To keep track of which chars are checked already, TM crosses off each char as it is examined


## Snapshots of Execution (1)



Tape head moves to right

## Snapshots of Execution (2)

Tape head moves to left

## Snapshots of Execution (3)

Tape head moves to right

## Snapshots of Execution (4)

Tape head moves to left

## Snapshots of Execution (5)

Tape head moves to right

## Snapshots of Execution (6)



Tape head moves to left



## Snapshots of Execution (7)



Tape head moves to right

$\boxed{x|x| x|\#| x|x|}$

## TM (Formal Definition)

- A TM is a 7 -tuple ( $Q, \Sigma, \Gamma, \delta, q_{0}, q_{A c c}, q_{R e j}$ )
- $Q$ = finite set of states
- $\Sigma$ = finite input alphabet, where blank symbol $\square \notin \Sigma$
- $\Gamma$ = finite tape alphabet, where $\square \in \Gamma$ and $\Sigma \subset \Gamma$
- $\delta$ is the transition function of the form:

$$
\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times\{L, R\},
$$

where $L, R$ indicates whether the tape head moves left or right after the transition

- $q_{0}$ is the start state
- $q_{\text {Acc }}=$ accept state, $q_{\text {Rej }}=$ reject state


## Computation of TM

- Let $M=\left(Q, \Sigma, \Gamma, \delta, q_{0}, q_{A c c}, q_{\text {Rej }}\right)$ be a TM
- First, $M$ receives input $w=w_{1} w_{2} \ldots w_{n} \in \Sigma^{*}$ on the leftmost $n$ squares of the tape
- Rest of tape is blank (i.e., filled with $\square$ 's)
- Note: as $\square \notin \Sigma$, the first blank on the tape marks the end of input
- Once M has started, the computation proceeds according to the transition function


## Computation of TM (2)

- (important) If $M$ tries to move its head to the left of the leftmost end of tape, the head simply stays for that move
- The computation continues until M enters accept state or reject state. Otherwise, $M$ goes on forever


## Configuration of TM

- The configuration of a TM specifies the current state, and the current string in the tape, and the current location of the tape head
- When the configuration of a TM is:
current state $=q$, current string $w=u v$ with tape head over the first symbol of $v$, we write:
uqv
as a shorthand notation
- E.g., $1100 \mathrm{q}_{7} 01111$ represents the configuration of TM when tape is 11000111, current state is $q_{7}$, and the tape head is over the $3^{\text {rd }} 0$ in the tape


## Configuration of TM (2)

- We say a configuration C yields another configuration $C^{\prime}$ if the machine can go from $C$ to $C^{\prime}$ in a single transition step
- E.g., if $\delta(q, b)=\left(q^{\prime}, c, R\right)$
$u a q$ bv yields uac $q^{\prime} v$
- special case when off the left end: E.g., $q$ bv yields $q^{\prime} c v$ if $\delta(q, b)=\left(q^{\prime}, c, L\right)$
- How to represent the start configuration?


## Configuration of TM (3)

- More special cases:
- In an accepting configuration, the current state is the accept state $q_{\text {Acc }}$
- In a rejecting configuration, the current state is the accept state $q_{\text {Rej }}$
- These two kinds of configuration are called halting configurations and will not yield further configurations


## Acceptance of TM (Formal Definition)

- Turing Machine $M=\left(Q, \Sigma, \Gamma, \delta, q_{0}, q_{A c c}, q_{R e j}\right)$ accepts input $w$ if a sequence of configurations $C_{1}, C_{2}, \ldots, C_{k}$ exists with
$-C_{1}=q_{0} w$
i.e., this indicates $C_{1}$ is the start configuration
- For $\mathrm{i}=1$ to $\mathrm{k}-1, C_{i}$ yields $C_{i+1}$
i.e., $M$ moves according to transition function
- $C_{k}$ is an accepting configuration
i.e., $M$ enters accept state in the end


## Example of TM

- Let us try to describe formally a TM that recognizes $\left\{w \# w \mid w\right.$ in $\left.\{0,1\}^{*}\right\}$
- Also, let us use the shorthand
$a \rightarrow b, L$ to denote current tape symbol is changed from a to $b$ after transition, and tape head moves to $L$


## Example of TM (2)

- Full details of TM are sometimes complicated
- Usually, we give high-level details instead (but must be precise for understanding)
- Let us describe the high-level details of a TM $M_{2}$ that recognizes the language

$$
\left\{a^{i} b^{j} c^{k} \mid i \times j=k \text { and } i, j, k \geq 1\right\}
$$

## On any input string w

1. Scan the input and check if the string is in the form $a^{+} b^{+} c^{+}$(rejects if not) (how?)
2. Return the head to left end of tape (how?)
3. Cross off an ' $a$ '. Scan right to find the first 'b'. Zig-zag the input string, so that we match each ' $b$ ' with each 'c' by crossing off $a$ ' $b$ ' and a ' $c$ ' each time. If not enough 'c', rejects
4. Restore all crossed 'b'. Repeat Step 3 if there are ' $a$ ' remaining (how?)
5. If all ' $a$ ' are gone, check if all 'c' are crossed. If yes, accepts. If no, rejects

## Turing-Recognizable Language

- We say a TM M recognizes a language $L$ if $M$ accepts all strings in $L$
- Question: How about strings not in L?
- A language is Turing-recognizable if some TM can recognize the language
- Turing-recognizable language has another name: recursively enumerable language


## Turing-Decidable Language

- If TM runs, there are three outcomes: accept, reject, or TM loops forever
- We say a TM M decides a language $L$ if $M$ accepts all strings in $L$ and $M$ rejects all strings not in $L$ ( $M$ is called a decider)
- A language is Turing-decidable if some TM can decide the language
- Turing-decidable language has another name: recursively language


## Quick Quiz

Let L' be the complement of language $L$ Is the following true?

1. If $L$ is Turing-decidable, $L$ is Turingrecognizable
2. If $L$ is Turing-recognizable, $L$ is Turingdecidable
3. If $L$ is Turing-decidable, so is $L^{\prime}$
4. If $L$ is Turing-recognizable, so is $L^{\prime}$
5. If both $L$ and $L^{\prime}$ are Turing-recognizable, $L$ is Turing-decidable

## Next Time

- Multi-tape Turing Machine
- Non-deterministic Turing Machine (NTM)

