

# CS 2336

# Discrete Mathematics

## Lecture 15

### Graphs: Planar Graphs

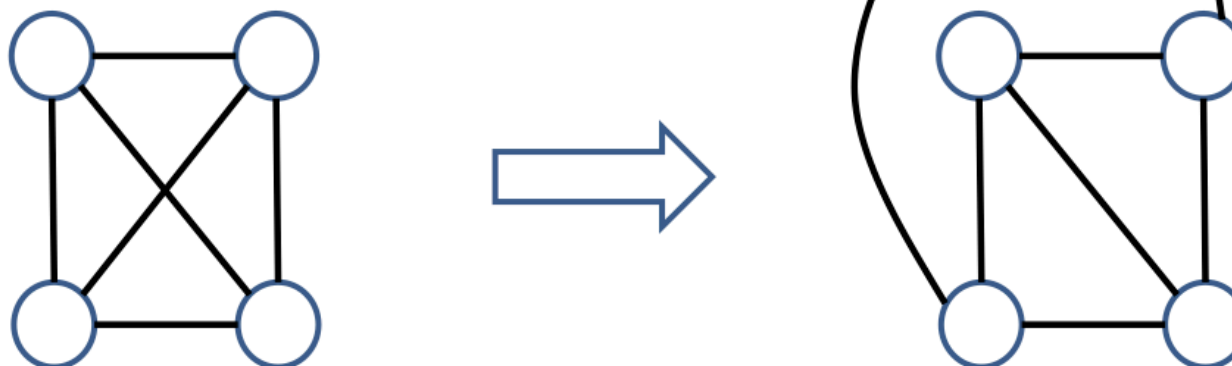
# Outline

- What is a Planar Graph ?
- Euler Planar Formula
  - Platonic Solids
  - Five Color Theorem
- Kuratowski's Theorem

# What is a Planar Graph ?

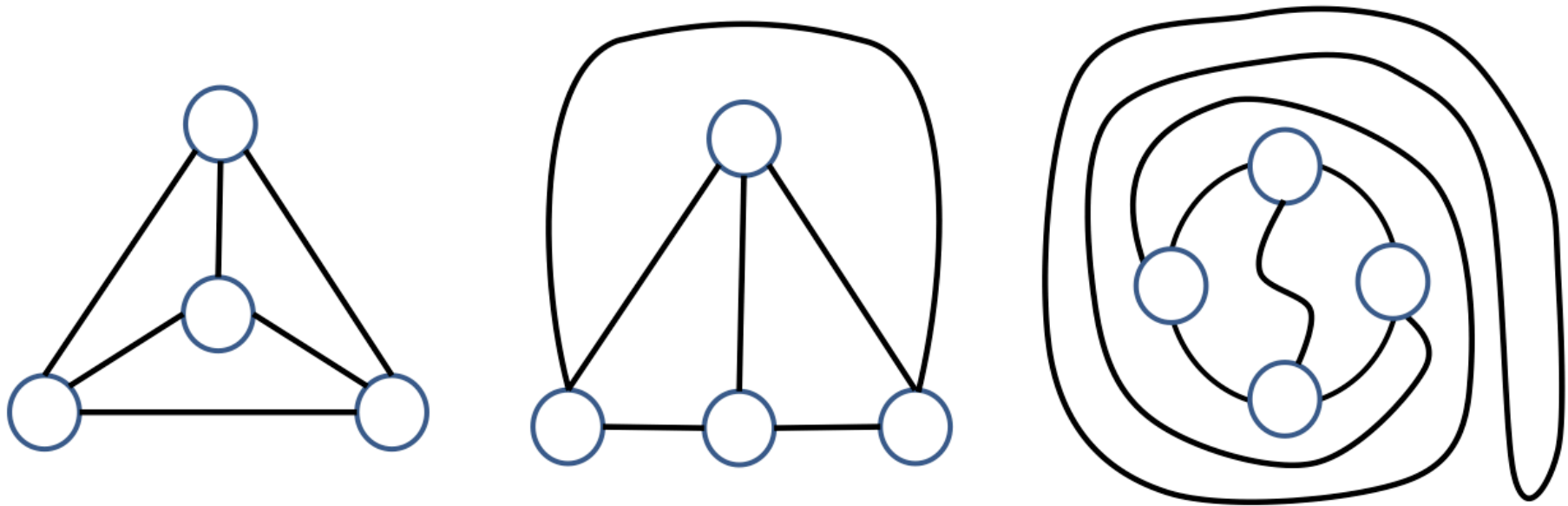
Definition : A **planar graph** is an undirected graph that can be drawn on a plane without any edges crossing. Such a drawing is called a **planar representation** of the graph in the plane.

- Ex :  $K_4$  is a planar graph



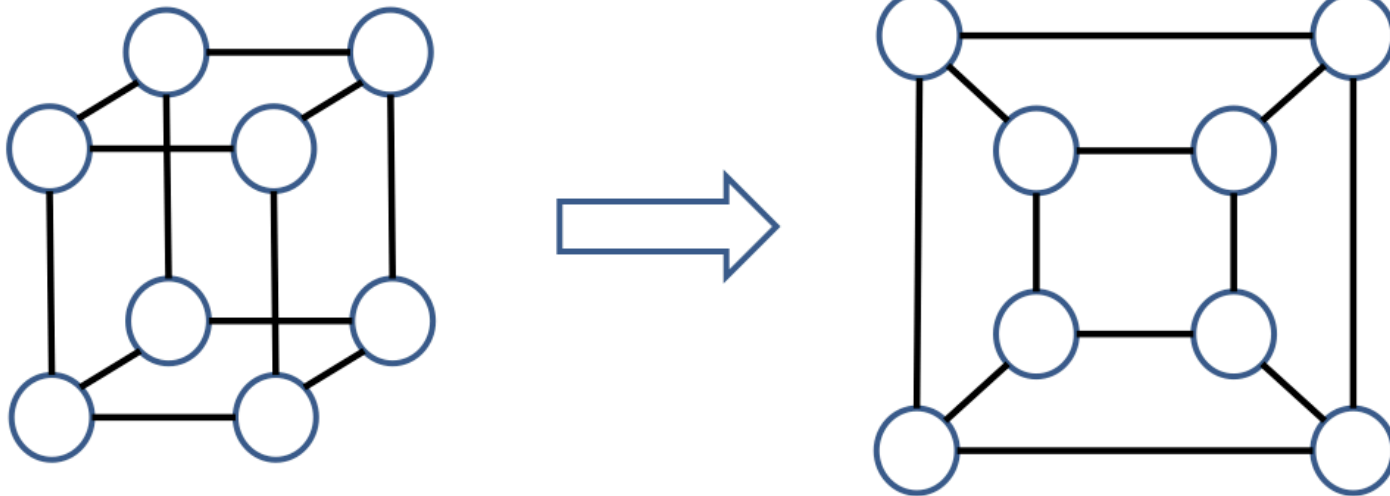
# Examples of Planar Graphs

- Ex : Other planar representations of  $K_4$



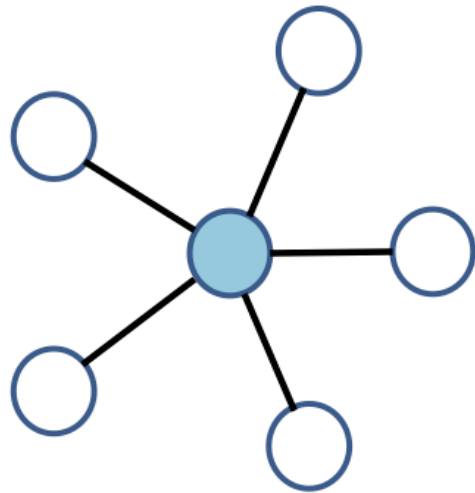
# Examples of Planar Graphs

- Ex :  $Q_3$  is a planar graph

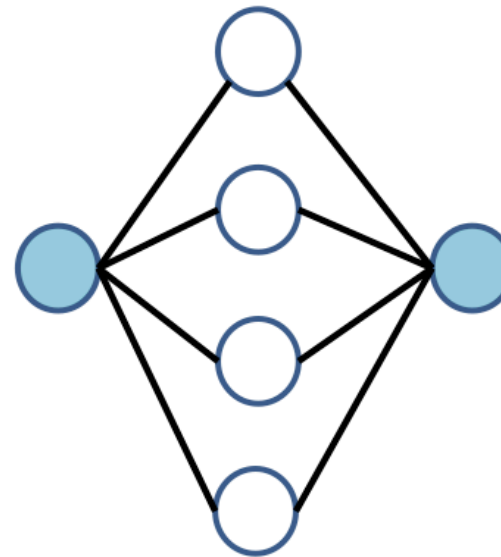


# Examples of Planar Graphs

- Ex :  $K_{1,n}$  and  $K_{2,n}$  are planar graphs for all  $n$



$K_{1,5}$

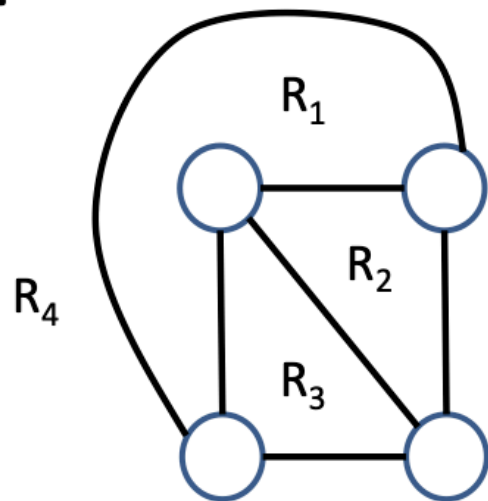


$K_{2,4}$

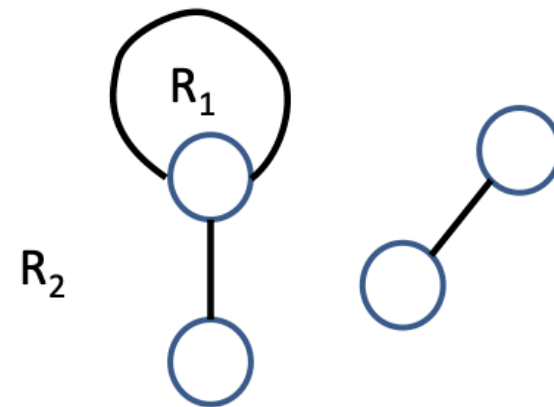
# Euler's Planar Formula

Definition : A planar representation of a graph splits the plane into **regions**, where one of them has infinite area and is called the **infinite region**.

• Ex :



4 regions  
( $R_4$  = infinite region)



2 regions  
( $R_2$  = infinite region)

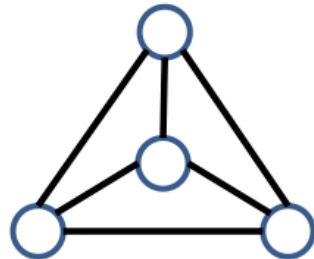
# Euler's Planar Formula

- Let  $G$  be a **connected planar** graph, and consider a planar representation of  $G$ . Let

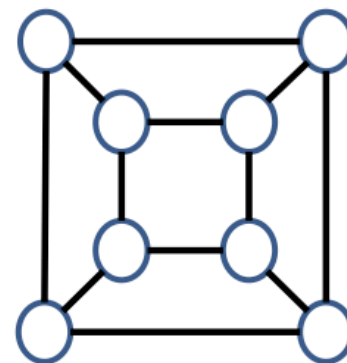
$V = \#$  vertices,  $E = \#$  edges,  $F = \#$  regions.

Theorem :  $V + F = E + 2.$

- Ex :



$$V = 4, F = 4, E = 6$$



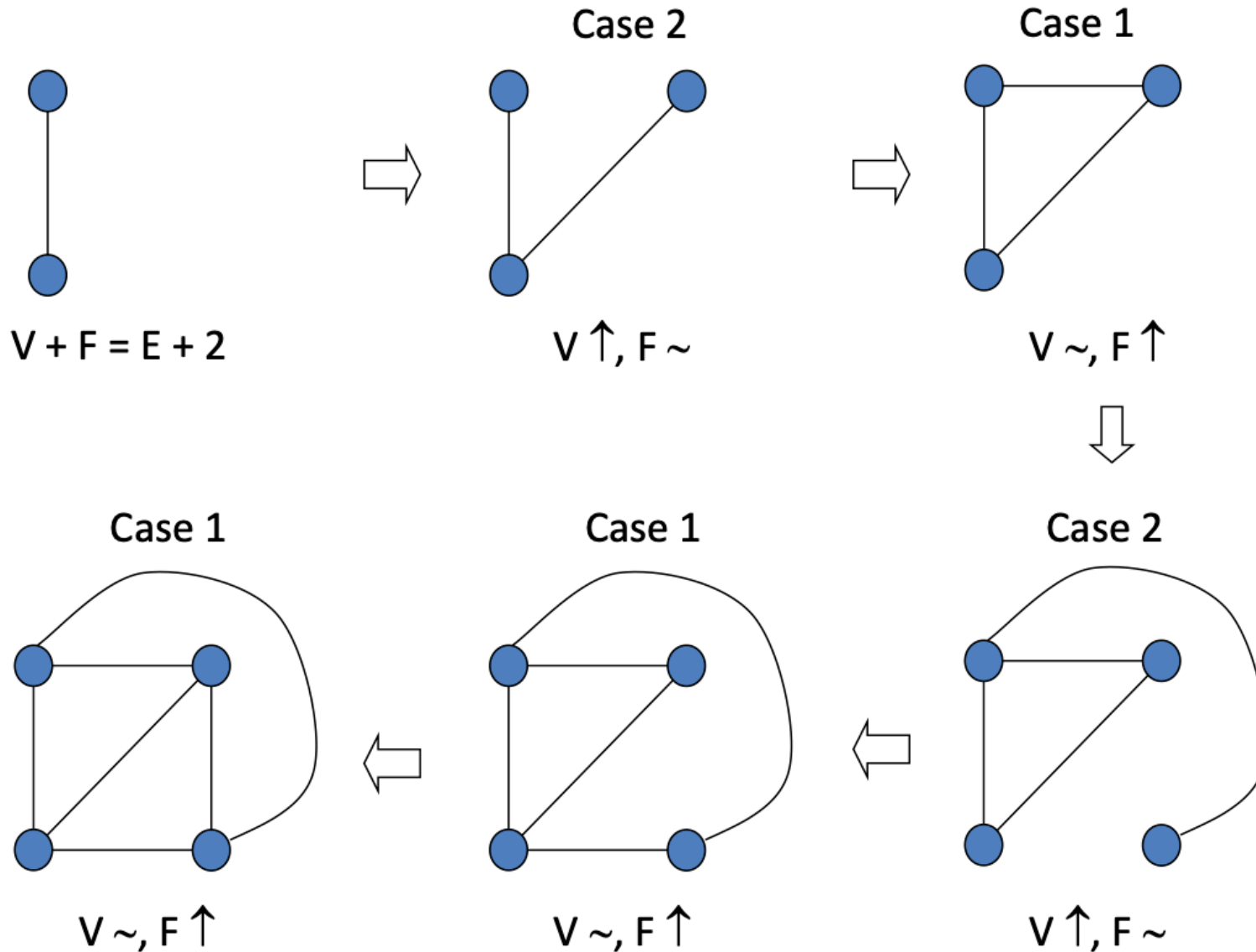
$$V = 8, F = 6, E = 12$$



# Euler's Planar Formula

- Proof Idea :
  - Add edges one by one, so that in each step, the subgraph is always connected
  - Use induction to show that the formula is always satisfied for each subgraph
  - For the new edge that is added, it either joins :
    - (1) two existing vertices  $\rightarrow V \sim, F \uparrow$
    - (2) one existing + one new vertex  $\rightarrow V \sim, F \uparrow$

# Euler's Planar Formula



# Euler's Planar Formula

- Let  $G$  be a **connected simple planar** graph with  
 $V = \#$  vertices,  $E = \#$  edges.

Corollary : If  $V \geq 3$ , then  $E \leq 3V - 6$ .

- Proof : Each region is surrounded by at least 3 edges (**how about the infinite region?**)
  - $3F \leq \text{total edges} = 2E$
  - $E + 2 = V + F \leq V + 2E/3$
  - $E \leq 3V - 6$

# Euler's Planar Formula

Theorem :  $K_5$  and  $K_{3,3}$  are non-planar.

- Proof :

(1) For  $K_5$ ,  $V = 5$  and  $E = 10$

→  $E > 3V - 6$  → non-planar

(2) For  $K_{3,3}$ ,  $V = 6$  and  $E = 9$ .

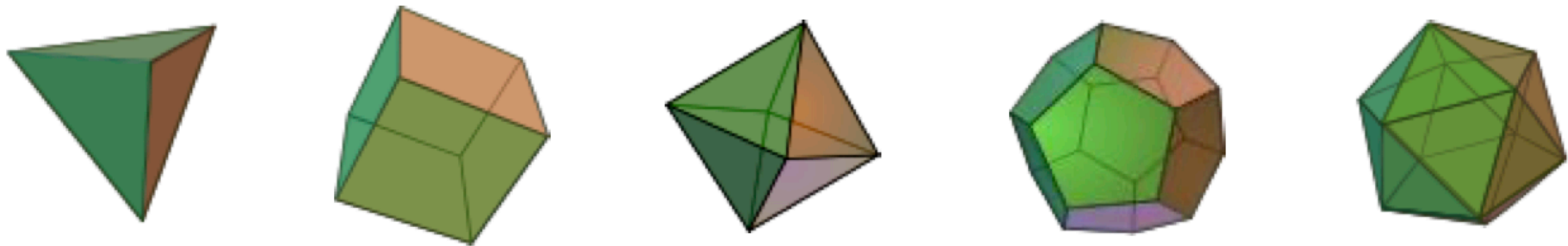
→ If it is planar, each region is surrounded by at least 4 edges (why?)

→  $F \leq \lfloor 2E/4 \rfloor = 4$

→  $V + F \leq 10 < E + 2$  → non-planar

# Platonic Solids

Definition : A **Platonic solid** is a convex 3D shape that all faces are the same, and each face is a regular polygon



# Platonic Solids

Theorem: There are exactly 5 Platonic solids

- Proof:

Let  $n$  = # vertices of each polygon

$m$  = degree of each vertex

For a platonic solid, we must have

$$n F = 2E \quad \text{and} \quad V m = 2E$$

# Platonic Solids

- Proof (continued):

By Euler's planar formula,

$$2E/m + 2E/n = V + F = E + 2$$

$$\rightarrow 1/m + 1/n = 1/2 + 1/E \quad \text{..... (*)}$$

Also, we need to have

$$n \geq 3 \quad \text{and} \quad m \geq 3 \quad \text{[from 3D shape]}$$

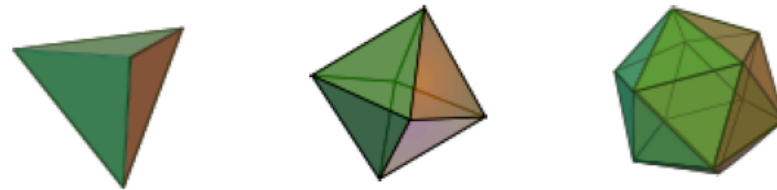
but one of them must be = 3 [from (\*)]

# Platonic Solids

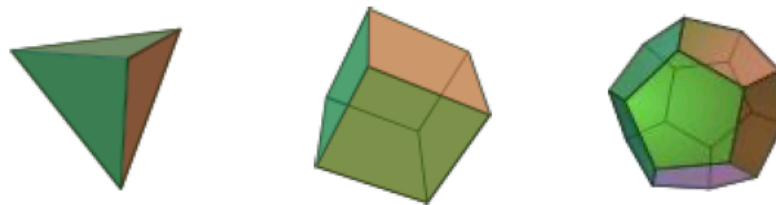
- Proof (continued):

→ Either

(i)  $n = 3$  (with  $m = 3, 4, \text{ or } 5$ )

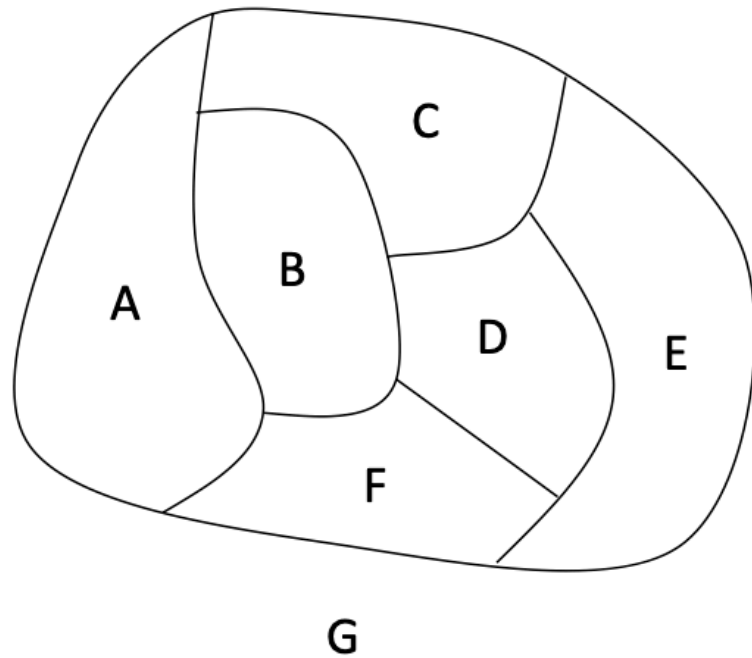


(ii)  $m = 3$  (with  $n = 3, 4, \text{ or } 5$ )

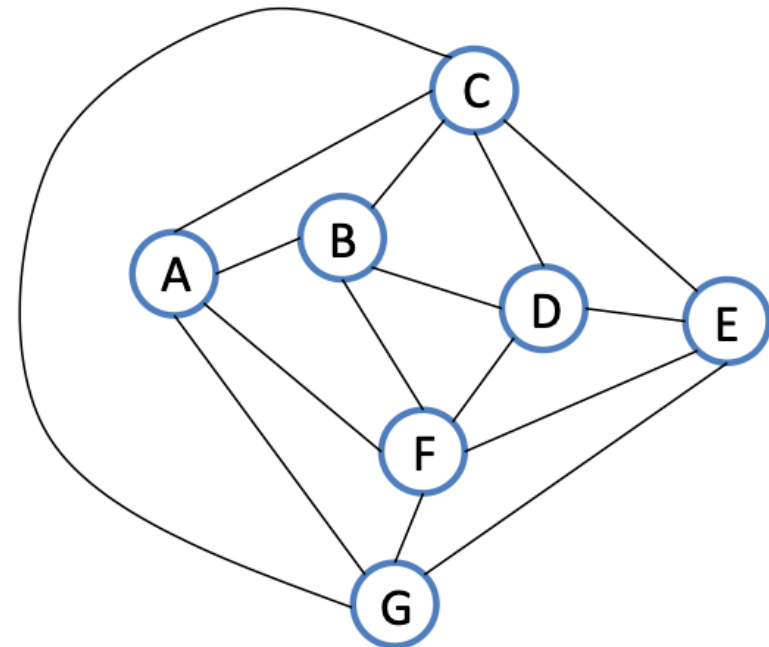




# Map Coloring and Dual Graph



A Map M

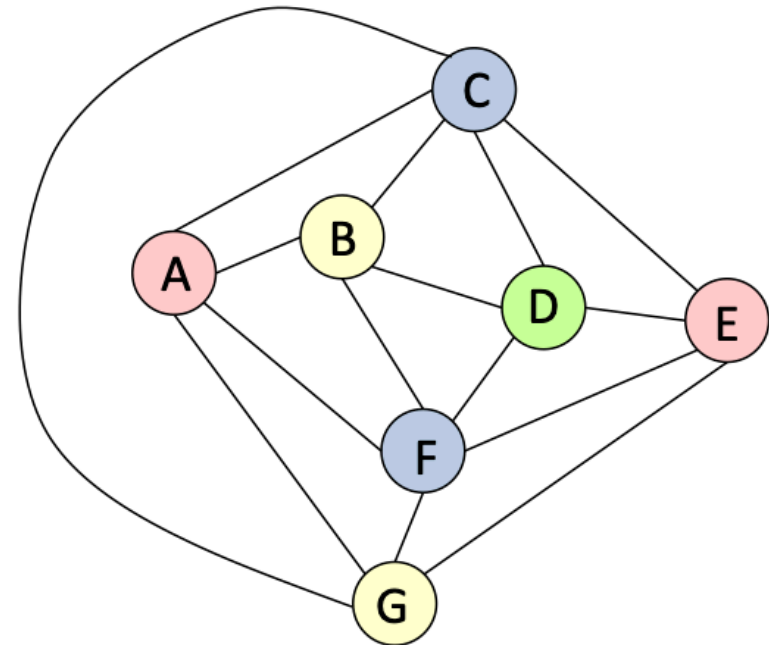
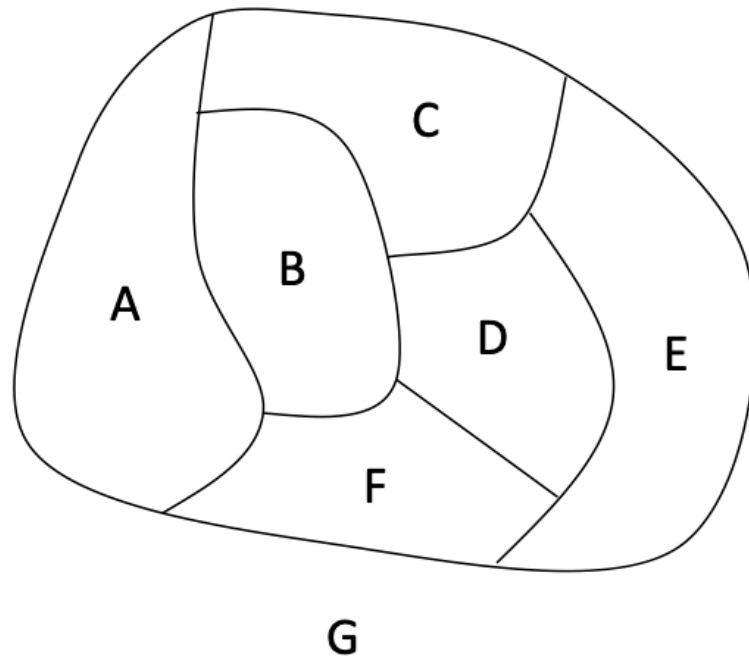


Dual Graph of M

# Map Coloring and Dual Graph

Observation: A proper color of M

$\Leftrightarrow$  A proper vertex color the dual graph



Proper coloring : Adjacent regions (or vertices) have to be colored in different colors

# Five Color Theorem

- Appel and Haken (1976) showed that every planar graph can be 4 colored  
(Proof is tedious, has 1955 cases and many subcases)
- Here, we shall show that :

Theorem : Every planar graph can be 5 colored.

- The above theorem implies that every map can be 5 colored (as its dual is planar)

# Five Color Theorem

- Proof :

We assume the graph has at least 5 vertices.  
Else, the theorem will immediately follow.

Next, in a planar graph, we see that there must be a vertex with degree at most 5.

Else,

$$2E = \text{total degree} \geq 6V$$

which contradicts with the fact  $E \leq 3V - 6$ .

# Five Color Theorem

- Proof (continued) :

Let  $v$  be a vertex whose degree is at most 5.

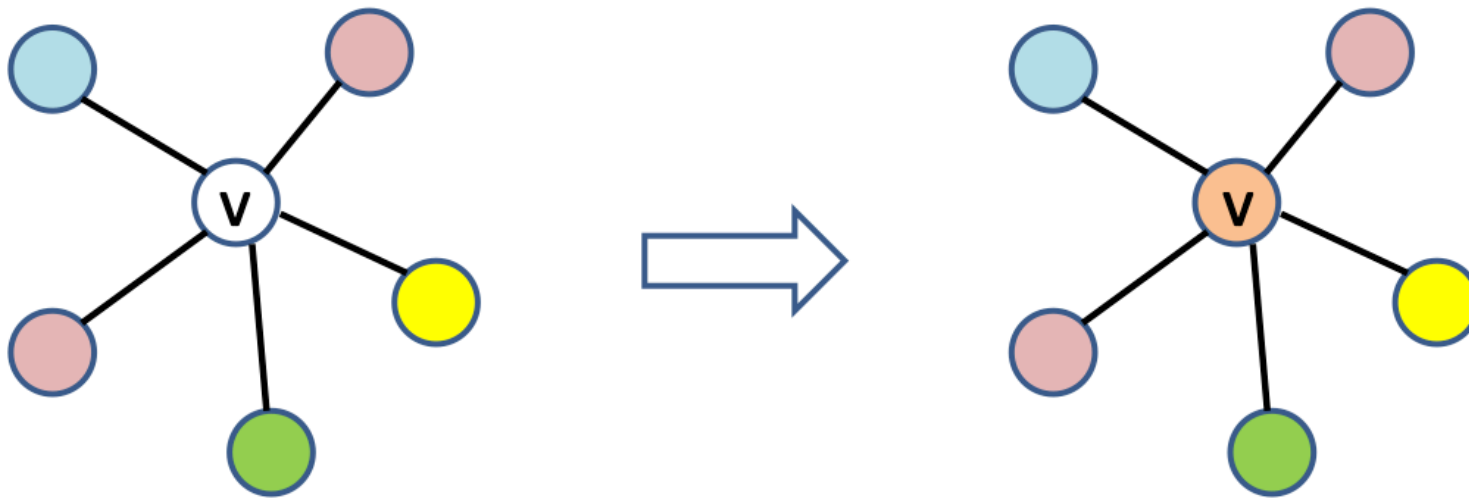
Now, assume inductively that all planar graphs with  $n - 1$  vertices can be colored in 5 colors

→ Thus if  $v$  is removed, we can color the graph properly in 5 colors

What if we add back  $v$  to the graph now ??

# Five Color Theorem

- Proof (continued) :  
Case 1 : Neighbors of  $v$  uses at most 4 colors

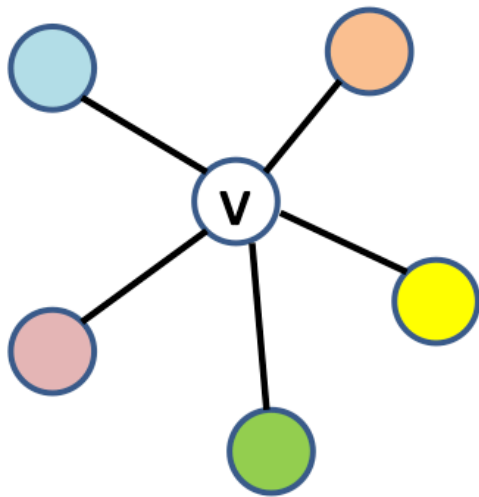


there is a 5<sup>th</sup> color for  $v$

# Five Color Theorem

- Proof (continued) :

Case 2 : Neighbors of  $v$  uses up all 5 colors

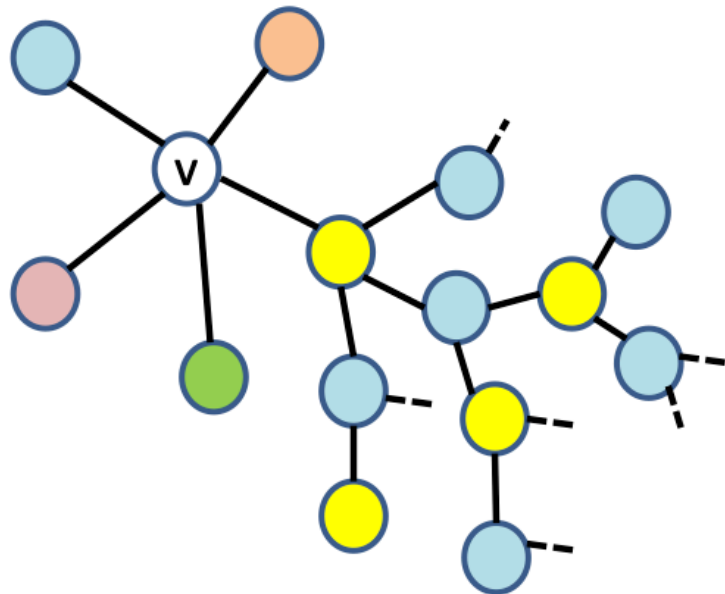


Can we save 1 color,  
by coloring the yellow  
neighbor in blue ?

# Five Color Theorem

- Proof (“Case 2” continued):

Can we color the yellow neighbor in blue ?



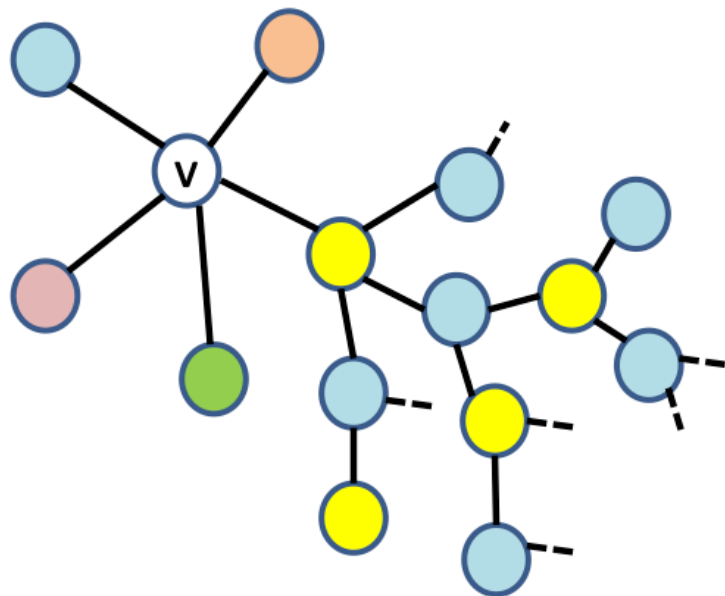
First, we check if the yellow neighbor can connect to the blue neighbor by a “switching” yellow-blue path



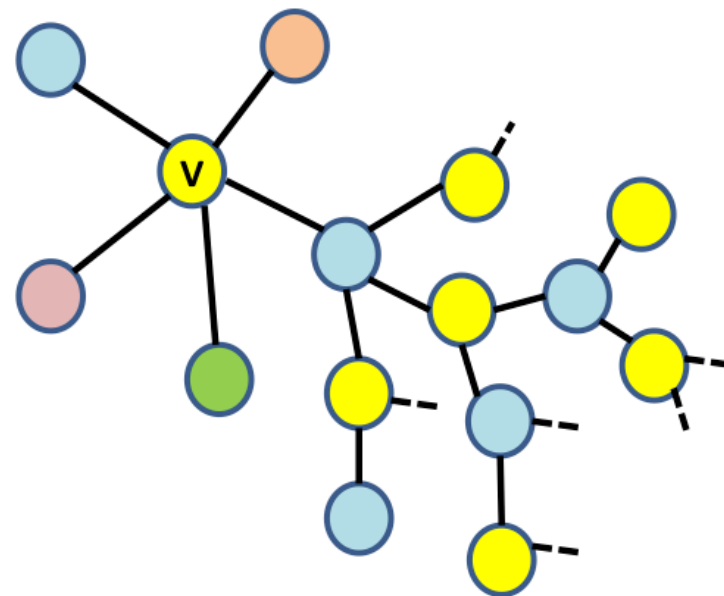
# Five Color Theorem

- Proof (“Case 2” continued):

Can we color the yellow neighbor in blue ?



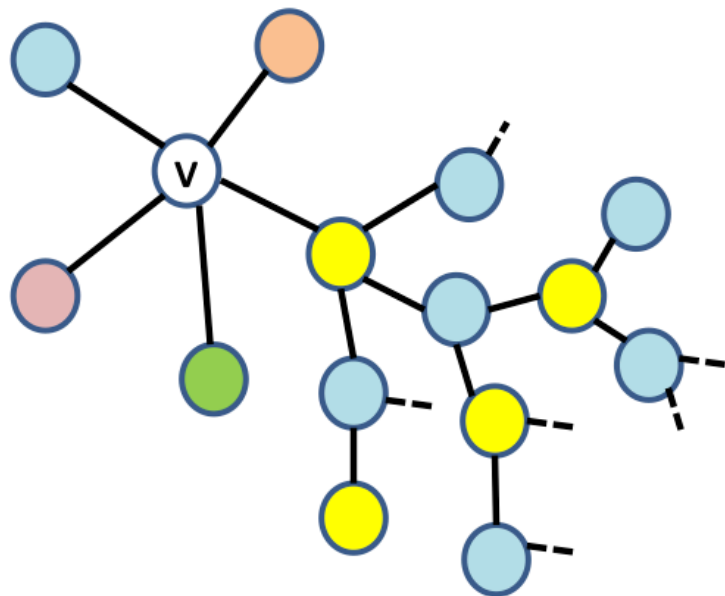
If not, we perform “switching”  
and thus save one color for  $v$



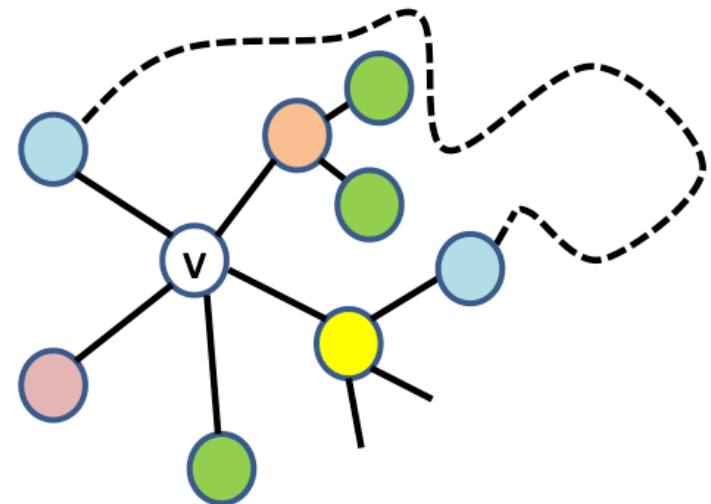
# Five Color Theorem

- Proof (“Case 2” continued):

Can we color the yellow neighbor in blue ?



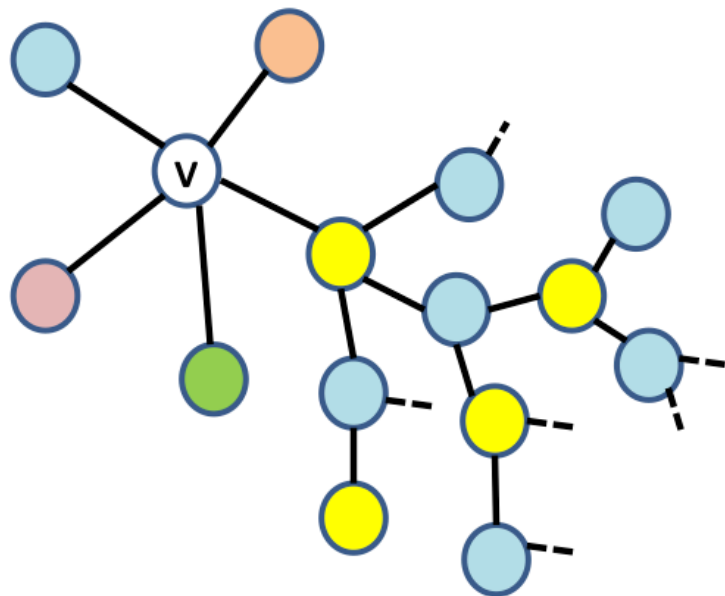
Else, they are connected  
→ orange and green cannot be connected by “switching path”



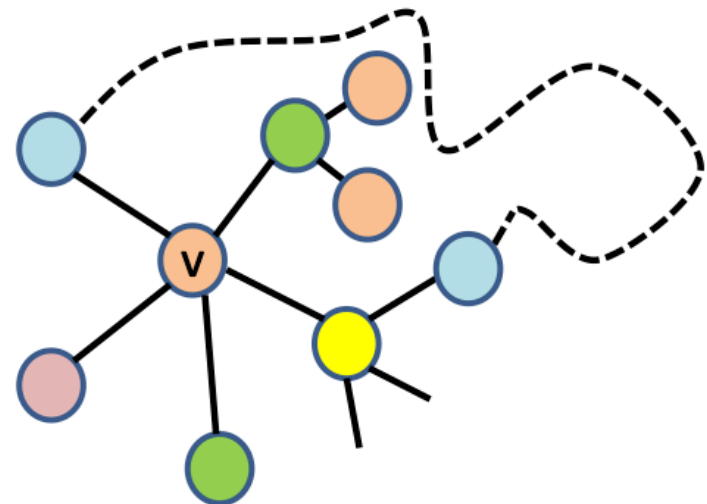
# Five Color Theorem

- Proof (“Case 2” continued):

We color the orange neighbor in green !



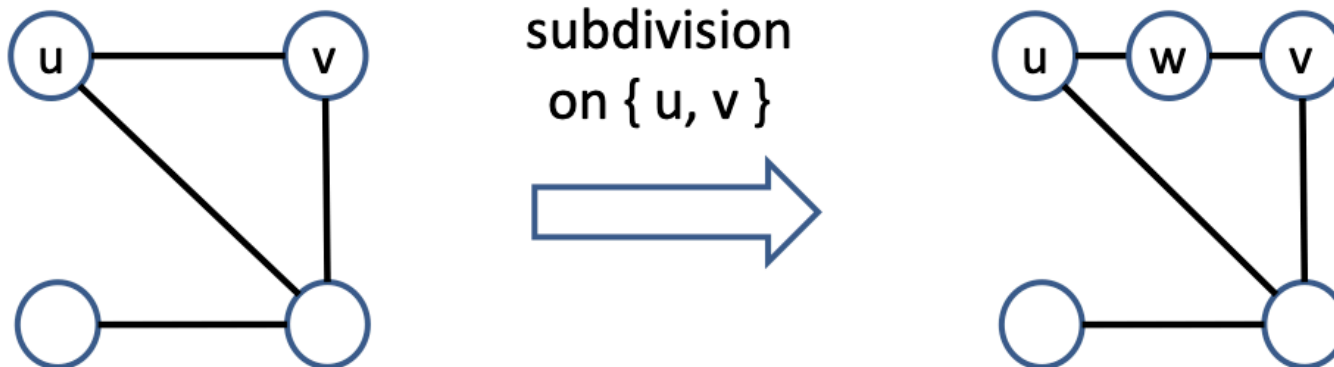
→ we can perform “switching” (orange and green) to save one color for  $v$



# Kuratowski's Theorem

Definition : A **subdivision** operation on an edge  $\{ u, v \}$  is to create a new vertex  $w$ , and replace the edge by two new edges  $\{ u, w \}$  and  $\{ w, v \}$ .

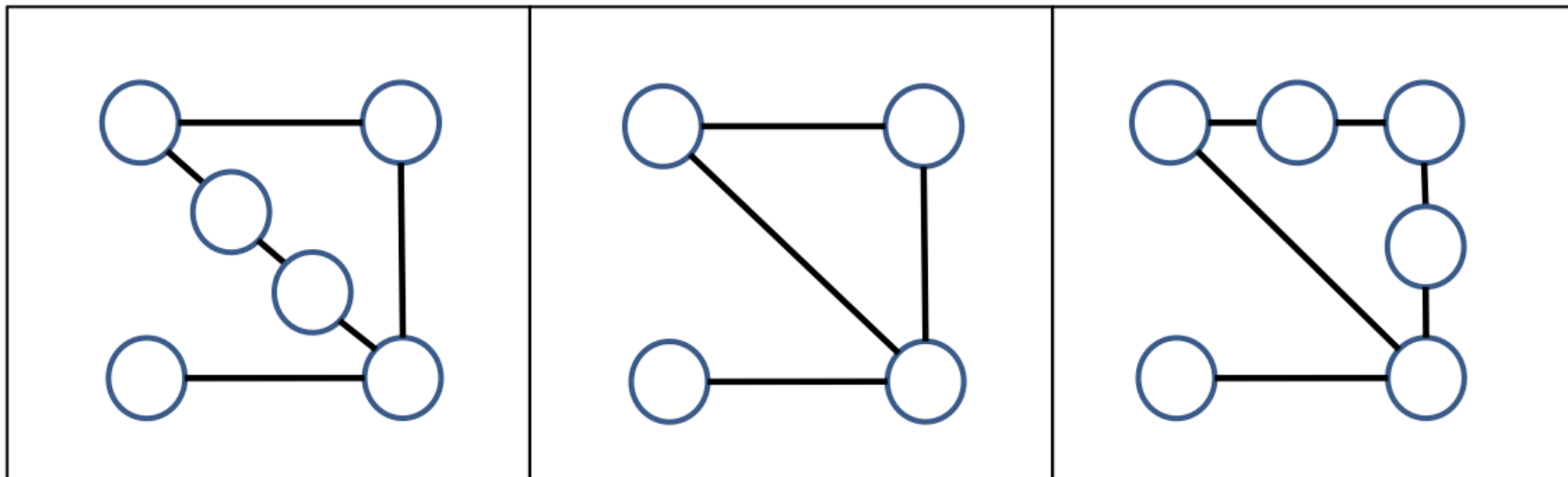
- Ex :



# Kuratowski's Theorem

Definition : Graphs  $G$  and  $H$  are **homeomorphic** if both can be obtained from the same graph by a sequence of subdivision operations.

- Ex : The following graphs are all homeomorphic :



# Kuratowski's Theorem

- In 1930, the Polish mathematician Kuratowski proved the following theorem :

Theorem :

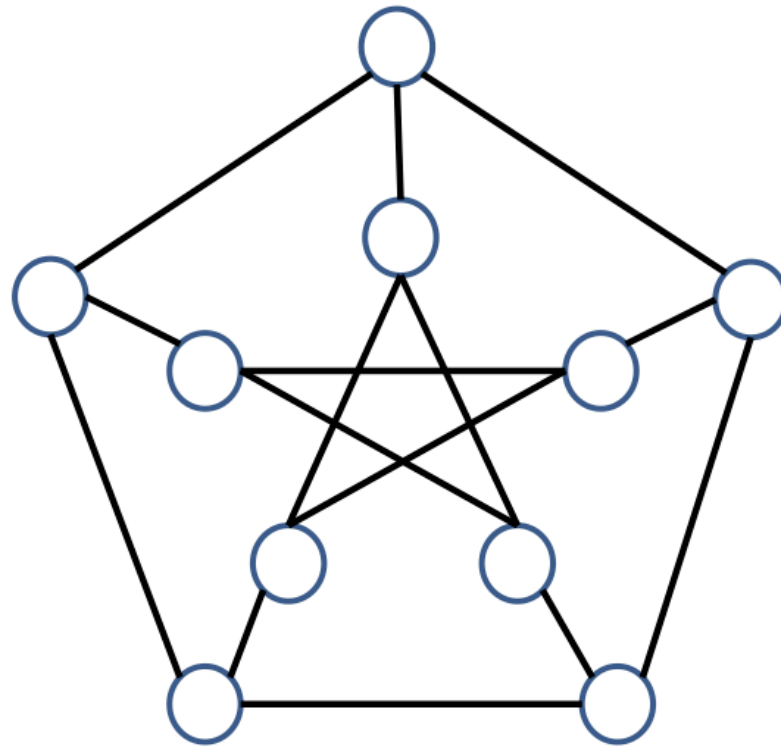
Graph  $G$  is non-planar

$\Leftrightarrow G$  has a subgraph homeomorphic to  $K_5$  or  $K_{3,3}$

- The “if” case is easy to show (how?)
- The “only if” case is hard (I don't know either ...)

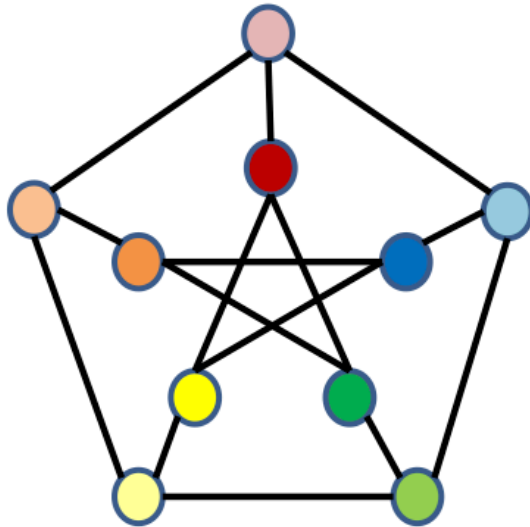
# Kuratowski's Theorem

- Ex : Show that the Petersen graph is non-planar.

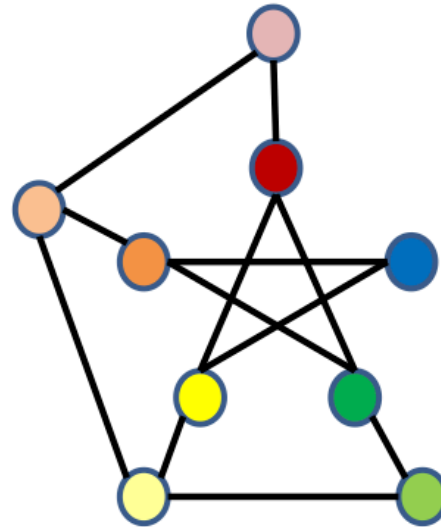


# Kuratowski's Theorem

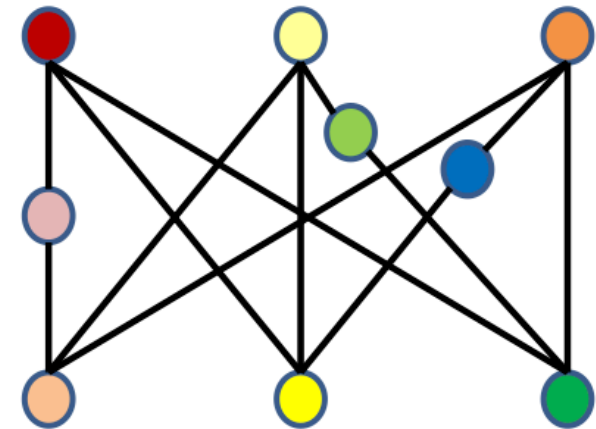
- Proof :



Petersen Graph



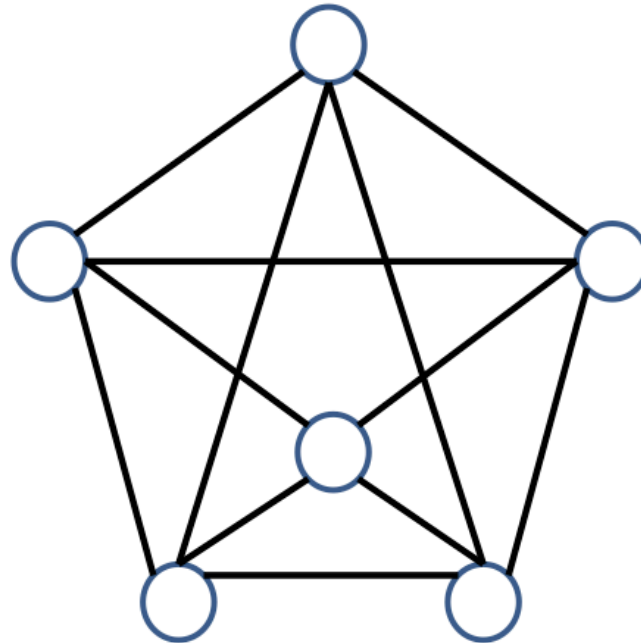
Subgraph homeomorphic to  $K_{3,3}$





# Kuratowski's Theorem

- Ex : Is the following graph planar or non-planar ?



# Kuratowski's Theorem

- Ans : Planar

