

# CS5371

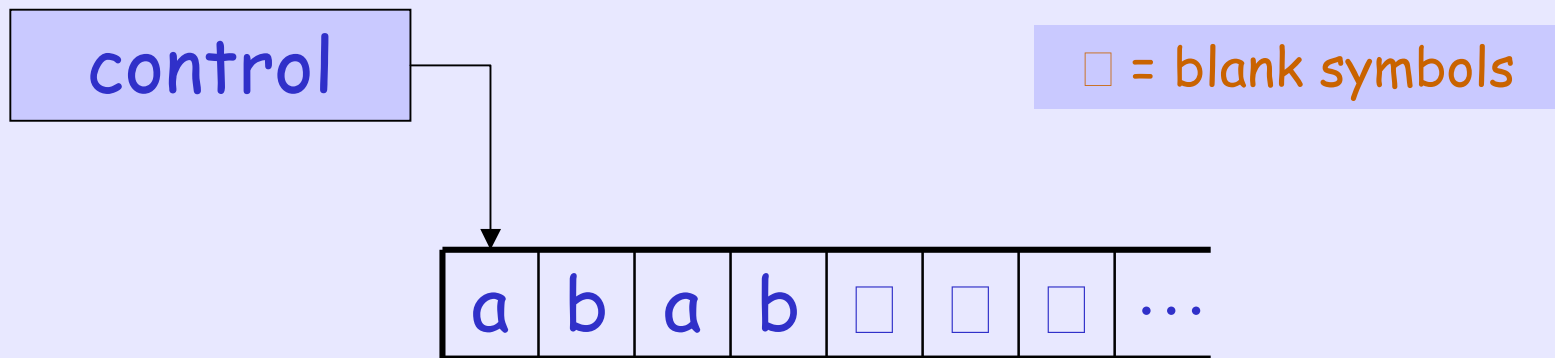
## Theory of Computation

Lecture 10: Computability Theory I  
(Turing Machine)

# Objectives

- Introduce the Turing Machine (TM)?
  - Proposed by Alan Turing in 1936
  - finite-state control + infinitely long tape
  - A stronger computing device than the DFA or PDA

# What is a TM?



- Control is similar to (but not the same as) DFA
- It has an infinite tape as memory
- A tape head can read and write symbols and move around the tape
- Initially, tape contains input string (on the leftmost end) and is blank everywhere

# What is a TM? (2)

- Finite number of states, with two special states: **accept, reject**
- Based on the **current state** and the **tape symbol** under the tape head, TM then decides the tape symbol to write on the tape, goes to the next state, and moves the tape head **left** or **right**
- When TM enters accept state, it accepts the input **immediately**; when TM enters reject state, it rejects the input **immediately**
- If it does not enter the accept or reject states, TM will **run forever**, and **never halt**

# TM versus DFA

- Similarities:
  - Finite set of states
- Differences:
  - TM has an infinite tape and
    - TM can both read and write on the tape
    - Tape head can move both left and right
  - Input string of TM is stored in tape
  - The special states in TM take effect *immediately*

# TM in Action

- Let us introduce a TM that recognizes the language

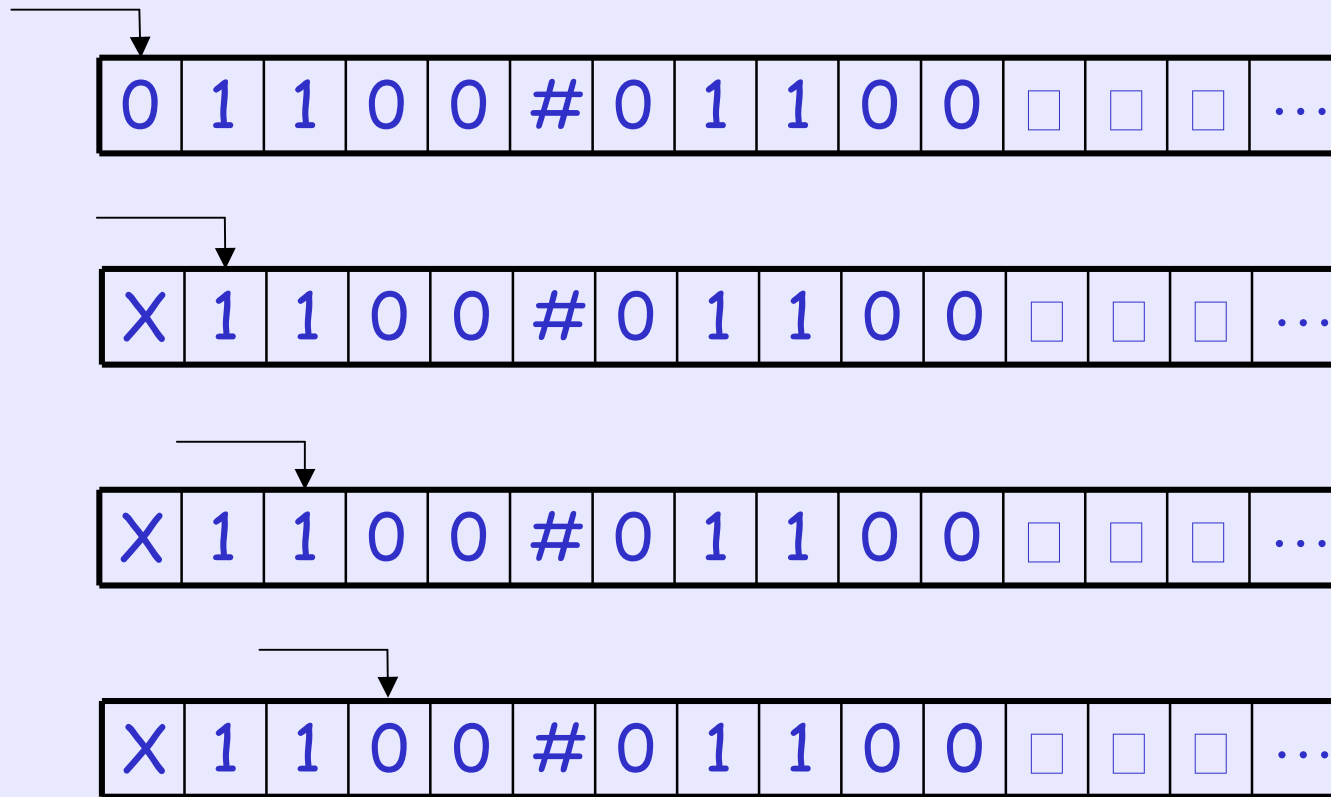
$$B = \{ w\#w \mid w \text{ is in } \{0,1\}^* \}$$

- We want the TM to accept if the input is in  $B$ , and to reject otherwise
- What should the TM do?

## TM in Action (2)

- Use multiple passes
- Starts matching corresponding chars, one on each side of #
- To keep track of which chars are checked already, TM crosses off each char as it is examined

# Snapshots of Execution (1)

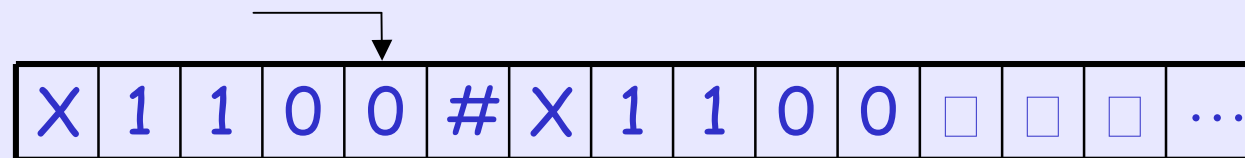
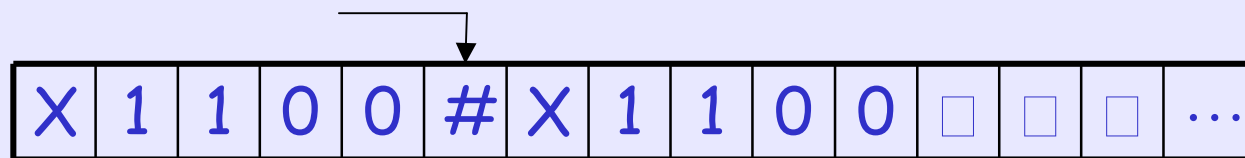
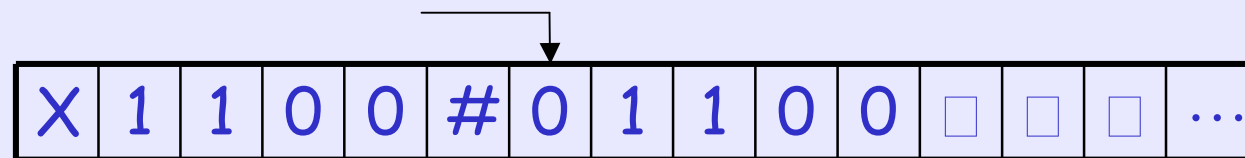


⋮

Tape head moves to right



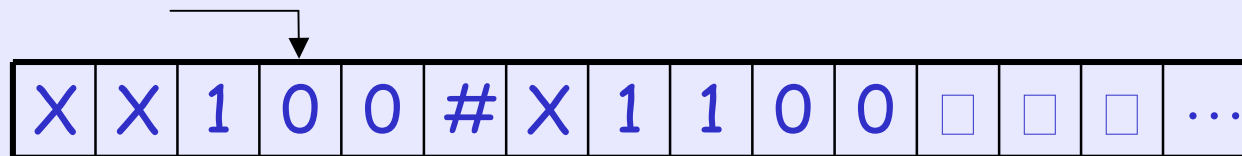
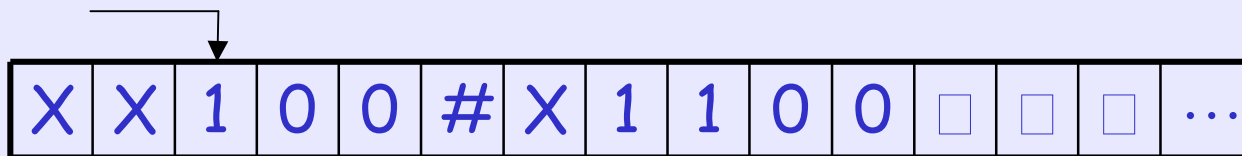
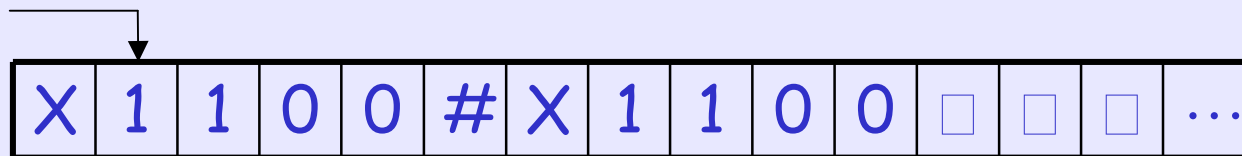
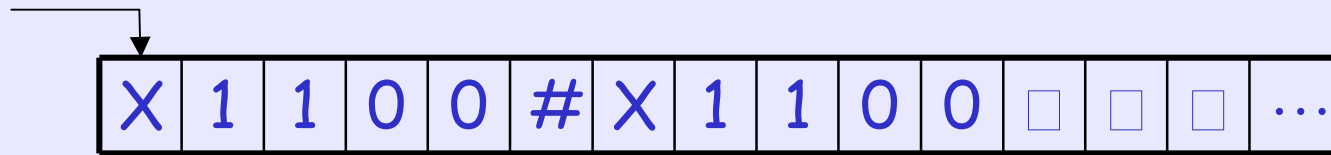
## Snapshots of Execution (2)



⋮

Tape head moves to left

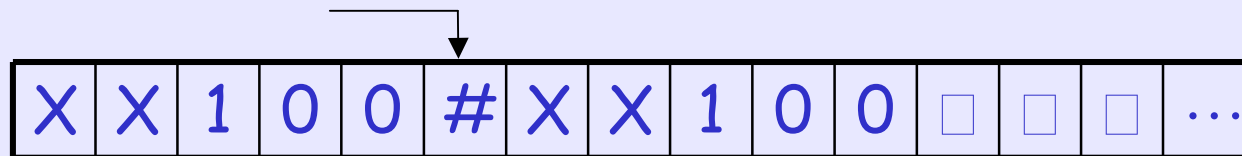
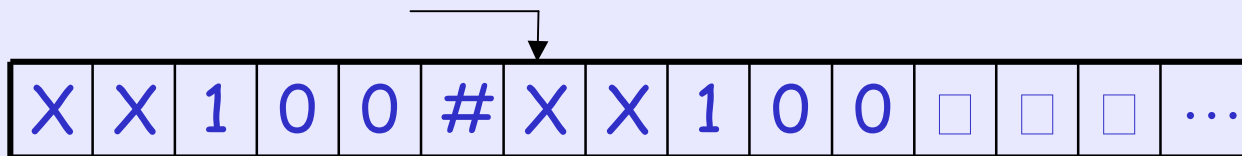
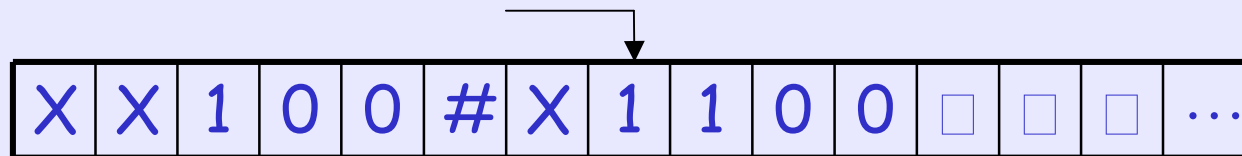
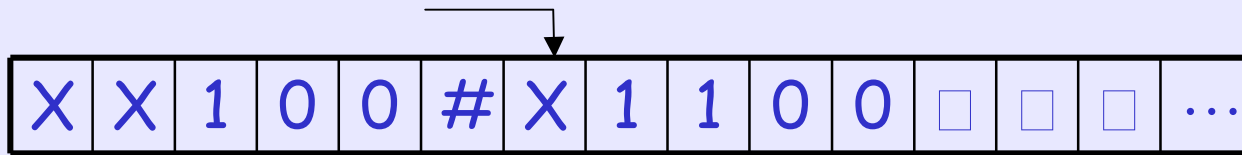
# Snapshots of Execution (3)



⋮

Tape head moves to right

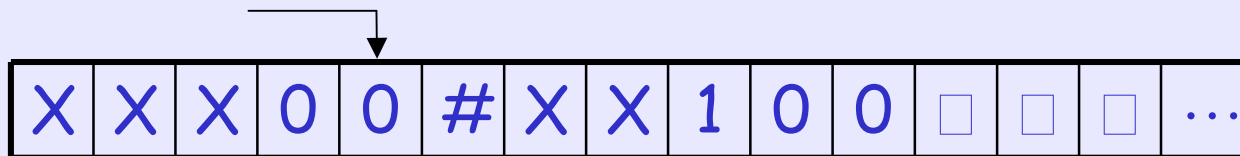
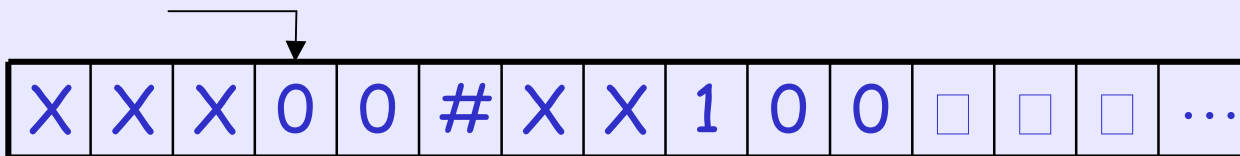
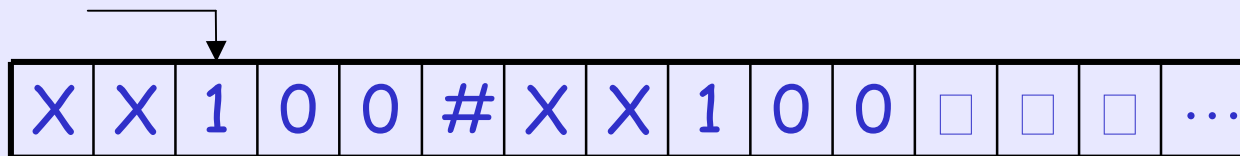
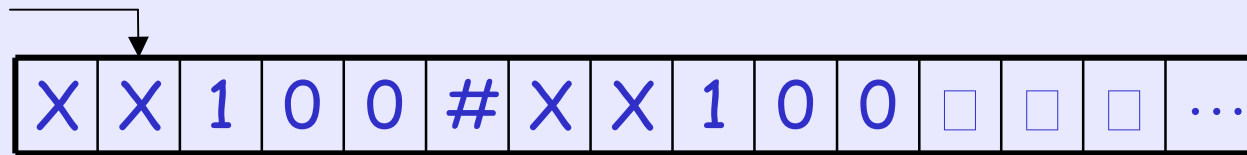
# Snapshots of Execution (4)



⋮

Tape head moves to left

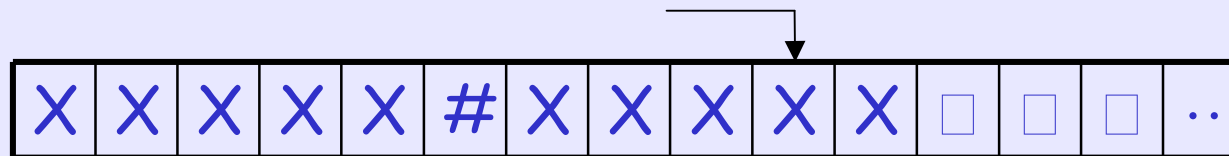
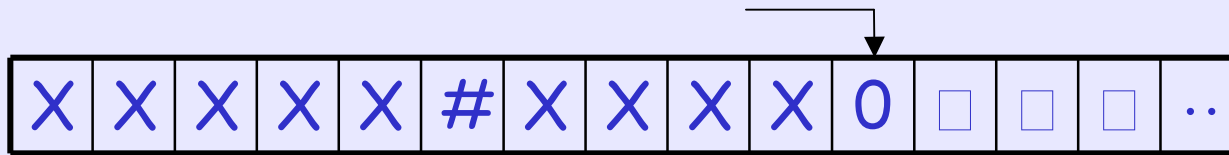
# Snapshots of Execution (5)



⋮

Tape head moves to right

# Snapshots of Execution (6)

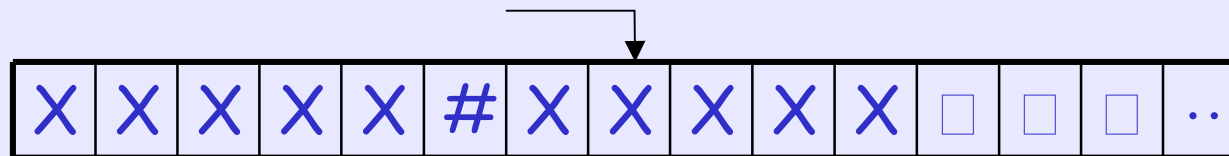
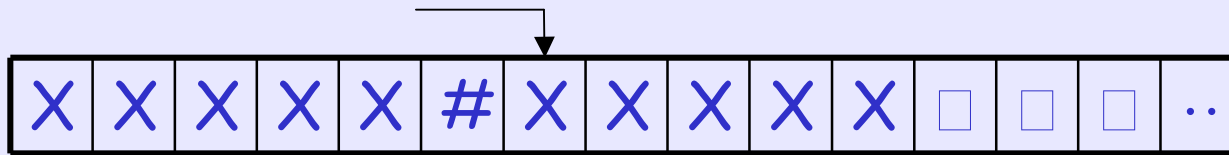


⋮

Tape head moves to left



# Snapshots of Execution (7)



⋮

Tape head moves to right



accept

# TM (Formal Definition)

- A **TM** is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{Acc}, q_{Rej})$ 
  - $Q$  = finite set of **states**
  - $\Sigma$  = finite **input alphabet**, where **blank symbol**  $\square \notin \Sigma$
  - $\Gamma$  = finite **tape alphabet**, where  $\square \in \Gamma$  and  $\Sigma \subset \Gamma$
  - $\delta$  is the transition function of the form:  
$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\},$$
where  $L, R$  indicates whether the tape head moves left or right after the transition
  - $q_0$  is the **start state**
  - $q_{Acc}$  = **accept state**,  $q_{Rej}$  = **reject state**

# Computation of TM

- Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{Acc}, q_{Rej})$  be a TM
- First,  $M$  receives input  $w = w_1w_2\dots w_n \in \Sigma^*$  on the leftmost  $n$  squares of the tape
  - Rest of tape is blank (i.e., filled with  $\square$ 's)
  - Note: as  $\square \notin \Sigma$ , first blank on the tape marks the end of input
- Once  $M$  has started, the computation proceeds according to the transition function



## Computation of TM (2)

- (important) If  $M$  tries to move its head to the left of the leftmost end of tape, the head simply stays for that move
- The computation continues until  $M$  enters accept state or reject state. Otherwise,  $M$  goes on forever

# Configuration of TM

- The **configuration** of a TM specifies the current state, and the current string in the tape, and the current location of the tape head
- When the configuration of a TM is:  
current state =  $q$ , current string  $w = uv$  with tape head over the first symbol of  $v$ , we write:  
 $u q v$   
as a shorthand notation
- E.g.,  $1100 q_7 01111$  represents the configuration of TM when tape is  $11000111$ , current state is  $q_7$ , and the tape head is over the 3<sup>rd</sup> 0 in the tape

## Configuration of TM (2)

- We say a configuration  $C$  **yields** another configuration  $C'$  if the machine can go from  $C$  to  $C'$  in a single transition step
- E.g., if  $\delta(q, b) = (q', c, R)$   
    u**a** **q** **b**v yields **u****a****c** **q'** **v**
  - special case when off the left end: E.g.,  
    **q** **b**v yields **q'** **c**v if  $\delta(q, b) = (q', c, L)$
- How to represent the start configuration?

# Configuration of TM (3)

- More special cases:
  - In an **accepting configuration**, the current state is the accept state  $q_{Acc}$
  - In a **rejecting configuration**, the current state is the reject state  $q_{Rej}$
  - These two kinds of configuration are called **halting configurations** and will not yield further configurations

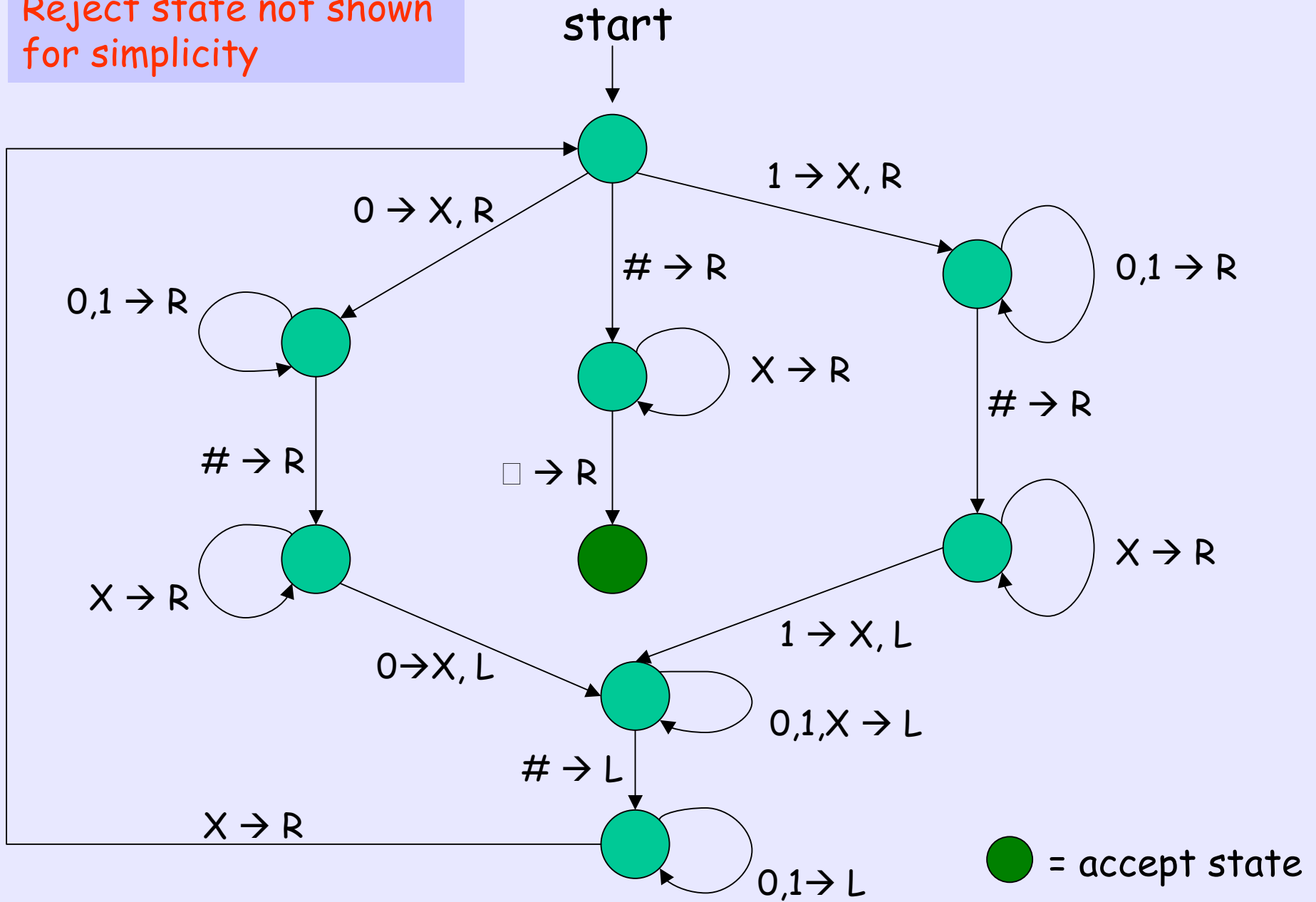
# Acceptance of TM (Formal Definition)

- Turing Machine  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{Acc}, q_{Rej})$   
**accepts** input  $w$  if a sequence of configurations  $C_1, C_2, \dots, C_k$  exists with
  - $C_1 = q_0 w$   
i.e., this indicates  $C_1$  is the start configuration
  - For  $i = 1$  to  $k-1$ ,  $C_i$  yields  $C_{i+1}$   
i.e.,  $M$  moves according to transition function
  - $C_k$  is an accepting configuration  
i.e.,  $M$  enters accept state in the end

# Example of TM

- Let us try to describe formally a TM that recognizes  $\{ w\#w \mid w \text{ in } \{0,1\}^* \}$
- Also, let us use the shorthand  
 $a \rightarrow b, L$  to denote current tape symbol is changed from  $a$  to  $b$  after transition, and tape head moves to  $L$  and  
and  
 $a \rightarrow L$  to mean  $a \rightarrow a, L$

Reject state not shown  
for simplicity



## Example of TM (2)

- Giving full details of TM are sometimes time-consuming
- Usually, people give only high-level details instead (but they **must** be **precise** enough for understanding)
- Let us try to describe the high-level details of a TM  $M_2$  that recognizes the language  
 $\{ a^i b^j c^k \mid i \times j = k \text{ and } i, j, k \geq 1 \}$



## High-Level Details of $M_2$

- On any input string  $w$ 
  - Scan the input from left to right to check if the string is in the form  $a^+b^+c^+$  (**rejects** if not) (how?)
  - Return the head to left end of tape (how?)
  - Cross off an 'a'. Scan right to find the first 'b'. Zig-zag the input string, so that we match each 'b' with each 'c' by crossing off a 'b' and a 'c' each time. If not enough 'c', **rejects**
  - Restore all crossed 'b'. Repeat the above step if there are 'a' remaining (how?)
  - If all 'a' are gone, check if all 'c' are crossed. If yes, **accepts**. If no, **rejects**

# Recursively Enumerable Language

- The set of strings that  $M$  accepts is called the **language** of  $M$ , or the language recognized by  $M$ , and is denoted by  $L(M)$
- We call a language **Turing-recognizable** (or, **recursively enumerable**) if there is some Turing machine that recognizes it

# Recursive Language

- On a given input to a TM, there are three possible outcomes: TM **accepts**, TM **rejects**, or TM **loops** forever
- A TM machine that halts (i.e., never loops) on all inputs is called a **decider**
- We say a TM  $M$  **decides** a language  $L$  if  $M$  accepts all strings in  $L$  and  $M$  rejects all strings not in  $L$  (so,  $M$  is a decider)
- A language is **Turing-decidable** (or, **recursive**) if there is some TM that decides it

# Quick Quiz

Is the following true?

1. If  $L$  is Turing-decidable,  $L$  is Turing-recognizable
2. If  $L$  is Turing-recognizable,  $L$  is Turing-decidable
3. If  $L$  is Turing-decidable, so is  $\bar{L}$
4. If  $L$  is Turing-recognizable, so is  $\bar{L}$
5. If both  $L$  and  $\bar{L}$  are Turing-recognizable,  $L$  is Turing-decidable

# Next Time

- Multi-tape Turing Machine
- Non-deterministic Turing Machine (NTM)