

CS2351

Data Structures

Tutorial 3:

Data Structures for Disjoint Sets

About this lecture

- Data Structure for Disjoint Sets
 - Support Union and Find operations
- Various Methods:
 1. Union by Size
 2. Union by Rank
 3. Union by Rank + Path Compression

Maintaining Disjoint Set

- In some applications, especially in algorithms relating to graphs, we often have a set of elements, and want to maintain a **dynamic partition** of them
 - I.e., the partition changes over time
- Our target corresponds to maintaining **dynamic disjoint sets** of the elements

Maintaining Disjoint Set

- Let $\Sigma = \{ S_1, S_2, \dots, S_k \}$ be a collection of dynamic **disjoint sets** of the elements
- Let x and y be any two elements
- We want to support:

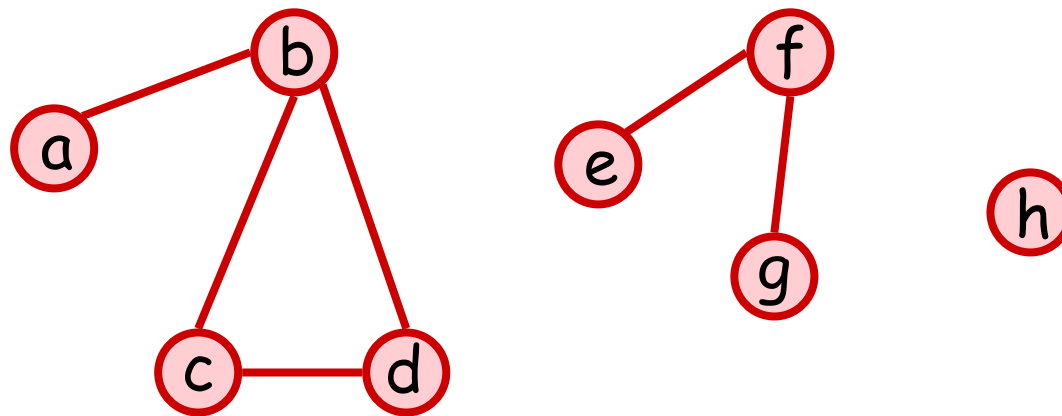
Make-Set(x): create a set containing x

Find(x): return which set x belongs

Union(x, y): merge the sets containing x and containing y into one

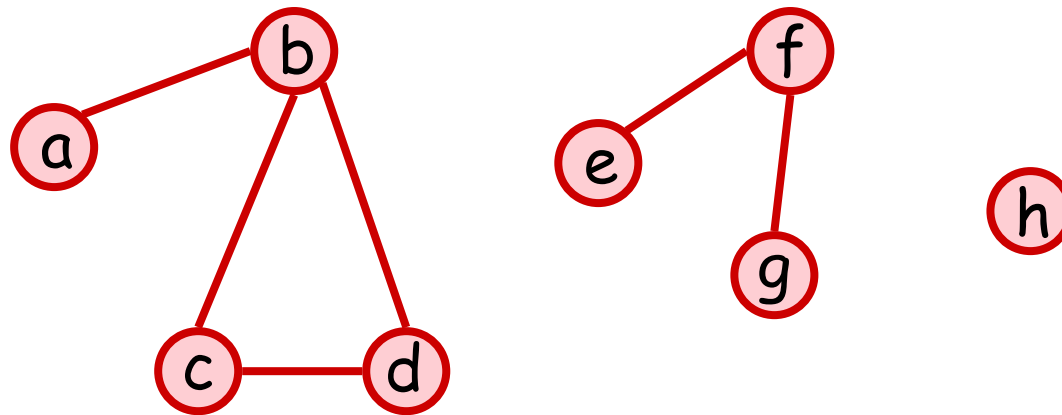
Example Application: Finding Connected Components

Step 0: Begin with the input graph



Example Application: Finding Connected Components

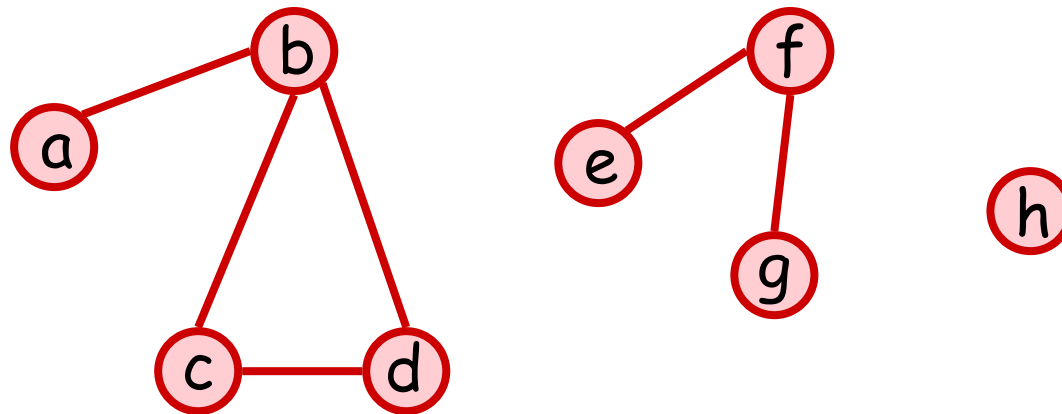
Step 1: *Make-Set*(*v*) for each vertex *v*



current Σ : $\{ \{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\}, \{g\}, \{h\} \}$

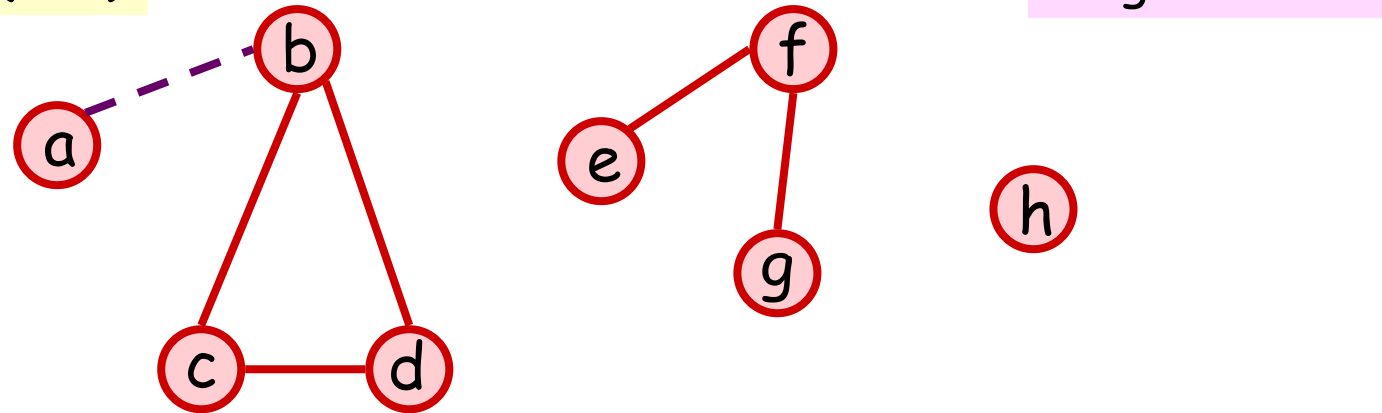
Example Application: Finding Connected Components

Step 2: Visit each edge (u,v) , perform $\text{Union}(u,v)$



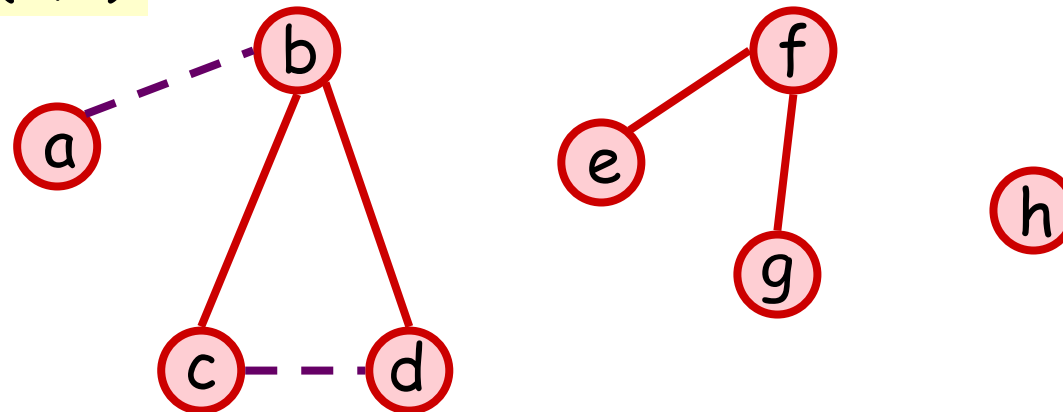
current Σ : $\{ \{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\}, \{g\}, \{h\} \}$

Step 2: Visit (a,b)



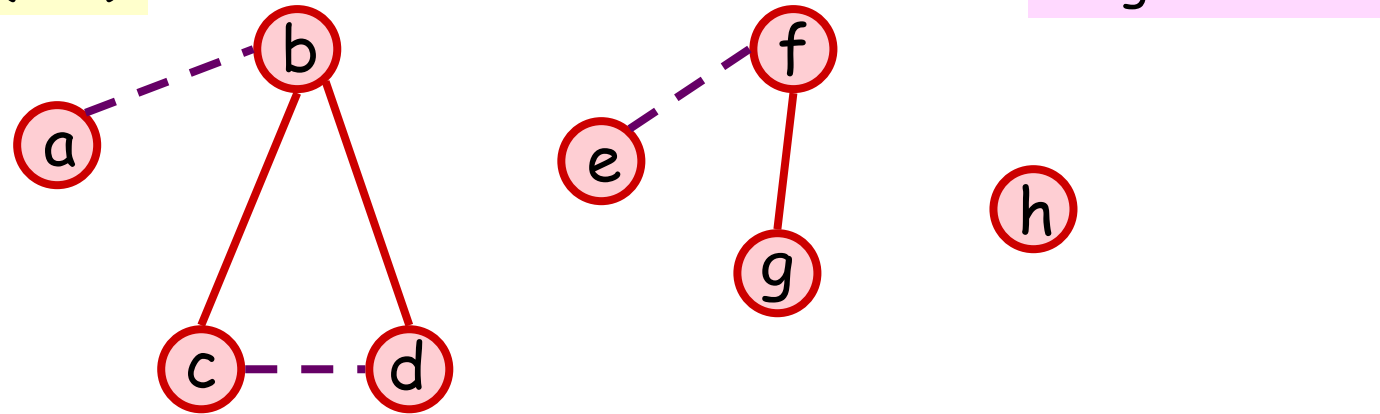
current Σ : $\{ \{a,b\}, \{c\}, \{d\}, \{e\}, \{f\}, \{g\}, \{h\} \}$

Step 2: Visit (c,d)



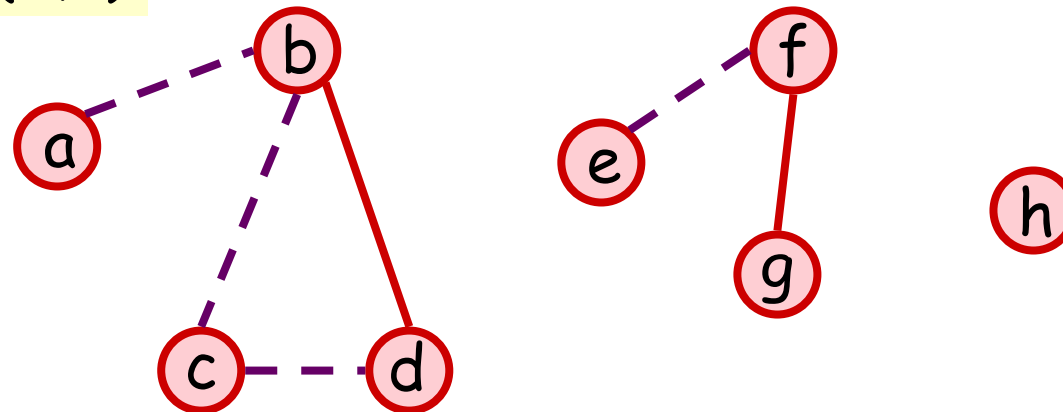
current Σ : $\{ \{a,b\}, \{c,d\}, \{e\}, \{f\}, \{g\}, \{h\} \}$

Step 2: Visit (e,f)



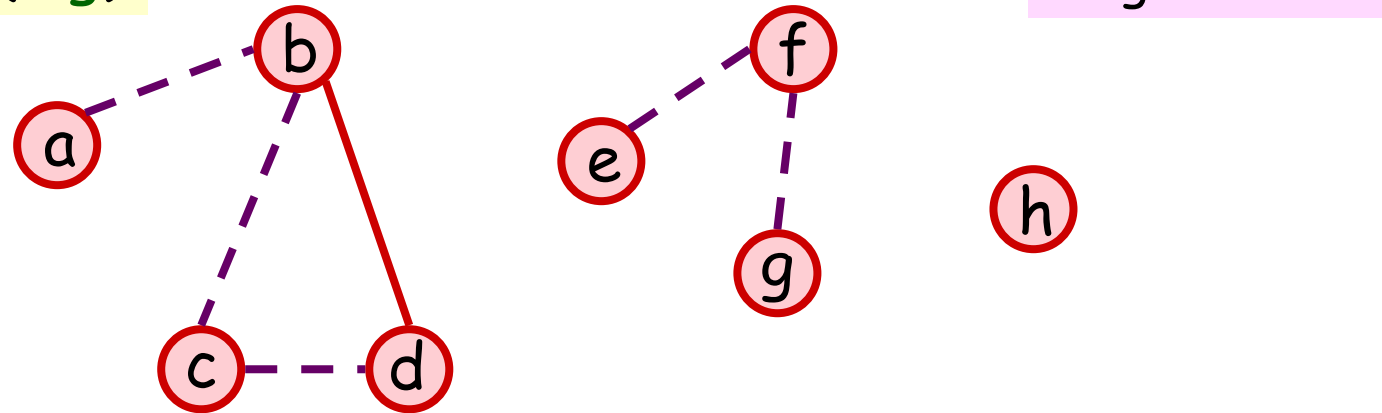
current Σ : $\{ \{a,b\}, \{c,d\}, \{e,f\}, \{g\}, \{h\} \}$

Step 2: Visit (b,c)



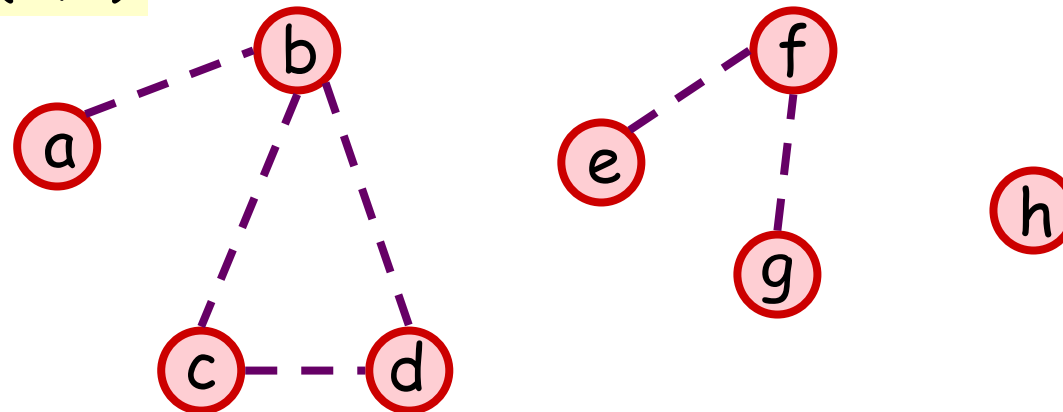
current Σ : $\{ \{a,b,c,d\}, \{e,f\}, \{g\}, \{h\} \}$

Step 2: Visit (f,g)



current Σ : $\{ \{a,b,c,d\}, \{e,f,g\}, \{h\} \}$

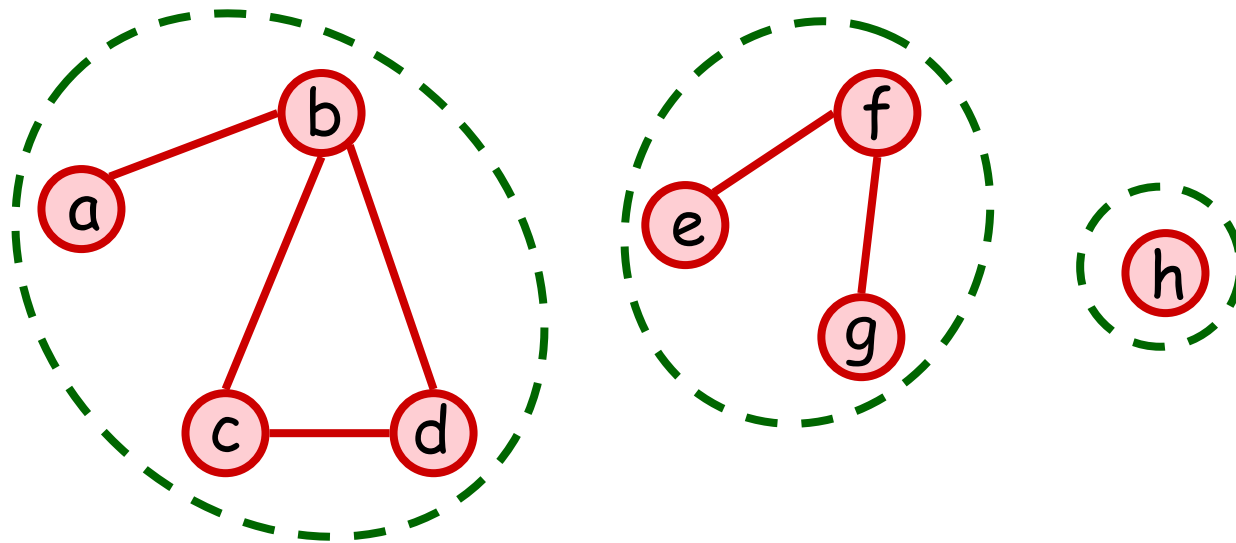
Step 2: Visit (b,d)



current Σ : $\{ \{a,b,c,d\}, \{e,f,g\}, \{h\} \}$

Example Application: Finding Connected Components

After Step 2 (when all edges visited) :
Each Disjoint Set \Leftrightarrow Connected Component



current Σ : $\{ \{a,b,c,d\}, \{e,f,g\}, \{h\} \}$

Remarks

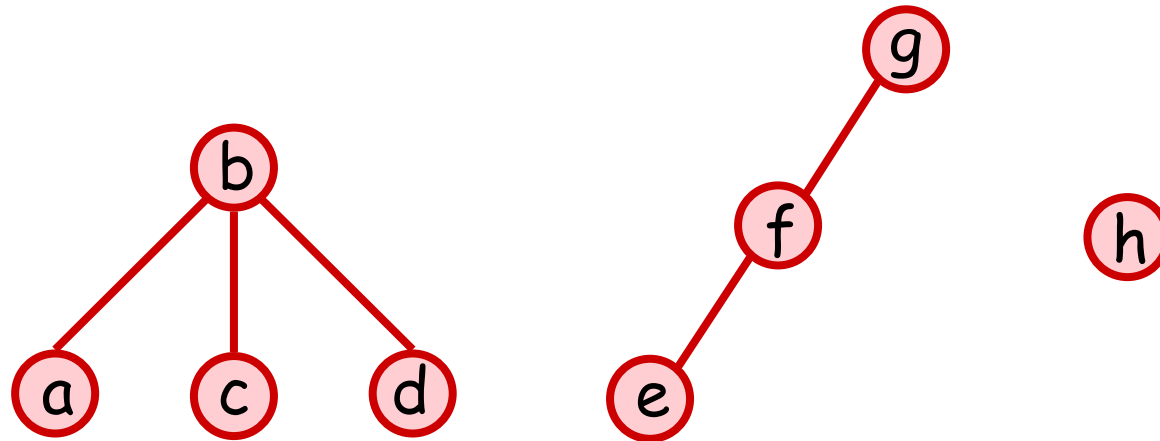
- To facilitate $\text{Find}(x)$, each set usually chooses one of its element as a **representative**
 - $\text{Find}(x)$ returns the representative element of the set where x belongs
- To check if x and y belong to the same set, we can just check if
$$\text{Find}(x) == \text{Find}(y)$$

Disjoint-Set Forest

- One popular method to maintain disjoint sets is by a forest
 - Each set \Leftrightarrow a separate rooted tree
 - Representative \Leftrightarrow root of tree

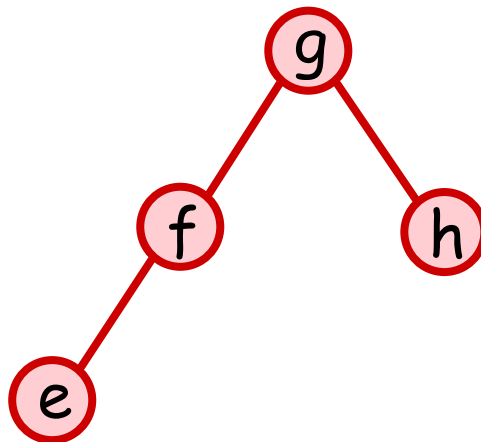
Example

Current dynamic sets : $\{ \{a,b,c,d\}, \{e,f,g\}, \{h\} \}$



Disjoint-Set Forest

- To perform $\text{Union}(x,y)$, we join the trees containing x and containing y , by linking their roots
- E.g. $\text{Union}(f,h)$ in previous example gives:



Disjoint-Set Forest

- Let H_{\max} = max height of all trees
- In the worst-case:

Make-Set : $\Theta(1)$ time

Find or Union : $O(H_{\max})$ time

→ m operations on n elements :

worst-case $\Theta(mn)$ time

Union By Size

- Let us apply a union-by-size heuristic :

To perform Union, we link root of the smaller tree to root of the larger tree

- $H_{\max} = O(\log n)$ (how to prove??)
- m operations : $\Theta(m \log n)$ time

Union By Rank

- A similar heuristic is called union-by-rank
- Each node keeps track of its **rank** - an **upper bound** on the height of the node
 - In a single-node tree (created by Make-Set)
rank of root = 0

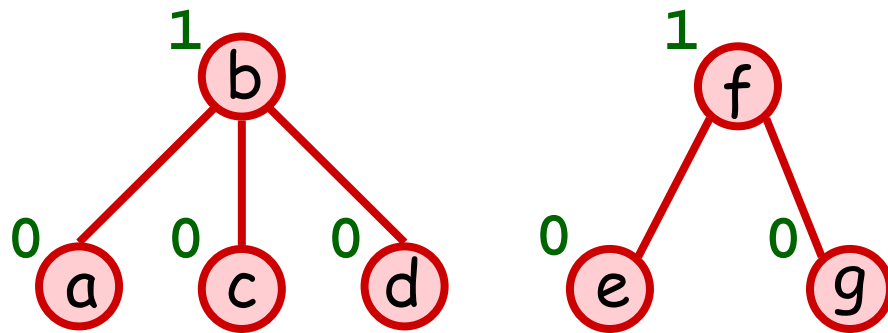
To perform **Union**, we link root with smaller rank to root with larger rank

Union By Rank

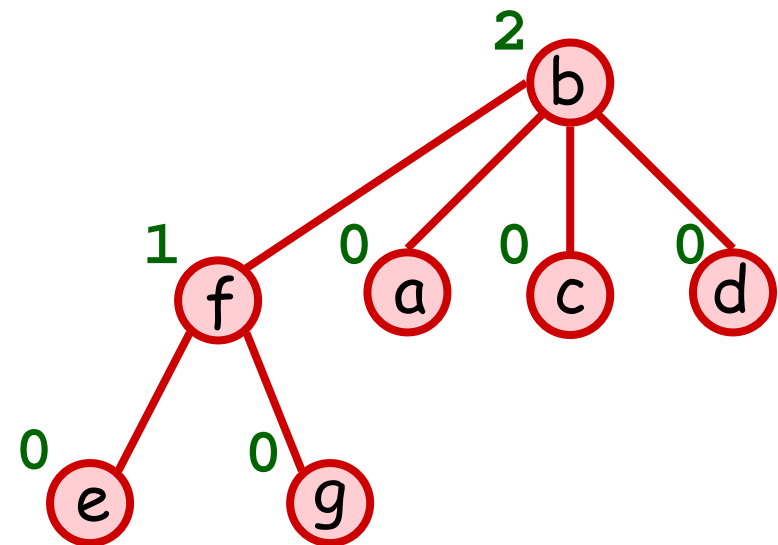
- Rank needs **not** be very accurate
 - as long as it always gives an upper bound of height is enough
- When **Union** is performed, only the rank of the roots may change :
 - If both roots have same rank
 - rank of new root increases by 1
 - Else, no change

Example of Union by Rank

Before Union



After Union(c,f)



? = rank

Union By Rank

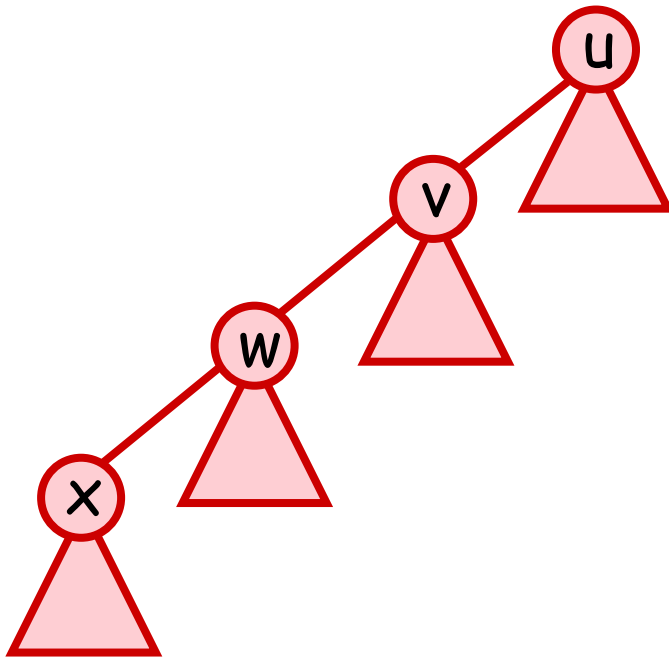
- Let H_{\max} = max height of all trees
 - $H_{\max} = O(\log n)$ (how to prove??)
 - m operations : $\Theta(m \log n)$ time
- So, union by rank is **no better** than union by size, but ...

Path Compression

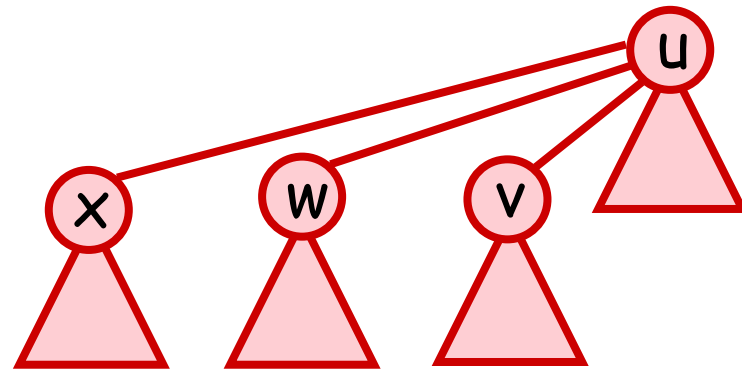
- The closer a node to its root, the faster the Find or Union operation
- When we perform Find(x), we will need to find the root of the tree containing x
 - ➔ will access every ancestor of x
- why don't we make all these ancestors of x closer to the root now?
(Because no increase in asymptotic performance !!!)

Example of Path Compression

Before Find(x)



After Find(x)



Union by Rank + Path Compression

- If $\text{Union}(x, y)$ is always performed by first $\text{Find}(x)$, $\text{Find}(y)$, and then linking the roots, then by combining union-by-rank (at Union) and path compression (at Find and Union) :

m operations: $\Theta(m \alpha(n))$ time

Inverse Ackermann
(in practice, at most 4)



Finding Connected Components

- Recall: To find connected components of a graph G with n vertices and m edges
 - there are n Make-Set and m Find or Union operations
- Which scheme for dynamic disjoint sets gives the best running time (theoretically)?
Ans. Depends on m (why?)