## CS4311 Design and Analysis of Algorithms

Homework 5 (Solution Sketch)

1. **Main Idea:** The camel can always pick the *farthest* oasis that is reachable as the next stop for supply.

The Greedy Choice property can be proven by "Cut-and-Paste" argument. The Optimal Substructure property can be easily proven by contradiction.

- 2. Main Idea: To ease the discussion, for any integer i, we define  $\overline{i}$  to be the largest power of two that is smaller than or equal to i.
  - (a) Applying the aggregate method, we see that the total cost for pushing is O(n), and the total cost for flipping is at most  $1 + 2 + 4 + ... + \bar{n} = O(n)$ . Thus, the amortized cost is O(1) for each operation.
  - (b) For accounting method, we assign \$3 for each operation. Suppose the current number of items is *i*. By induction, we can show that for each item added after the ith operation, they will possess \$2, while for all the others each possesses at least \$0. Thus, whenever there is a flipping event, the money in the stack is enough to pay for the cost. Thus, \$3 is enough, and the amortized cost is O(1).
  - (c) The potential function can be designed based on the accounting method. We assign the potential function  $\Phi$  to be  $2(i-\bar{i})$ . It can be shown that no matter flipping occurs or not, the amortized cost of each operation is at most \$3, which is O(1).
- 3. Main Idea: For each node v in the heap, let f(v) denote the number of nodes in the subtree rooted at v, and let d(v) denote the node-depth of v.

The following two potential functions both can show that the amortized cost of INSERT is  $O(\log n)$ , and the amortized cost of EXTRACT-MIN is O(1).

(a)  $\Phi = \sum_{v} d(v)$ , or

(b) 
$$\Phi = \sum_{v} f(v).$$

However, it is impossible to have the amortized cost of INSERT to be  $o(\log n)$  and at the same time the amortized cost of EXTRACT-MIN is O(1). Assume on the contrary that this can be done. Then, we can sort n items using n INSERT followed by n EXTRACT-MIN, with a total cost of  $n \times o(\log n) + n \times O(1) = o(n \log n)$ . As each operation of INSERT or EXTRACT-MIN requires only a series of comparisons, this shows that n items can always be sorted using  $o(n \log n)$  comparisons. Now, we obtain a contradiction from the sorting lower bound, which states that sorting n items in the worst case needs  $\Omega(n \log n)$  comparisons.