

# Red Black Tree

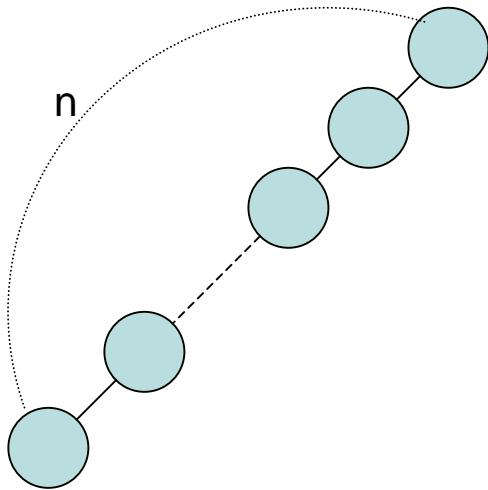
A balanced binary search tree

# Review

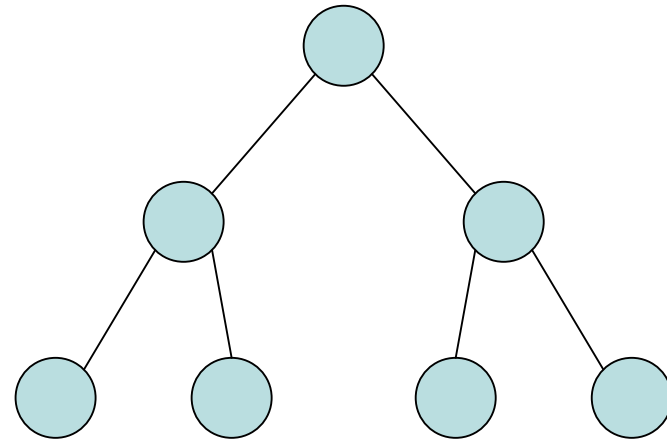
- Binary Search Tree (BST) is a good data structure for searching algorithm
- It supports
  - Search, find predecessor, find successor, find minimum, find maximum, insertion, deletion

# Motivation

- The performance of BST is related to its height  $h$ 
  - All the operation in the previous page is  $O(h)$



Worst case:  $h = O(n)$



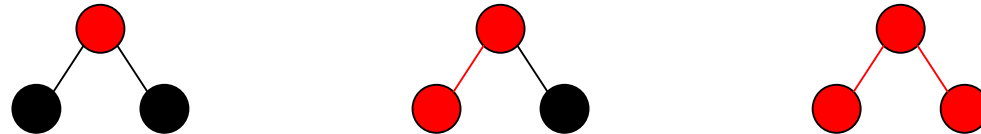
Best case:  $h = O(\log n)$

# Motivation

- We want a balanced binary search tree
  - Height of the tree is  $O(\log n)$
- Red-Black Tree is one of the balanced binary search tree

# Property

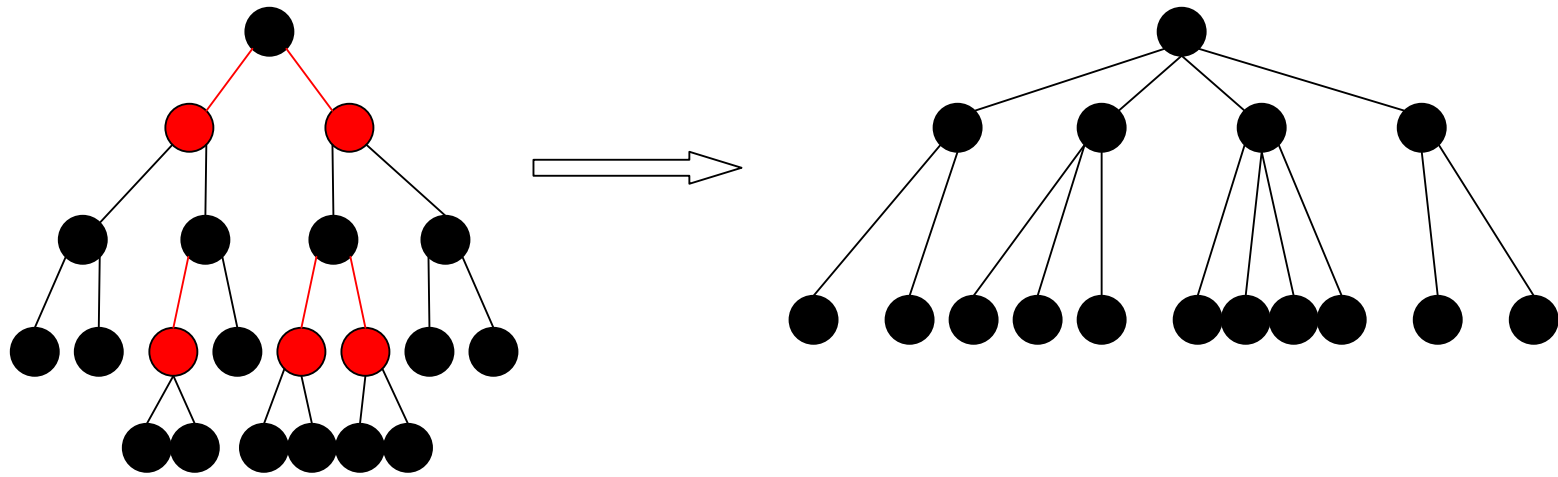
1. Every node is either red or black
2. The root is black
3. If a node is red, then both its children are black



4. For each node, all path from the node to descendant leaves contain the same number of black nodes
  - All path from the node have the same black height

# Property

- Compact



# Property

- The height of compacted tree is  $O(\log n)$
- Since no two red nodes are connected, the height of the original tree is at most  $2 \log n = O(\log n)$

# Operation

- Since red-black tree is a balanced BST, it supports
  - Search(tree, key)
  - Predecessor(tree, key)
  - Successor(tree, key)
  - Minimum(tree)
  - Maximum(tree)in  $O(\log n)$ -time
- It also support insertion and deletion with a little bit complicated step

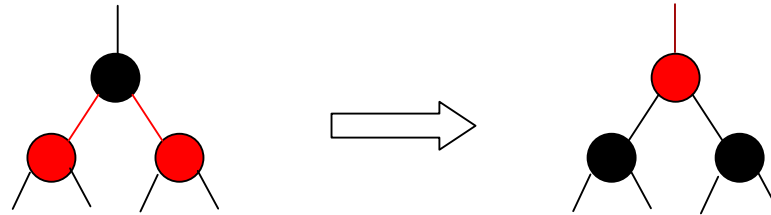


# Maintain Property

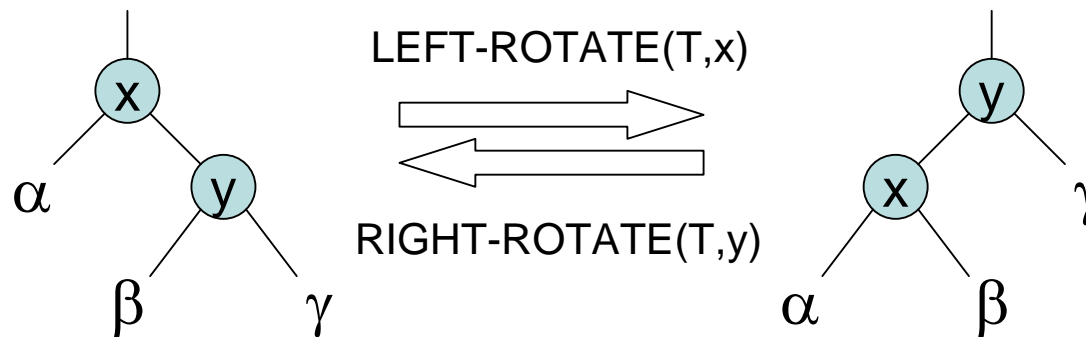
- Insertion and Deletion will violate the property of red-black tree
- How to maintain the property?
  - by Changing Color or Rotation

# Maintain Property

- Changing color

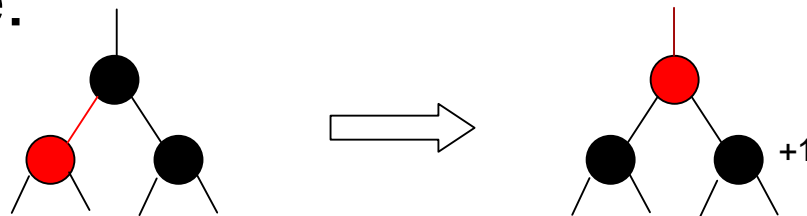


- Rotation



# Common Problem

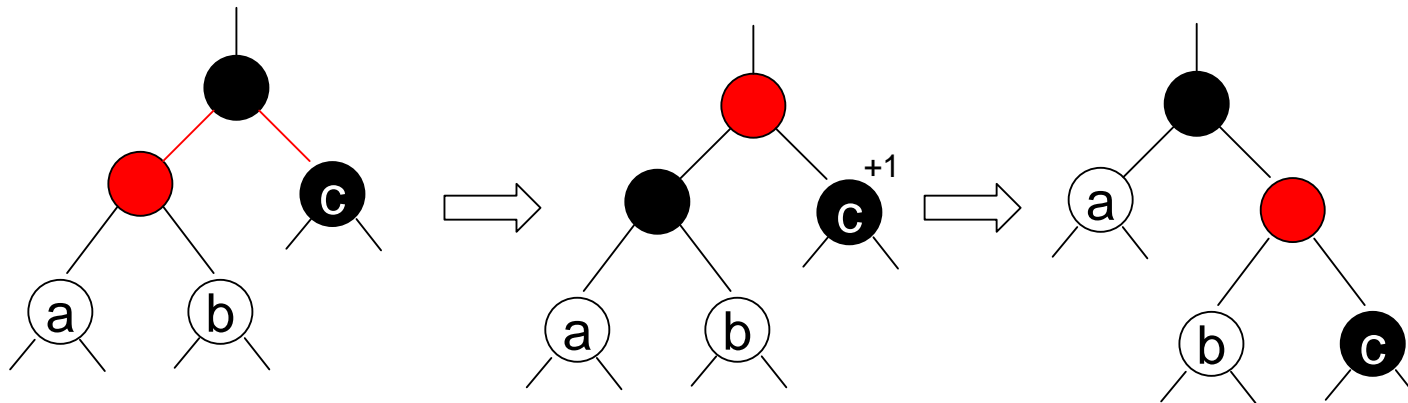
- A problem during Insertion and Deletion is **Doubly-Black** node
- Doubly-Black node is a node which has color of two black, it violate property 1
- For example:



(+1 means the node need another black to maintain the invariant of the property)

# Common Problem

- A common problem and its solution are as following



# Insertion

- When insert a node  $z$ , we set the color of  $z$  to red
- This may violate property 2 and 3
- For property 2, we set the color of root to black after insertion

# Insertion

- To fix property 3, we will consider if
  - The z's parent is a left child or right child
  - The color of z's uncle y is red or black
  - z is a left child or right child
- We consider the z's parent is a left child first, the other case can be done by symmetric operation

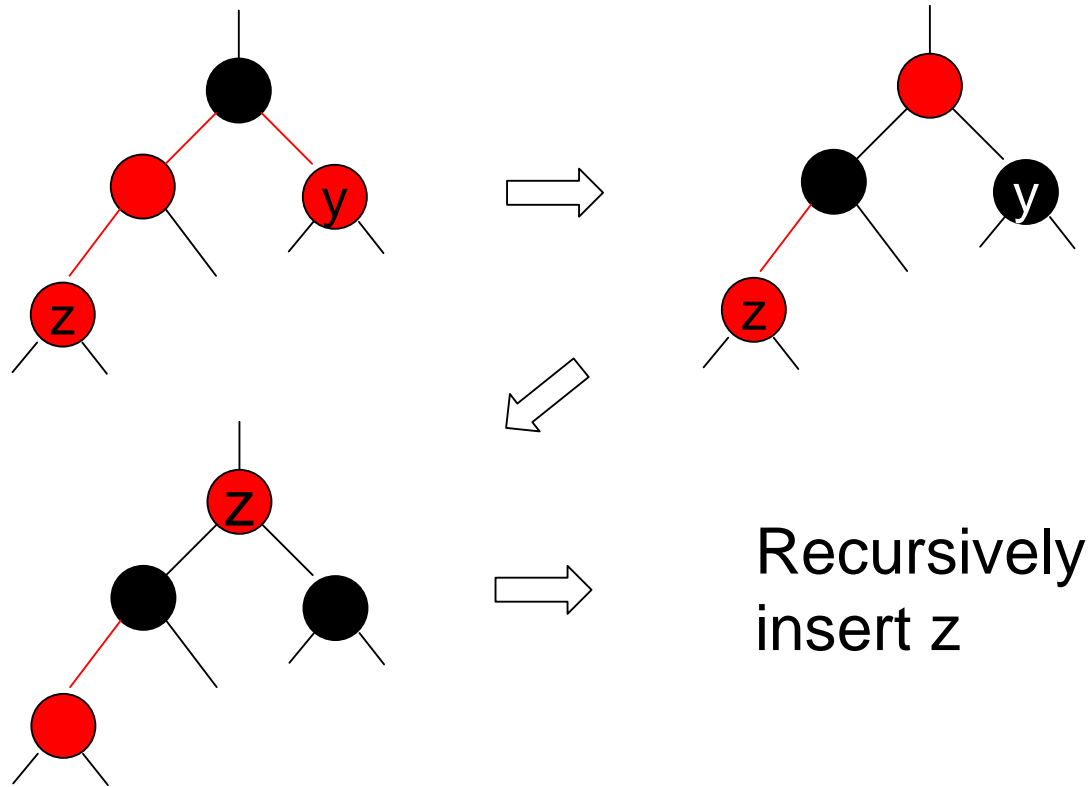
# Insertion

There are 4 cases:

- Case 1: y is red and z is a left child
- Case 2: y is red and z is a right child
- Case 3: y is black and z is a left child
- Case 4: y is black and z is a right child

# Insertion - Case 1

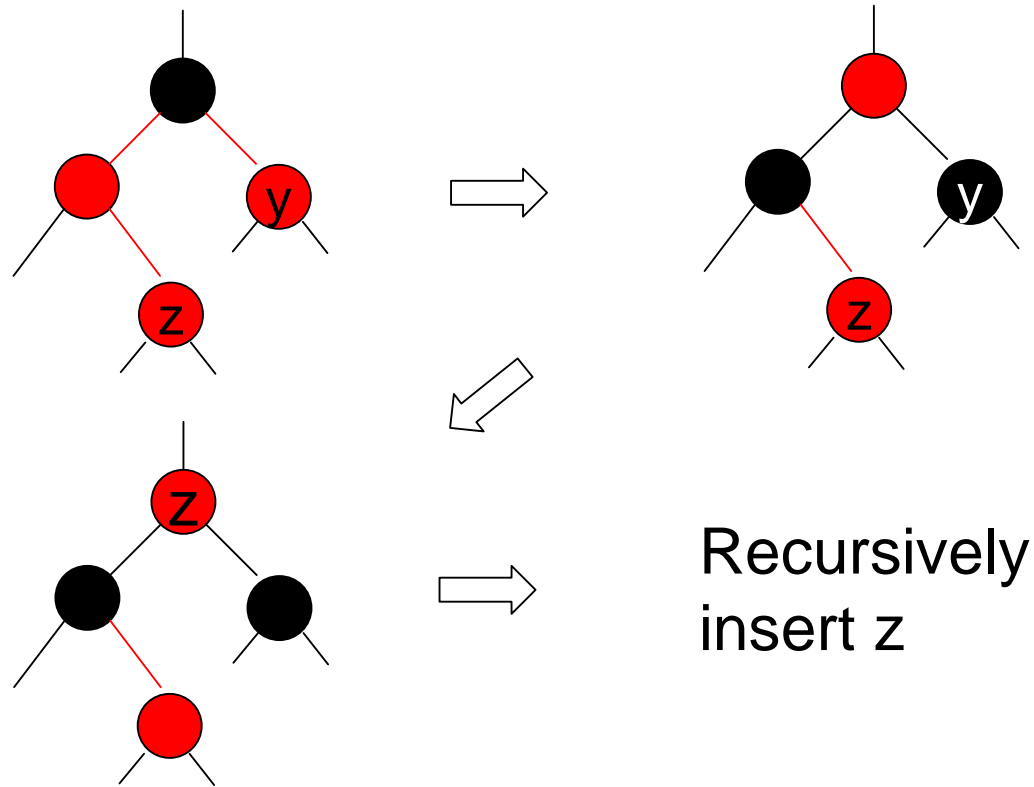
- Case 1: y is red and z is a left child





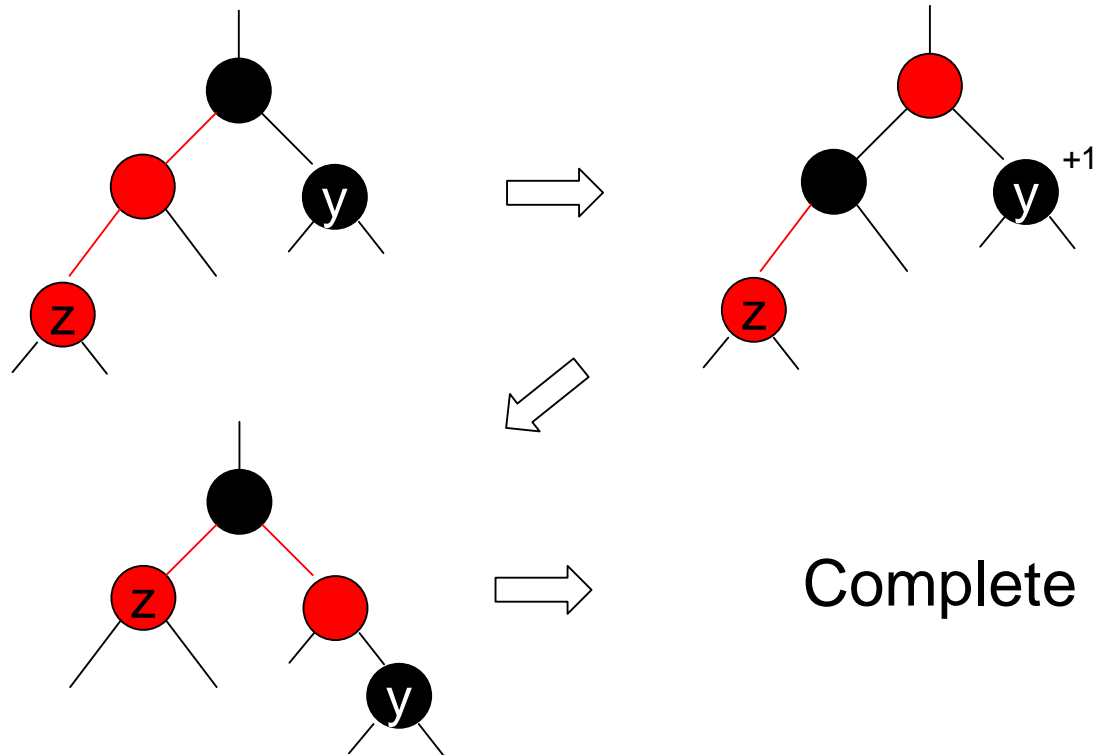
# Insertion - Case 2

- Case 2: y is red and z is a right child



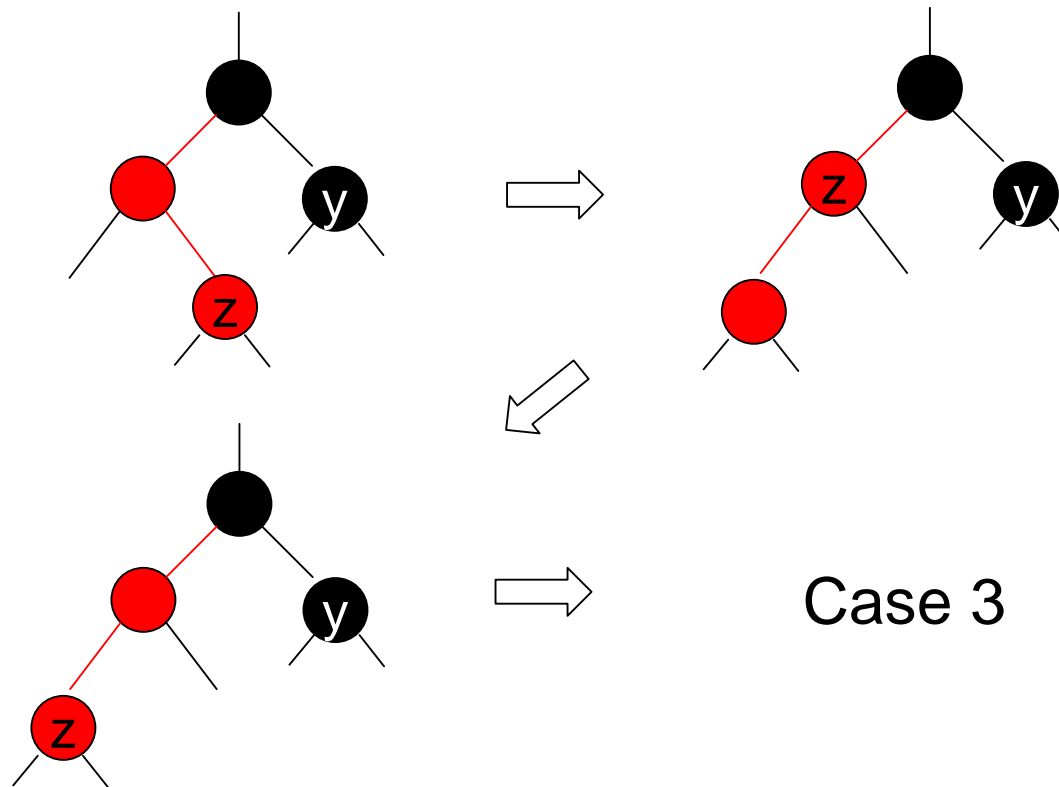
# Insertion - Case 3

- Case 3: y is black and z is a left child



# Insertion - Case 4

- Case 4: y is black and z is a right child

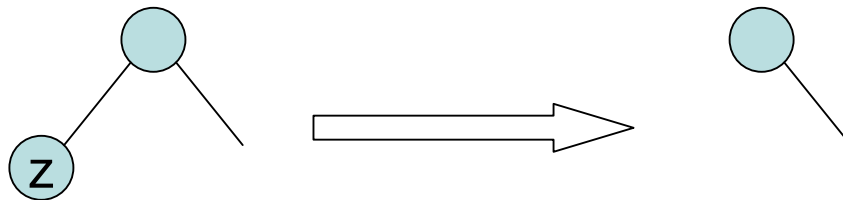


# Insertion Analysis

- Case 1 and 2 move  $z$  up 2 levels
- Case 3 and 4 will terminate after some number of steps
- The height of tree is finite and is  $O(\log n)$
- The running time is  $O(\log n)$
- At most 2 rotations

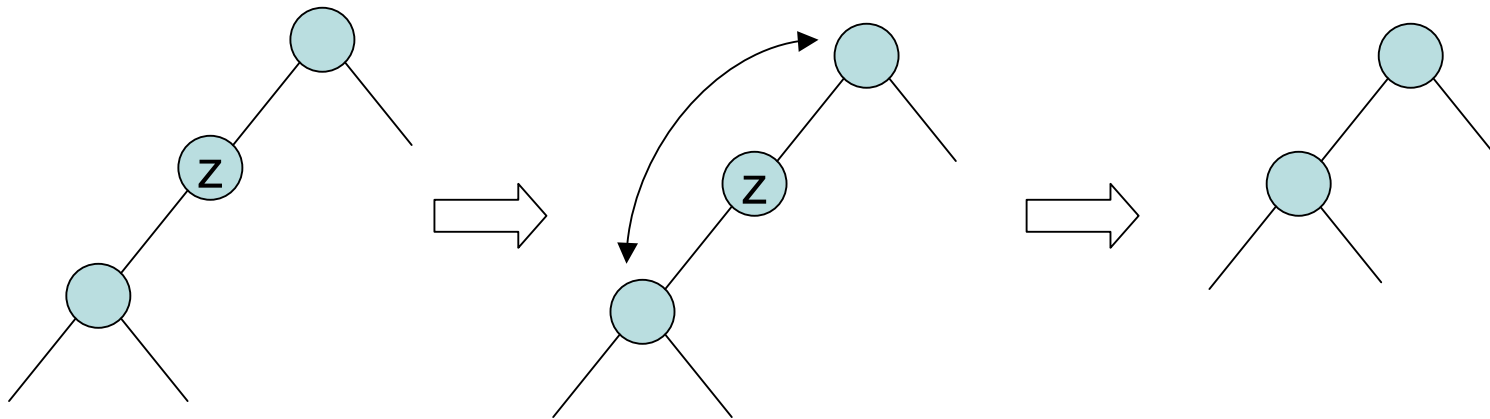
# Deletion Review

- Review deletion of BST
- To delete a node z, there are 3 cases
- Case1: z has no child



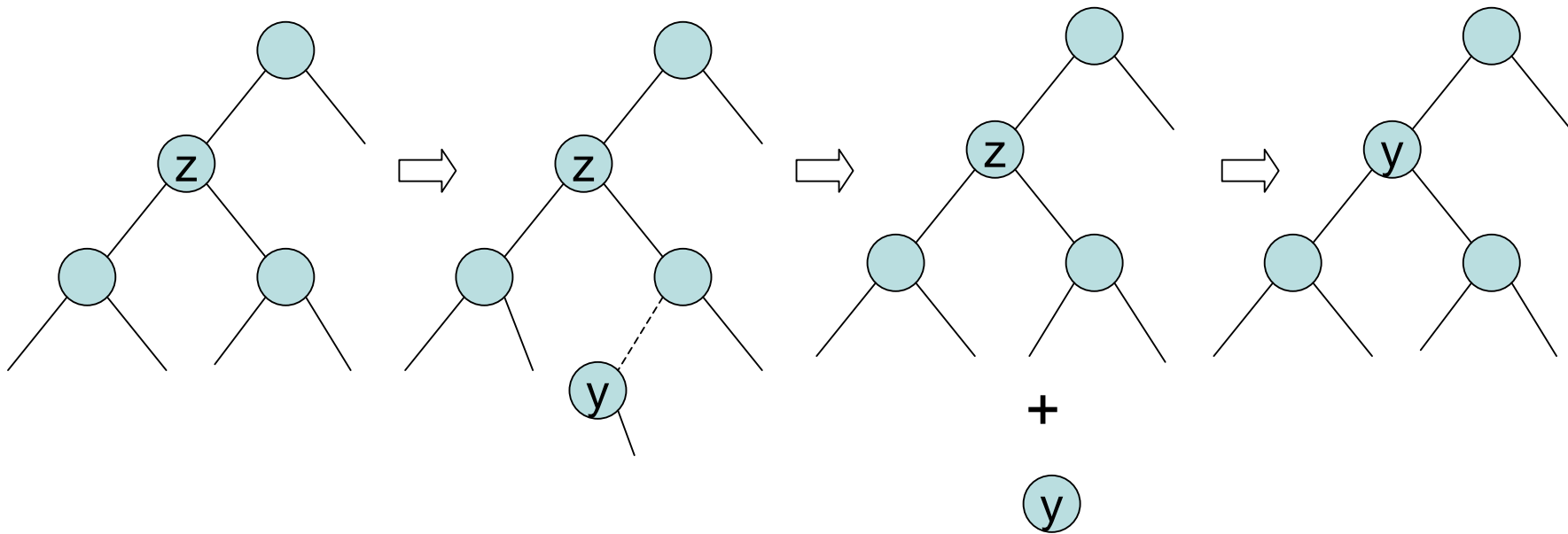
# Deletion Review

- Case 2: z has one child



# Deletion Review

- Case 3: z has two children



# Deletion

- From now on, we always call the deleted node to be  $z$
- If  $z$  is red, it won't violate any property
- If  $z$  is a leaf, it won't violate any property
- Otherwise  $z$  is black and has a child, it will violate property 2, 3, and 4
- For property 2, set the color of root to black after deletion



# Deletion

To fix property 3 and 4:

- If z's child x (which is the replacing node) is red, set x to black. Done!
- If x is black, add another black to x, so that x will be a doubly black node, and property 3 and 4 are fixed. But property 1 is violated

# Deletion

- To fix property 1, we will consider if
  - $x$  is a left child or right child
  - The color of  $x$ 's sibling  $w$  is red or black
  - The colors of  $w$ 's children
- We consider  $x$  is a left child first, the other case can be done by symmetric operation

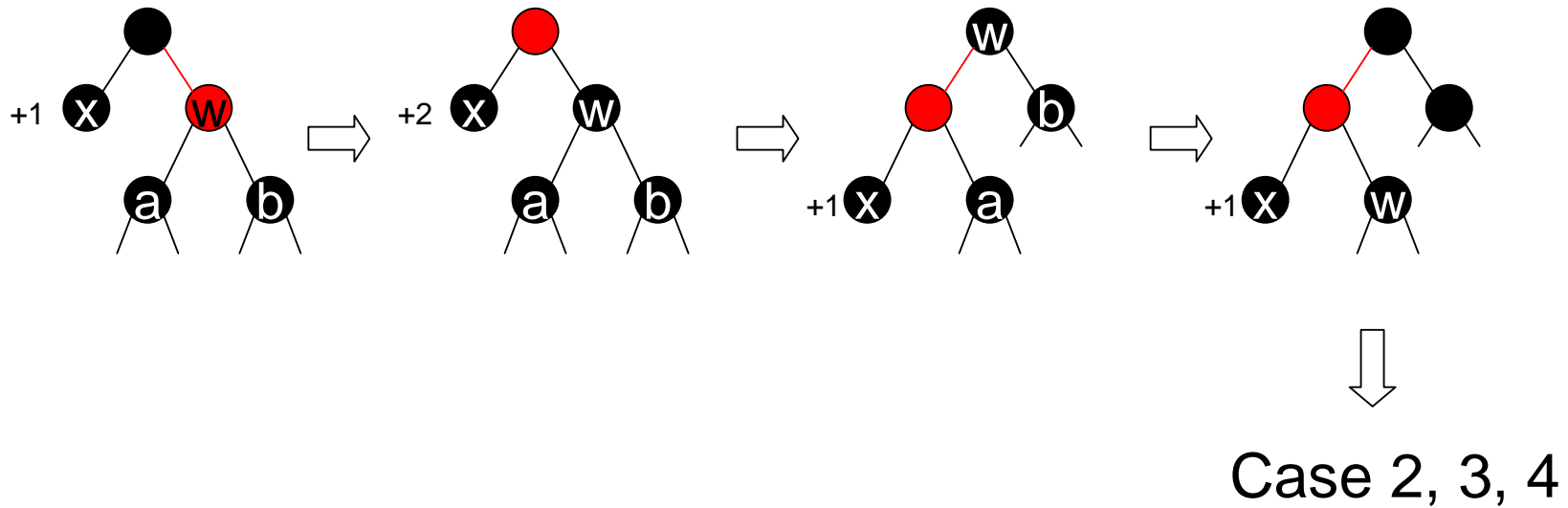
# Deletion

There are 4 cases:

- Case 1:  $w$  is red
- Case 2:  $w$  is black, both  $w$ 's children are black
- Case 3:  $w$  is black,  $w$ 's left child is red,  $w$ 's right child is black
- Case 4:  $w$  is black,  $w$ 's right child is red

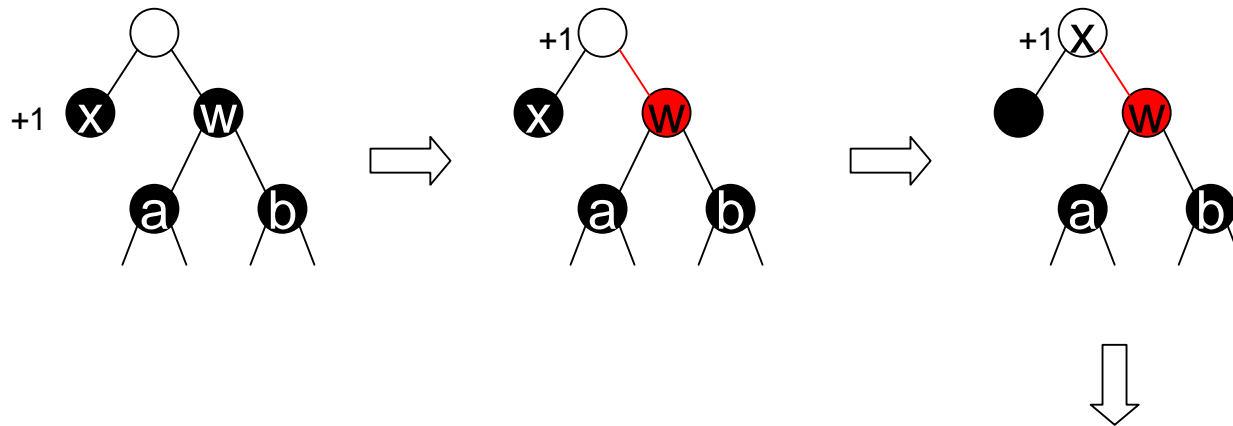
# Deletion - Case 1

- Case 1: w is red



# Deletion - Case 2

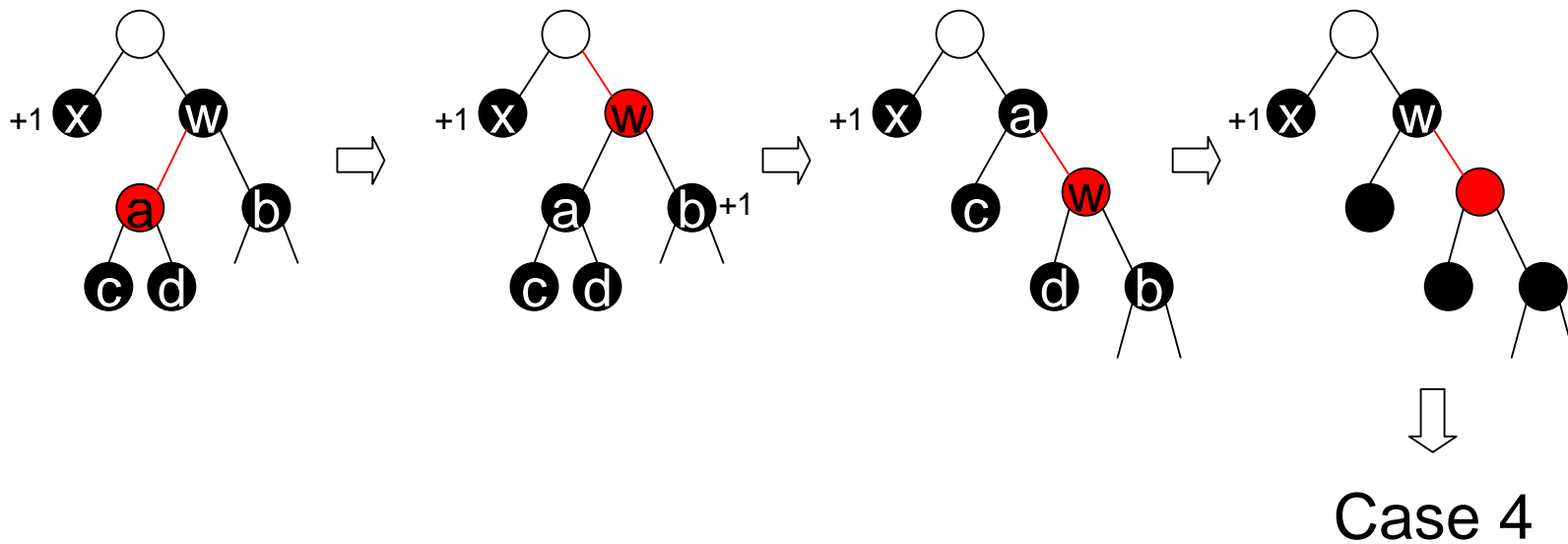
- Case 2:  $w$  is black, both  $w$ 's children are black



Recursively delete  $x$

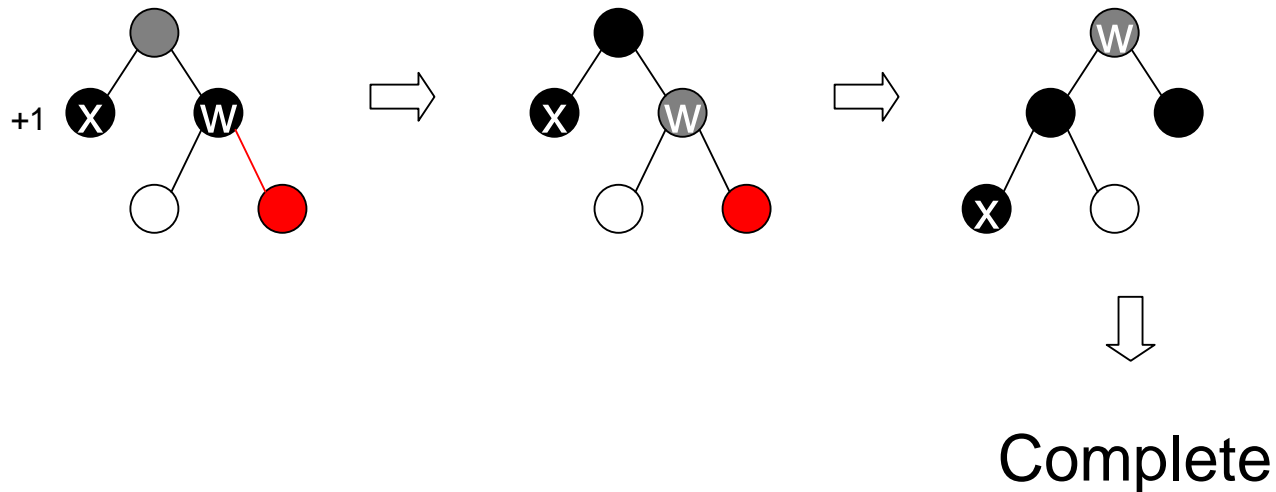
# Deletion - Case 3

- Case 3:  $w$  is black,  $w$ 's left child is red,  $w$ 's right child is black



# Deletion - Case 4

- Case 4: w is black, w's right child is red



# Deletion Analysis

- Case 2 move x up 1 level
- Case 1, 3 and 4 will terminate after some number of steps
- The height of tree is finite and is  $O(\log n)$
- The running time is  $O(\log n)$
- At most 3 rotations



# Conclusion

- Red-Black Tree is a balanced binary search tree which supports the operation search, find predecessor, find successor, find minimum, find maximum, insertion and deletion in  $O(\log n)$ -time