CS4311
Design and Analysis of Algorithms

Tutorial: An Introduction to NP-Completeness

About this Tutorial

- What is NP ?
  - How to check if a problem is in NP ?
- Cook-Levin Theorem
  - Showing one of the most difficult problem in NP
- Problem Reduction
  - Finding other most difficult problems

Decision Problems

- When we receive a problem, the first thing concern is: whether the problem has a solution or not
- E.g., Peter gives us a map $G = (V,E)$, and he asks us if there is a path from A to B whose length is at most 100
- E.g., Your sister gives you a number, say 1111111111111111111 (19 one's), and asks you if this number is a prime

Decision Problems

- The problems in the previous page is called decision problems, because the answer is either YES or NO
- Some decision problems can be solved efficiently, using time polynomial to the size of the input
  - We use P to denote the set of all these polynomial-time solvable problems

Decision Problems

E.g., For Peter's problem, there is an $O(V \log V + E)$-time algorithm that finds the shortest path from A to B;

➔ we can first apply this algorithm and then give the correct answer

➔ Peter's problem is in P

- Can you think of other problems in P ?

Decision Problems

- Another interesting classification of decision problems is to see if the problem can be verified in time polynomial to the size of the input
- Precisely, for such a decision problem, whenever it has an answer YES, we can :
  1. Ask for a short proof, and

     /* short means : polynomial in size of input */
  2. Be able to verify the answer is YES

Decision Problems

E.g., In Peter's problem, if there is a path from A to B with length ≤ 100, we can :

1. Ask for the sequence of vertices (with no repetition) in any path from A to B whose length ≤ 100
2. Check if it is a desired path (in poly-time)

➔ this problem is polynomial-time verifiable

Polynomial-Time Verifiable

More examples:

Given a graph $G = (V,E)$, does the graph contain a Hamiltonian path ?

Is a given integer x a composite number ?

Given a set of numbers, can be divide them into two groups such that their sum are the same ?

Polynomial-Time Verifiable

- Now, imagine that we have a super-smart computer, such that for each decision problem given to it, it has the ability to guess a short proof (if there is one)
- With the help of this powerful computer, all polynomial-time verifiable problems can be solved in polynomial time (how ?)

The Class NP

- Because of this, we use NP to denote the set of polynomial-time verifiable problems
  - N stands for non-deterministic guessing power of our computer
  - P stands for polynomial-time solvable

P and NP

- We can show that a problem is in P implies that it is in NP (why?)
  - Because if a problem is in P, and if its answer is YES, then there must be an algorithm that runs in polynomial-time to conclude YES …
  - Then, the execution steps of this algorithm can be used as a "short" proof

P and NP

- On the other hand, after many people's efforts, some problems in NP (e.g., finding a Hamiltonian path) do not have a polynomial-time algorithm yet …
- Question: Does that mean these problems are not in P ??
- The question whether P = NP is still open

Clay Mathematics Institute (CMI) offers US$ 1 million for anyone who can answer this …

P and NP

- So, the current status is :
  1. If a problem is in P, then it is in NP
  2. If a problem is in NP, it may be in P
- In the early 1970s, Stephen Cook and Leonid Levin (separately) discovered that: a problem in NP, called SAT, is very mysterious …

Cook-Levin Theorem

- If SAT is in P, then every problems in NP are also in P
  - I.e., if SAT is in P, then P = NP

// Can Cook or Levin claim the money from CMI yet ?

- Intuitively, SAT must be one of the most difficult problems in NP
  - We call SAT an NP-complete problem (most difficult in NP)

Satisfiable Problem

- The SAT problem asks:
  - Given a Boolean formula F, such as

    $F = (x \vee y \vee \neg z) \wedge (\neg y \vee z) \wedge (\neg x)$

    is it possible to assign True/False to each variable, such that the overall value of F is true ?

Remark: If the answer is YES, F is a satisfiable , and so it is how the name SAT is from

Other NP-Complete Problems

- The proofs made by Cook and Levin is a bit complicated, because intuitively they need to show that no problems in NP can be more difficult than SAT
- However, since Cook and Levin, many people show that many other problems in NP are shown to be NP-complete
  - How come many people can think of complicated proofs suddenly ??

Problem Reduction

- How these new problems are shown to be NP-complete rely on a new technique, called reduction (problem transformation)
- Basic Idea:
  - Suppose we have two problems, *A* and B
  - We know that *A* is very difficult
  - However, we know if we can solve B, then we can solve *A*
  - What can we conclude ??

Problem Reduction

- E.g., *A* = Finding median, B = Sorting
- We can solve *A* if we know how to solve B

  ➔ sorting is as hard as finding median

- E.g., *A* = Topological Sort, B = DFS
- We can solve *A* if we know how to solve B

  ➔ DFS is as hard as topological sort

Problem Reduction

- Now, consider

  A = an NP-complete problem (e.g., SAT)

  B = another problem in NP

- Suppose that we can show that:

  1. we can transform a problem of A into a problem of B, using polynomial time
  2. We can answer A if we can answer B

  ➔ Then we can conclude B is NP-complete

  (Can you see why??)

Example

- Let us define two problems as follows :
- The CLIQUE problem:

  Given a graph G = (V,E), and an integer k, does the graph contain a complete graph with at least k vertices
- The IND-SET problem:

  Given a graph G = (V,E), and an integer k, does the graph contain k vertices such that there is no edge in between them ?

Example

- Questions:
  1. Are both problems decision problems ?
  2. Are both problems in NP ?

- In fact, CLIQUE is NP-complete
  - Can we use reduction to show that IND-SET is also NP-complete ?

  [transform which problem to which??]