

On Bridging The Gap Between Homogeneous and Heterogeneous Rendezvous Schemes for Cognitive Radios

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ABSTRACT

Cognitive radio allows radio devices to access the idle spectrum opportunistically, thus alleviates the huge demand for spectrum. Rendezvous, where two radios complete handshaking in an idle channel, is a key step for cognitive radios to start communication. Radios may have the same (homogeneous) or different (heterogeneous) spectrum sensing capabilities. Currently, there is a “gap” between the rendezvous algorithms for homogeneous and heterogeneous cognitive radios—existing homogeneous algorithms incur high delay when applied to heterogeneous radios; while heterogeneous algorithms incur high congestion when applied to homogeneous radios. Since mixtures of these two types of radios appear commonly in practice, it is crucial to bridge the gap between the respective rendezvous algorithms. In this paper, we propose a new rendezvous algorithm, named the ICH scheme, for arbitrary mixtures of radios with homogeneous or heterogeneous spectrum sensing capabilities. Rigorous analysis and extensive simulations are conducted and show that ICH is the first rendezvous scheme that guarantees rendezvous for arbitrary mixtures of homogeneous and heterogeneous radios without incurring large delay and congestion.

Categories and Subject Descriptors

C.2.1 [Network Architecture and Design]: Wireless communication; C.2.4 [Distributed Systems]: Distributed applications

Keywords

cognitive radio; blind rendezvous; homogeneous; heterogeneous

1. INTRODUCTION

The tremendous demand for the radio spectrum continues growing, as more and more wireless devices have spread around people in the past few years. Due to the fixed and

uneven spectrum allocation, the spectrum resources are inadequate for applications in some places. However, the spectrum is rarely used cross channels, time, and space continuously [11, 1]. There are usually spectrum holes, which consist of idle channels, at some time and space. To alleviate the spectrum demand, cognitive radio is proposed as a means of DSA [1] to utilize those spectrum holes by allowing the *Secondary Users* (SUs, or simply *radios*) to sense the spectrum ranging within their own device capability and to dynamically tune into different idle channels not currently used by *Primary Users* (PUs) to communicate with each other opportunistically.

Rendezvous is a key step for cognitive radios to start communication. Two radios are said to rendezvous with each other if they complete handshaking (for the purposes of neighbor discovery, data transmission, etc.) in an idle channel. One popular technique to guide a pair of radios to rendezvous is to use the *Channel Hopping* (CH) schemes [5, 9, 10, 13, 14, 17, 18]. A CH scheme, programmed in each radio in a network, divides the time of a radio evenly into *time slots*, and requires the radio to hop to a sequence of channels in some predefined order at consecutive slots. This sequence is called the *CH sequence* for that radio. The CH scheme ensures that, by following their CH sequences, two radios can rendezvous with each other within a finite delay, called *Time To Rendezvous* (TTR). In contrast to other centralized techniques [6, 7], CH schemes allow radios to obtain their own CH sequences in a distributed manner, thereby walking around a single point of failure. CH schemes also help avoid congestion, since at each time slot, different radios may hop to different channels. Recent CH schemes [14, 21, 4, 16] give another advantage that rendezvous can be guaranteed without assuming timer synchronization between radios. Since timer synchronization is hard to achieve in practice (especially before rendezvous), these schemes have broader applicability. In this paper, we focus on CH schemes for asynchronous radios.

Existing CH schemes focus on either homogeneous or heterogeneous cognitive radios. Let V_i be the spectrum sensing capability of a radio i (that is, a set of channels with which the radio i is capable of sensing), and P_i be a set channels in V_i that are detected to be occupied by PUs. Homogeneous CH schemes assume radios to have homogeneous sensing capability, i.e., $V_i = V_j = V$, and guarantees rendezvous if $(V \setminus P_i) \cap (V \setminus P_j) \neq \emptyset$ within a worst-case delay, called *Maximum Time To Rendezvous* (MTTR), of $O(|V|^2)$ slots [14, 21, 4]. Heterogeneous CH schemes, on the other hand, as-

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sume $V_i \neq V_j$ and guarantees rendezvous within $O(|V_i||V_j|)$ MTTR [18, 19] if $(V_i \setminus P_i) \cap (V_j \setminus P_j) \neq \emptyset$.

We observe a “gap” between the homogeneous and heterogeneous CH schemes. Applying homogeneous schemes to heterogeneous radios results in either loss of the guarantee (if we let the CH scheme generate a CH sequence for radio i using V_i directly) or $O(|U|^2)$ MTTR (if we let $V_i = U$ for all i and regard channels in $U \setminus V_i$ as occupied, where U , $|U| \gg |V_i|$, is the set of universal channels) which is too high to make the schemes feasible. Similarly, applying heterogeneous schemes to homogeneous radios either loses the rendezvous guarantee [18] or incurs serious congestion [19]. In real networks, mixtures of homogeneous and heterogeneous radios are common. For example, there may be radios from different troop/organizations in a network, and radios from the same troops/organization are likely to have the same spectrum sensing capabilities. It is crucial to have a new rendezvous technique that bridges the gap between homogeneous and heterogeneous CH schemes.

In this paper, we propose a new CH scheme, named the *Interlocking Channel Hopping* (ICH) scheme that guarantees rendezvous for arbitrary mixtures of homogeneous and heterogeneous radios. In addition, the ICH scheme is carefully designed to achieve two goals—minimizing the MTTR for heterogeneous radios and minimizing the level of congestion (called *load*, to be explained later) for homogeneous radios—that are currently conflicting due to the aforementioned gap.

To the best of our knowledge, the ICH scheme is the first CH scheme that guarantees rendezvous for both homogeneous and heterogeneous radios without incurring large delay and congestion. This study largely increases the practicability of CH schemes to real networks. Following summarizes our contributions:

- We identify a gap between the homogeneous and heterogeneous CH schemes and propose the ICH scheme that guarantees rendezvous between radios i and j as long as $(V_i \setminus P_i) \cap (V_j \setminus P_j) \neq \emptyset$, no matter $V_i = V_j$ or $V_i \neq V_j$.
- The ICH scheme ensures $O(|V|^2)$ MTTR when $V_i = V_j = V$, which is the same as the shortest MTTR achieved by existing homogeneous schemes [14, 21, 4]. When $V_i \neq V_j$, the ICH scheme ensures $O(|V_i||V_j|)$ MTTR, which is again as short as the best MTTR achieved by current heterogeneous scheme [18, 19].
- We study the degrees of congestion (denoted by *load*) for cognitive radios, and carefully design our scheme without incurring congestion. The simulation results show that the load of ICH is very close to the optimal load, $E[\text{load}]_{\text{opt}} = \frac{1}{|D|}$, as $|V_i|$ is usually not small.
- The ICH scheme takes into account the clock shift between radios, therefore supports both synchronous and asynchronous environments.
- Extensive simulations are conducted and the results show that under various combination of radios, our scheme is either 10 times faster than extensions of existing homogeneous CH schemes, or incurs 50% lighter load than existing heterogeneous CH schemes.

The rest of this paper is organized as follows. In section 2, we formally define the problem and review a CH scheme

Variable	Description
c_x	The channel numbered x
U	The set of universal channels
V_i	Device capability of radio i
P_i	The set of PU occupied channels that radio i detects
$start_i$	The starting channel of V_i
$t_i^{[x]}$	The x^{th} time slot of radio i
$S_i^{[x]}$	CH sequence of radio i in the x^{th} round
$s_i^{[x,0]}$	The y^{th} element in $S_i^{[x]}$ the x^{th} round
F_i	The fixed subsequence of S_i
R_i	The rotating subsequence of S_i
N_i	The insurance subsequence of S_i
k_i	Rotating amount of R_i
M_i	The rotating subsequence of N_i
B_i	The insurance subsequence of N_i
a_i	Rotating amount of M_i
b_i	The insurance channel of B_i
D	The set of all radios.

Table 1: Notation.

that is relevant to our study. We then explain why minimizing the MTTR for heterogeneous radios and minimizing the load for homogeneous radios are conflicting goals in existing CH schemes, and propose the ICH scheme for these two goals in Section 3. Section 4 evaluates the performance of our proposals. In Section 5, we review existing works on rendezvous for cognitive radios. Finally, Section 6 concludes the paper.

2. PRELIMINARIES

In this section, we formally define the rendezvous problem. We also review state-of-the-art CH schemes. Table 1 lists the notations used throughout this paper.

2.1 Problem Definition

Assume that the universal spectrum can be divided into a set $U = \{c_0, c_1, \dots, c_{|U|-1}\}$ of channels. Each radio i can sense a range of spectrum consisting of a set $V_i = \{c_x, c_{x+1}, \dots, c_{x+|V_i|-1}\}$ of continuous channels starting from c_x [12, 2, 8, 11, 1]. We denote c_x as $start_i$. Each channel in V_i is either occupied by nearby Primary Users (PUs) or available for opportunistic usage, and we let P_i be the set of PU occupied channels that radio i detects. Two radios i and j are said to have *capability-overlap* if they can sense common channels, i.e., $V_i \cap V_j \neq \emptyset$. The time of each radio i is divided evenly into *time slots*, denoted as $t_i^{[0]}, t_i^{[1]}, \dots$. We do *not* assume any timer synchronization between radios. So given an index x , slots $t_i^{[x]}$ and $t_j^{[x]}$ of two radios i and j may have arbitrary shift in time. We say that two slots $t_i^{[x]}$ and $t_j^{[y]}$ have *time-overlap* if they overlap for an interval longer than half of a slot, as shown in Fig. 1.

We adopt the channel hopping scheme, where each radio hops to a channel at each time slot and waits for rendezvous with other radios. Specifically, given a Channel Hopping (CH) sequence $S_i = [s_i^{[0]}, s_i^{[1]}, \dots]$, where $s_i^{[x]} \in V_i$, the radio i hops to channel $s_i^{[0]}$ at slot $t_i^{[0]}$, and $s_i^{[1]}$ at slot $t_i^{[1]}$, and so on.

Definition 2.1 (Rendezvous). Given a pair of capability-overlapping radios i and j in a network, the radios i and j

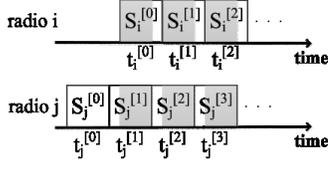


Figure 1: Despite of the asynchronous timers, a slot of radio i must overlap with one slot of radio j over an interval (shaded) longer than half of a slot. For example, the slot $t_i^{[1]}$ is *time-overlapping* with $t_j^{[2]}$, but not with $t_j^{[3]}$.

rendezvous if $s_i^{[x]} = s_j^{[y]} = c$ for some x, y , and c , where $t_i^{[x]}$ time-overlaps with $t_j^{[y]}$ and c is in both $V_i \setminus P_i$ and $V_j \setminus P_j$.

Two radios are said to *rendezvous* if they hop to some common available channel at a pair of time-overlapping slots. We assume that the duration of a time slot is set long enough such that the handshaking (for, say, neighbor discovery or data transmission) can be done within half of a slot at which rendezvous takes place [5, 18].

We formally define our problem as follows:

Problem 2.2. Design a CH scheme such that a) given any pair of capability-overlapping radios i and j in a network, the scheme is able to return two CH sequences S_i and S_j and guarantee that by following S_i and S_j respectively, the radios i and j will rendezvous within finite delay (called *Time to Rendezvous*) as long as $(V_i \setminus P_i) \cap (V_j \setminus P_j) \neq \emptyset$; and b) at any time slot, the number of radios which rendezvous on a particular channel should be minimized to avoid congestion.

Note that it is impossible for two radios to rendezvous if they have no available channels in common, e.g., $V_i \cap V_j = \emptyset$ or $(V_i \setminus P_i) \cap (V_j \setminus P_j) = \emptyset$.

To simplify the delay analysis, one common metric is the *Maximum Time to Rendezvous* (MTTR), which measures the maximum time (in number of slots) required for two radios to rendezvous. The shorter the MTTR the better.

2.2 State of the Arts

Many CH schemes are proposed for the rendezvous problem, and can be generally classified into the homogeneous schemes [14, 21, 4] (which assume $V_i = V_j$ for all radios i and j) and heterogeneous [16, 18, 19] schemes ($V_i \neq V_j$). Next, we briefly summarize the HH scheme [19] as it provides some lemmas that are useful to our study.

To start, we need to extend the notation for a CH sequence first. A CH sequence $S_i = [s_i^{[0]}, s_i^{[1]}, \dots]$ can be partitioned evenly into *rounds* $S_i^{[x]} = [s_i^{[x,0]}, s_i^{[x,1]}, \dots, s_i^{[x,|S_i^{[x]}|]}]$, where $s_i^{[x,y]}$ denotes the y^{th} element in the x^{th} round, as shown in Fig. 2. Note that $s_i^{[x,y]} = s_i^{[x-1,|S_i^{[x]}|+y]}$.

In the HH scheme, we partition S_i into rounds of length 3:

$$s_i^{[x,y]} = \begin{cases} f_i^{[x]}, & y = 0, \\ r_i^{[x]}, & y = 1, \\ n_i^{[x]}, & y = 2, \end{cases}$$

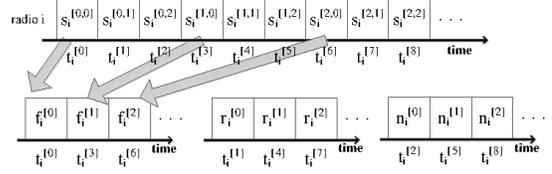


Figure 2: An example CH sequence. S_i is divide into the *fixed* F_i , *rotating* R_i , and *insurance* N_i sub-sequences.

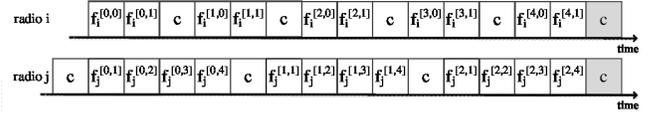


Figure 3: Rounded fixed sequences F_i and F_j with a common available channel c , where $|F_i^{[x]}| = 3$, $|F_j^{[x]}| = 5$. The MTTR is bounded by $O(|F_i^{[x]}||F_j^{[x]}|)$ time slots.

and denote the three elements in each round x , $f_i^{[x]}$, $r_i^{[x]}$, $n_i^{[x]}$, respectively. This effectively divide S_i into three sub-sequences, namely the *fixed sequence* $F_i = [f_i^{[0]}, f_i^{[1]}, \dots]$, *rotating sequence* $R_i = [r_i^{[0]}, r_i^{[1]}, \dots]$, and *insurance sequence* $N_i = [n_i^{[0]}, n_i^{[1]}, \dots]$ (see Fig. 2).

The fixed sequence F_i is further partitioned into rounds $F_i^{[x]} = [f_i^{[x,0]}, f_i^{[x,1]}, \dots, f_i^{[x,|F_i^{[x]}|-1]}]$. Let $|F_i^{[x]}|$ be the least prime number larger than $|V_i|$. The HH scheme assigns channels to F_i by

$$f_i^{[x,y]} = \begin{cases} v_i^{(y)}, & x = 0 \text{ and } y < |V_i|, \\ \text{an arbitrary element of } V_i, & x = 0 \text{ and } y \geq |V_i|, \\ f_i^{[x-1,y]}, & \text{otherwise,} \end{cases}$$

where $v_i^{(y)}$ is the y^{th} element in V_i (indexed from 0). An example is shown in Fig. 3. Notice that if $|V_i|$ is a prime already, then $|F_i^{[x]}|$ needs to be the next prime number.

The rotating sequence R_i is also partitioned into rounds $R_i^{[x]} = [r_i^{[x,0]}, r_i^{[x,1]}, \dots, r_i^{[x,|R_i^{[x]}|-1]}]$. Let $|R_i^{[x]}| = |F_i^{[x]}|$, the least prime larger than $|V_i|$. The HH scheme assigns channels to R_i by

$$r_i^{[x,y]} = f_i^{[(-x-k_i+y) \bmod |R_i^{[x]}|]},$$

where $k_i = (\text{start}_i \bmod (|R_i^{[x]}| - 1)) + 1$. Basically, elements in $R_i^{[x]}$ are rotated k_i slots forward to produce the next round $R_i^{[x+1]}$. An example is shown in Fig. 4. Notice that $r_i^{[x,y]}$ and $r_i^{[x+1,y]}$ must be different since $1 \leq k_i \leq |R_i^{[x]}| - 1$. Finally, all slots of the insurance sequence N_i are filled in the starting channel start_i .

The authors of the HH scheme give the following lemmas:

Lemma 2.3. Let p be a prime and m be an integer coprime with p . Then for any d , the integers $d, d + m, d + 2m, \dots, d + (p-1)m$ are all distinct under modulo- p arithmetic.

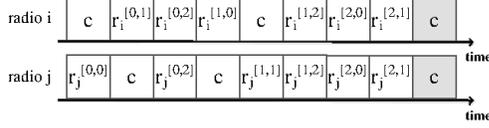


Figure 4: Rounded rotating sequences R_i and R_j with a common available channel c , where $|R_i^{[x]}| = |R_j^{[x]}| = 3$, $k_i = 1$, and $k_j = 2$. The MTTR is bounded by $O(|R_i^{[x]}| |R_j^{[x]}|)$ time slots.

Consider two radios i and j , $(V_i \setminus P_i) \cap (V_j \setminus P_j) \neq \emptyset$, and two CH sequences S_i and S_j adopted by i and j respectively having the same round length.

Lemma 2.4. *Given that S_i and S_j have the fixed sequences F_i and F_j respectively and slots in F_i and F_j are time-overlapping. The MTTR between radios i and j is bounded by $O(|V_i| |V_j|)$ if $|F_i^{[x]}| \neq |F_j^{[x]}|$.*

Lemma 2.5. *Given that S_i and S_j have the rotating sequences R_i and R_j respectively and slots in R_i and R_j are time-overlapping. The MTTR between i and j is bounded by $O(|V_i| |V_j|)$ if $|R_i^{[x]}| = |R_j^{[x]}|$ and $k_i \neq k_j$.*

Based on the above lemmas, the author further show that the HH scheme guarantees rendezvous for i and j despite of their clock shift, and the MTTR is always bound by $O(|V_i| |V_j|)$. Interested reader may refer to [19] for the proofs and detailed discussions. It is important to note that Lemmas 2.4 and 2.5 are applicable to CH sequences generated by any other scheme.

3. RENDEZVOUS FOR HOMO AND HETERO RADIOS

In this section, we demonstrate the gap between existing homogeneous and heterogeneous CH schemes and then propose a new rendezvous algorithm, named the Interlocking Channel Hopping (ICH) scheme.

3.1 The Gap

Existing homogeneous CH schemes incur high delay when applied to heterogeneous radios. At the same time, heterogeneous CH schemes result in severe congestion when applied to homogeneous radios.

To see this, consider the homogeneous CH schemes first, which assume $V_i = V_j = V$ and give $O(|V|^2)$ MTTR. When $V_i \neq V_j$, homogeneous schemes lose guarantee for rendezvous. One extension to these schemes to ensure rendezvous is to let $V_i = U$ for all i , and those channels in $U \setminus V_i$ as PU occupied (in P_i). However, this leads to $O(|U|^2)$ MTTR, which is unlikely to be acceptable for most of applications as $|U| \gg |V_i|$.

To see the problems of heterogeneous schemes, we need to measure the degree of congestion, called *load*, incurred by a CH scheme first.

Definition 3.1 (Channel Load). The *channel load* of a channel c is defined as $load_{ch}(c) = \max_t \sum_{i \in D} \delta(i, t) / |D|$, where D is the set of all radios and $\delta(i, t)$ is an indicating function that equals to 1 if a radio i hops to c at t , otherwise 0.

The $load_{ch}(c)$ is the proportion of maximum number of radios that hop to c at the same time to the total number of radios, which indicates the degree of congestion of a channel. A CH scheme should result in a low channel load for all c . However, $load_{ch}(c)$ is dependent with both device capabilities and CH sequences. To distinguish the load incurred by capabilities and by CH scheme, we give the following definitions:

Definition 3.2 (Capability Load). The *capability load* of a set of radios D is defined as $load_{cap} = \max_c \sum_{V_i} \lambda(i, c) / |D|$, where V_i is the capability of radio i , and $\lambda(i, c)$ is an indicating function that equals to 1 if $c \in V_i$, otherwise 0.

The $load_{cap}$ is the proportion of maximum number of radios capable of sensing the same channel to the total number of radios, which indicates the degree of congestion in the worst. Note that $load_{ch}(c) \leq load_{cap}$ for all c , and $load_{ch}(c^*) = load_{cap}$ when c^* is sensible to the most radios and all these radios hop to c^* at the same time.

Definition 3.3 (Load). The *load* incurred by a CH scheme is defined as $load = \max_c load_{ch}(c) / load_{cap}$.

The load is the proportion of the maximum channel load to the capability load, which measures the degree of congestion incurred by a CH scheme. Note that a high load does not always imply a high channel load. For example, given a set of heterogeneous radios where the capability-overlaps between these radios are evenly distributed among the radio spectrum, we have a low $load_{cap}$. Clearly, congestion does not occur even when $load$ is high, as $load_{ch}(c) \leq load_{cap}$ for all c . However, the load is good a measurement of congestion for the networks having high $load_{cap}$ (e.g., network consisting of homogeneous radios mostly, where $load_{cap}$ is close to the highest 1). In this case, a large number of radios may crowd into a channel, and a high load implies a high channel load (congestion). A CH scheme should keep a low $load$ when $load_{cap}$ is high.

In the following, we focus on homogeneous environments where $load_{cap} = 1$. Most homogeneous CH schemes proposed recently [21, 4] give a balanced load across channels. For example, the JS scheme [14] spreads out the rendezvous opportunities uniformly over the device capability V and time, thus it has an optimal expected load, $E[load]_{opt} = E[\max_c load_{ch}(c)] = E[load_{ch}(c)] = \sum_{k=0}^{|D|} \binom{|D|}{k} \cdot k \cdot (\frac{1}{|V|})^k (1 - \frac{1}{|V|})^{|D|-k} / |D| = \frac{1}{|V|}$, where k is the number of radios hop to channel c in a slot and $\frac{1}{|V|}$ is the probability that a radio hop to channel c in a slot.

When applied to homogeneous environments, some heterogeneous CH schemes [18, 16] lose guarantee for rendezvous. The HH scheme [19], although guaranteeing rendezvous between homogeneous radios, incurs a very high load. This is because that with HH homogeneous radios rely on their insurance sequences to rendezvous with each other, and the starting channel in V (i.e., $start_i$, which is the same for all i here) is the only channel in these insurance sequences (see Section 2.2). Specifically, the HH scheme has the expected load $E[load]_{HH} = E[\max_c load_{ch}(c)] = E[load_{ch}(start_i)] = \frac{2}{3} \cdot \frac{1}{|V|} + \frac{1}{3} \cdot 1 = \frac{|V|+2}{3|V|}$, as a) $start_i$ is the channel with the highest channel load; b) each CH sequence has the round length 3, implying that at a time slot, there are two-third of the radios that hop to channels in the fixed and rotating

sequences, and one-third of the radios to $start_i$ in the insurance sequences; c) the fixed and rotating sequences have an optimal load $\frac{1}{|V|}$ as in JS [14], while the in the insurance sequence has the worst load 1. As we can see, since $\frac{|V|+2}{3|V|} > \frac{1}{3}$, this heterogeneous scheme leads more than $\frac{|D|}{3}$ radios to hop to the starting channel at the same time, results in serious congestion when $|D|$ is large.

It turns out that minimizing the MTTR for heterogeneous radios and minimizing the load for homogeneous radios are two conflicting goals in state of the arts. This severely limits the practicability of CH schemes, as mixtures of homogeneous and heterogeneous radios are common in real networks.

3.2 Interlocking Channel Hopping Scheme

In this subsection, we propose a new scheme, called *Interlocking Channel Hopping* (ICH) scheme, that minimizes MTTR and congestion for both homogeneous and heterogeneous radios.

The ICH is defined as follows. We partition S_i into rounds of length 5:

$$s_i^{[x,y]} = \begin{cases} f_i^{[x]}, & y = 0, \\ f_i^{[x]}, & y = 1, \\ f_i^{[x]}, & y = 2 \\ r_i^{[x]}, & y = 3, \\ n_i^{[x]}, & y = 4, \end{cases}$$

By repeating the channel in the first three slots, we divide S_i into three subsequences, namely the *fixed sequence* $F_i = [f_i^{[0]}, f_i^{[1]}, \dots]$, *rotating sequence* $R_i = [r_i^{[0]}, r_i^{[1]}, \dots]$, and *insurance sequence* $N_i = [n_i^{[0]}, n_i^{[1]}, \dots]$. The F_i and R_i have the same settings as in the HH scheme. However, N_i is further partitioned into rounds $N_i^{[x]} = [n_i^{[x,0]}, n_i^{[x,1]}, \dots, n_i^{[x,|N_i^{[x]}|-1]}]$. Let $U = \{0, 1, \dots, |N_i^{[x]}| - 1\}$ be the set of slots in a round. We employ the optimal cyclic quorum algorithm [15] to construct a cyclic quorum Q_i , $Q_i \subseteq U$ and $Q_i \neq \emptyset$, for radio i .

Definition 3.4 (Coterie). Let X be a set of nonempty subsets of U . We call X an coterie iff for all $Q, Q' \in X$, $Q \cap Q' \neq \emptyset$.

Definition 3.5 (Cyclic Set). Given an integer l , where $0 \leq l \leq |N_i^{[x]}| - 1$. Let Q be a subset of U . We call $C_l(Q)$ an l -cyclic set of Q iff $C_l(Q) = \{(q+l) \bmod |N_i^{[x]}| : \forall q \in Q\}$.

For convenience, we denote a group of cyclic set as $C(Q) = \{C_l(Q) : \forall l\}$.

Definition 3.6 (Cyclic Quorum System). Let $X = \{Q_0, Q_1, \dots\}$ be a set of nonempty subsets of U . We call X an cyclic quorum system iff the set of sets $C(Q_0) \cup C(Q_1) \cup \dots$ is a coterie.

We call elements of X the cyclic quorums. By Definition 3.6, we have the following theorem.

Theorem 3.7. *Given two insurance sequences N_i and N_j , and some cyclic quorums Q_j and Q_j defined for $N_i^{[x]}$ and $N_j^{[x]}$ respectively. Despite of clock shift between radios i and j , in each round $N_i^{[x]}$ (or $N_j^{[x]}$) there must exists a pair of slots from Q_j and Q_j that are time-overlapping with each other if $|N_i^{[x]}| = |N_j^{[x]}|$.*

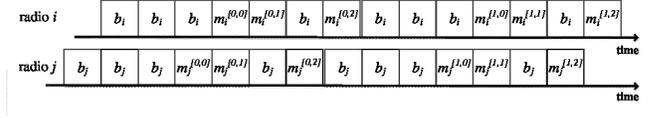


Figure 5: Example insurance sequences N_i and N_j of the ICH scheme, where $|N_i^{[x]}| = |N_j^{[x]}| = 7$, $Q_i = Q_j = \{0, 1, 2, 5\}$, and $|M_i| = |M_j| = 3$. B_i and B_j will have time-overlaps every round.

In the ICH scheme, N_i is divided into two subsequences, namely *sub-rotating sequence* M_i and *sub-insurance sequence* B_i . Let b_i be an arbitrary channel in V_i chosen by radio i , called the *insurance channel*. We define N_i as follows:

$$N_i^{[x,y]} = \begin{cases} m_i, & y \notin Q_i, \\ b_i, & y \in Q_i, \end{cases}$$

where $M_i = [m_i^{[0]}, m_i^{[1]}, \dots]$, and $B_i = [b_i, b_i, \dots]$. The sub-rotating sequence M_i is further partitioned into rounds $M_i^{[x]} = [m_i^{[x,0]}, m_i^{[x,1]}, \dots, m_i^{[x,|M_i^{[x]}|-1]}]$. Let $|M_i^{[x]}|$ be $|N_i^{[x]}| - |Q_i|$. The ICH scheme assigns channels to M_i by

$$m_i^{[x,y]} = \begin{cases} v_i^{(y)}, & x = 0 \wedge y < |V_i|, \\ m_i^{[x-1, (y+|R_i^{[x]}|-a_i) \bmod |R_i^{[x]}|]}, & x \neq 0 \wedge y < |R_i^{[x]}|, \\ v_i^{(x \bmod |V_i|)}, & \text{otherwise,} \end{cases}$$

where $v_i^{(y)}$ is the y^{th} element in V_i (indexed from 0) and a_i is the rotating amount of $M_i^{[x]}$. Basically, we fill B_i with b_i , and $M_i^{[x]}$ is similar to the rotating sequence $R_i^{[x]}$, except that the rotating amount a_i of is determined by the insurance channel b_i , i.e., $a_i = b_i \pmod{(|M_i^{[x]}| - 1)} + 1$. An example is shown in Fig. 5. We let $|N_i^{[x]}|$ be a prime number such that $|N_i^{[x]}| - |Q_i| \geq |R_i^{[x]}|$, and employ the optimal cyclic quorum algorithm [15] to construct $N_i^{[x]}$, that we put B_i in the quorum positions Q_i . Due to the space limitation, we do not discuss the construction of cyclic quorum systems here. Interested reader may refer to [15].

Theorem 3.7 guarantees that there are time-overlapping slots between B_i and B_j . With insurance sequences N_i and N_j , two radios will rendezvous at either the time-overlapping slot pair (denoted by (B_i, B_j)) if they choose the same insurance channel, or otherwise at the (M_i, M_j) , (M_i, B_j) , or (B_i, M_j) pairs using Lemma 2.5.

However, the problem is how to choose the insurance channel b_i . Considering two radios i and j with $|F_i^{[x]}| = |F_j^{[x]}|$ and $start_i = start_j$, as V_i and V_j may still be different, V_i needs to pick b_i such that $b_i \in V_i \cap V_j$ without knowing V_j in advance, and vice versa for V_j to pick s_j . We let $p = |F_i^{[x]}| = |F_j^{[x]}|$ and q_i (q_j) be the largest prime number that smaller than $|V_i|$ ($|V_j|$). As we know that $|F_i^{[x]}|$ is the least prime number that larger than $|V_i|$, we have $q_i = q_j = q$ and $q < |V_i|, |V_j| < p$ given $|F_i^{[x]}| = |F_j^{[x]}|$. Hence, V_i can be sure that $[start_i, start_i + q_i - 1] \subseteq V_i \cap V_j$ and can pick any element in $[start_i, start_i + q_i - 1]$ as b_i . Similarly V_j can pick b_j from $[start_j, start_j + q_j - 1]$.

Finally, the ICH scheme assigns the insurance channel to the sub-insurance sequence. Note that, b_i cannot be selected from occupied channels by PUs, i.e., $b_i \in (V_i \setminus P_i)$. Accord-

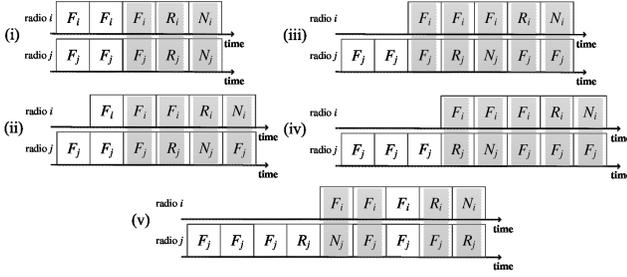


Figure 6: Each round of a CH sequence S_i of the ICH scheme is partitioned into three consecutive F_i s followed by a R_i and a N_i . In terms of time-overlapping slots, the shifts between two CH sequences range from 0 to 4 slots.

ingly, we allow radios to use different insurance channels even when $start_i = start_j$, thereby avoiding homogeneous radios crowding into the the same channel.

3.3 Minimizing Delay

Next, we verify that the MTTR of ICH is $O(|V_i||V_j|)$ for heterogeneous radios and $O(|V|^2)$ for homogeneous radios. Firstly, let's discuss the MTTR using the time-overlapping slots between N_i and N_j . Considering two radios i and j with $|F_i^{[x]}| = |F_j^{[x]}|$ and $start_i = start_j$, we discuss two cases: 1) $b_i = b_j$, 2) $b_i \neq b_j$.

- Case 1: By Theorem 3.7, when $|N^{[x]}| = |N_i^{[x]}| = |N_j^{[x]}|$, there is at least one time-overlapping in a round ($|N^{[x]}|$ slots) between B_i and B_j , and i and j rendezvous as $b_i = b_j$. By [15], we have $|N_i^{[x]}| \leq 2|R_i^{[x]}| = O(|V_i|)$, that is, the MTTR of this case is $O(|V_i|)$.
- Case 2: $b_i \neq b_j$ implies $a_i \neq a_j$. If M_i time-overlaps M_j entirely, by Lemma 2.5, the MTTR is $O(|M_i^{[x]}| |M_j^{[x]}|) = O(|V_i||V_j|)$. Otherwise, considering the time-overlapping pair (M_i, B_j) , by Lemmas 2.3 and 2.5, b_j will meet all different channels in M_i and $b_j \in V_i$, thus i and j will rendezvous in $O(|M_i^{[x]}||N_j^{[x]}|) = O(|V_i||V_j|)$ slots, vice versa for time-overlapping pair (B_i, M_j) .

Theorem 3.8. *Given that two radios i and j with common available channels that adopt two CH sequences generated by the ICH scheme with N_i, N_j time-overlapping. The MTTR between i and j is bounded by $O(|V_i||V_j|)$ as long as a) $(V_i \setminus P_i) \cap (V_j \setminus P_j) \neq \emptyset$ and b) $|F_i^{[x]}| = |F_j^{[x]}|$ and $start_i = start_j$.*

Then, as shown in Fig. 6, we verify the MTTR of this ICH scheme by considering three time-overlapping cases: (i) F_i with F_j , R_i with R_j , and N_i with N_j , (ii) F_i with F_j , F_i with R_j , R_i with N_j , and N_i with F_j , (iii) F_i with F_j , F_i with R_j , F_i with N_j , R_i with F_j and N_i with F_j . Notice that the case (iv) in Fig. 6 is covered by case (iii), and case (v) is covered by the (ii). Without loss of generality, each of the above cases can be further classified into three subcases in terms of capabilities of radios i and j : (a) $|V_i| \neq |V_j|$, (b) $|V_i| = |V_j| \wedge start_i \neq start_j$, and (c) $|V_i| = |V_j| \wedge start_i = start_j$. Since $|F_i^{[x]}|$ depends on $|V_i|$, we rewrite the cases as: (a) $|F_i^{[x]}| \neq |F_j^{[x]}|$, (b) $|F_i^{[x]}| = |F_j^{[x]}| \wedge start_i \neq start_j$

(c) $|F_i^{[x]}| = |F_j^{[x]}| \wedge start_i = start_j$. We assume $(V_i \setminus P_i) \cap (V_j \setminus P_j) \neq \emptyset$ and verify the MTTR of the ICH scheme for each of these subcases.

- Case (i-a) & (ii-a) & (iii-a): Since F_i time-overlaps F_j and $|F_i^{[x]}| \neq |F_j^{[x]}|$, by Lemma 2.4, we have $O(|V_i||V_j|)$ MTTR.
- Case (i-b): Since R_i time-overlaps R_j and $|R_i^{[x]}| = |R_j^{[x]}| \wedge start_i \neq start_j$, which implies $k_i \neq k_j$, by Lemma 2.5, we have $O(|V_i||V_j|)$ MTTR.
- Case (i-c): Since N_i time-overlaps N_j and $|F_i^{[x]}| = |F_j^{[x]}| \wedge start_i = start_j$, by Theorem 3.8, we have $O(|V_i||V_j|)$ MTTR.
- Case (ii-b) & (iii-b): Since F_i time-overlaps R_j and $|F_i^{[x]}| = |R_j^{[x]}| \wedge start_i \neq start_j$, which implies $k'_i \neq k_j$ by viewing the rotating amount of F_i as $k'_i = 0$ and $k_j \in [1, |R_j^{[x]}| - 1]$, by Lemma 2.5, we have $O(|V_i||V_j|)$ MTTR.
- Case (ii-c) & case (iii-c): N_i time-overlaps F_j and $|F_i^{[x]}| = |F_j^{[x]}| \wedge start_i = start_j$, which implies $|N_i^{[x]}|, |F_j^{[x]}|$ coprime and $s_i \in V_j$ (i.e., $\{s_i\} \cap V_j \neq \emptyset$). Also, the positions of s_i are fixed in $N_i^{[x]}$, by Lemma 2.4, we have $O(|V_i||V_j|)$ MTTR.

In summary of all the above cases, the MTTR of ICH is bounded by $O(|V_i||V_j|)$ for heterogeneous radios ($V_i \neq V_j$) and $O(|V|^2)$ for homogeneous radios ($V_i = V_j = V$). Note that, let $V'_i = V_i \setminus P_i$, the CH sequence, which is constructed on V'_i by setting $start_i$ and $last_i$ be the first and last unoccupied channels respectively and $|V'_i| = last_i - start_i + 1$, still has the same rendezvous guarantee with shorter TTR, due to $|V'_i| \leq |V_i|$.

3.4 Minimizing Congestion

To demonstrate ICH minimizes the congestion, we conduct a series of simulations and compare ICH with HH and JS in terms of the degree of congestion, namely load. First, we show that the load is minimized for mixtures of heterogeneous radios in Fig. 14(b), where ICH has the load as low as the JS's load, which is the optimal load. Also we examine the worst case for load. Fig. 16 (b) exhibits the load induced by a set of homogeneous radios versus the number of radios. ICH has 25% to 40% reduction from HH in load as increasing the number of radios, and we can expect that the reduction will be higher when there are more radios. Stand by the simulation results, ICH is shown to minimize the congestion and have the load close to the optimal load.

4. EXPERIMENTAL RESULTS

In this section, we evaluate the performance of Interlocking Channel Hopping (ICH) scheme. We compare ICH with the state of the arts in homogeneous and heterogeneous environments, respectively Jump-Stay (JS) [14] and the HH scheme [19]. According to the assumption mentioned in Sec. 3.1 that $V_i = V_j = U$, we let JS generates CH sequences based on U . Note that we do not compare our study with other works due to loss of guarantee and their limitations stated in Sec. 5. We investigate the basic behaviors and proper functioning of compared schemes by pairwise radios,

and study the performance for mixtures of homogeneous and heterogeneous radios with TTR and load. We also verify the reduction on load compared with the HH scheme in the homogeneous environment.

Since timer synchronization is hard to achieve in practice, we focus on the asynchronous environment. We implement these works based on the Network Simulator 3 (NS3). WiFi MAC is modified to support the channel hopping function, and the IEEE 802.11b is adopted as the MAC layer protocol. Each radio is capable to switch amongst channels in its device capability, and is either receiving or transmitting at a time. Also, radios can detect whether a channel is idle or not. Considering the large range of currently available spectrum which is up to 3 GHz or higher, we assume 5MHz per channel and set the number of universal channels $|U|$ to 600 by default. The period of a time slot is set to 10 ms. The ratio of non-idle channels to the universal channels is set to 0.1, where those non-idle channels are randomly selected from U . We set the default average capability $|V| = 25$, and control the overlapping ratio, which is the proportion of channels that can be operated by more than two radios to the union of capability $\bigcup_i V_i$. We set the overlapping ratio to 0.1. We focus on the affects of capabilities of radios, to avoid unnecessary complexity, we let each radio's transmission range cover other radios. Note that, a possible rendezvous is treated as a failed rendezvous if it spends more than 600 seconds, and it is *not* counted into average TTR.

4.1 Pairwise Radios

We verify the rendezvous guarantee of ICH and observe its fundamental behaviors in this series of simulation. Two radios i and j are used in a simulation run and each data point is averaged from 120 runs. We generate two different range of capabilities that $|V|+x$, $|V|-x$, where x is randomly selected from $[1, |V|/2]$. First, we vary the overlapping ratio from 0.5 to 0.1. As the lower overlapping ratio indicating less common operable channels, intuitively, the TTR may get larger. In Fig. 7(a), the average TTR of JS substantially increases from 10 to 17 seconds, on the contrary, the average TTR of ICH and HH have no obvious growth. We can see the advantage that construct CH sequence by capability instead of universal channels. Fig. 7(b) shows that all these schemes can guarantee rendezvous. Since JS construct CH sequences based on U , it incurs large TTR but does not lose guarantee. Fig. 8 shows that how many ratio of rendezvous can be achieved in 60 seconds. While overlapping ratio is 0.1, JS has most TTRs larger than ICH's. Moreover, JS has more excessively large TTRs, showing that the infeasibility and instability from U affects the average TTR greatly. The HH scheme has much more rendezvous than others in the first five second. One of reasons is that, while overlapping ratio is not high, the insurance sequence containing only one channel has much higher probability to rendezvous. This advantage of the HH scheme becomes not obvious while overlapping ratio is 0.5.

Then, we change non-idle channel ratio from 0.1 to 0.5. The results are shown in Fig. 9. The effect of non-idle channel ratio is similar to overlapping ratio, that the number of common operable channels decreases while non-overlapping ratio increases. As we can see, the trends of average TTR and success rate are similar to the ones in varying overlapping ratio, and we believe that the reasons are the same. Although the one-channel insurance sequence of the HH

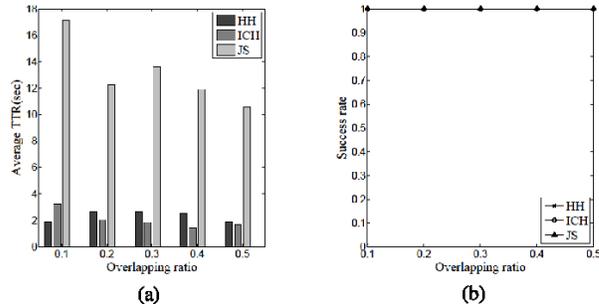


Figure 7: (a) average TTR vs. overlapping ratio (b) success rate vs. overlapping ratio

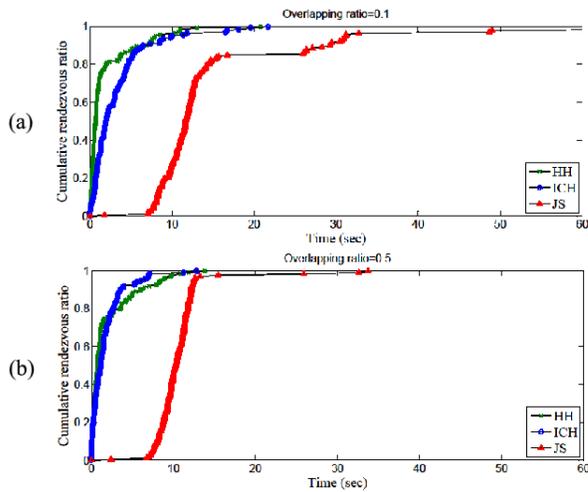


Figure 8: Cumulative rendezvous ratio vs. time while (a) overlapping ratio = 0.1 and (b) 0.5 respectively

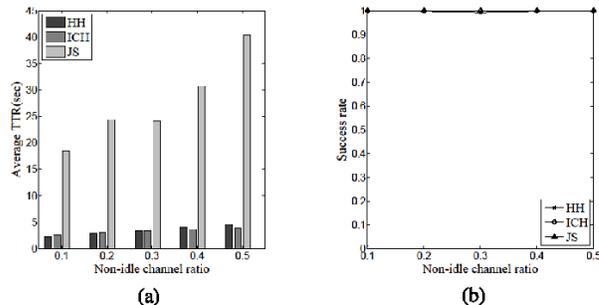


Figure 9: (a) average TTR vs. non-idle channel ratio (b) success rate vs. non-idle channel ratio

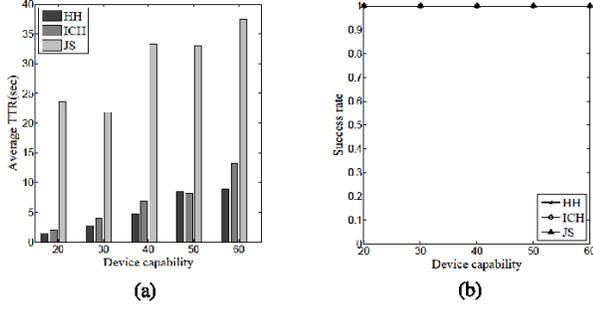


Figure 10: (a) average TTR vs. device capability (b) success rate vs. device capability

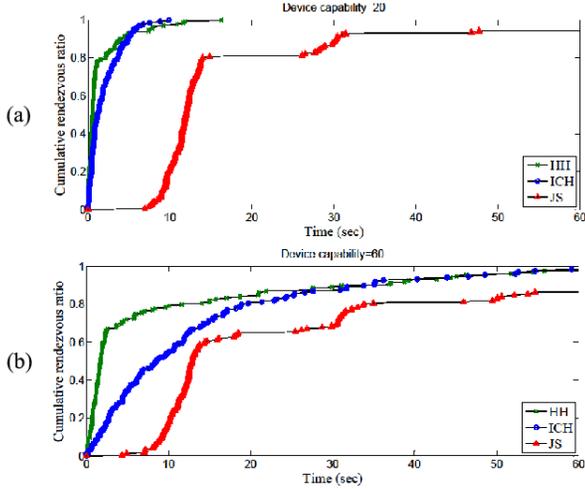


Figure 11: Cumulative rendezvous ratio vs. time while (a) device capability = 20 and (b) 60 respectively

scheme may speed up the TTR, it takes a risk that one-third of the time will be wasted while the starting channel is non-idle. In the Fig. 9(b), we find that the success rate of the HH is not 1 while non-idle ratio is 0.3. We believe that is because non-idle insurance channel delays TTR to be larger than 600 seconds. Another reason may be most of common operable channels happen to be non-idle in that case.

Next, we change average capability $|V|$ from 20 to 60. We may think that the performance of JS may be better while $|V|$ is closer to $|U|$, where the environment seems more homogeneous. The results are shown in Fig. 10. The TTR of ICH and HH increase as we expected, but TTR of JS also increases due to the heterogeneity of radios. However, ICH and HH still have much lower TTR. Again, the advantage of one-channel insurance sequence of the HH scheme appears in Fig. 11, that reduces much of its average TTR. Overall, the ICH scheme have better performance while $V_i \neq V_j$.

4.2 Mixtures of Homogeneous and Heterogeneous Radios

In this set of simulation, we generate three kinds of heterogeneous radios and five radios for each kind. Similar to the trends in the above pairwise cases, in Fig. 12(a) and

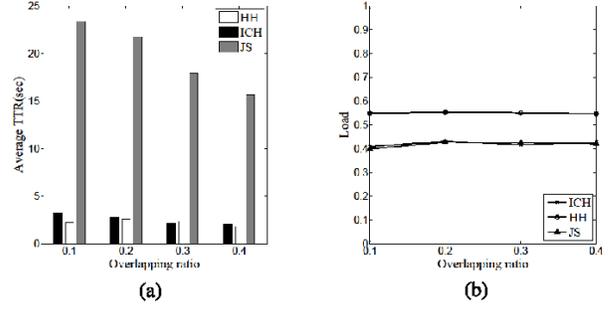


Figure 12: Mixtures of homo & hetero radios: (a) average TTR vs. overlapping ratio (b) load vs. overlapping ratio

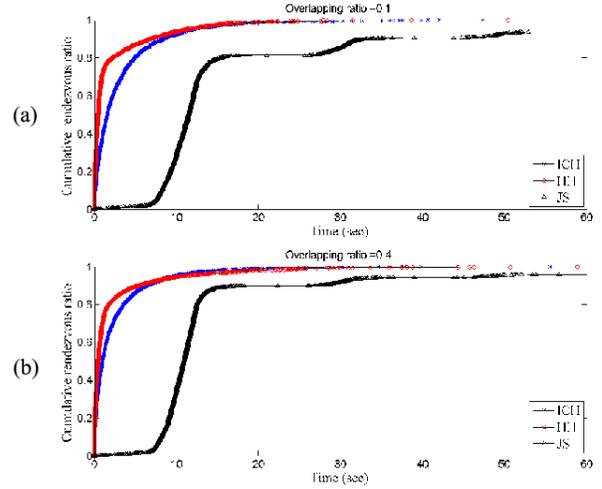


Figure 13: Mixtures of homo & hetero radios: cumulative rendezvous ratio vs. time while (a) overlapping ratio = 0.1 and (b) 0.4 respectively

14(a), TTR of ICH and the HH are stable to overlapping ratio and non-idle ratio while TTR of JS changes largely. Shown in Fig. 13 and 15, ICH can complete all possible rendezvous within one minute while JS cannot. ICH even can achieve 90% rendezvous in 10 seconds. In Fig. 12(b) and 14(b), we show that ICH reduces the load by 25% from HH for heterogeneous radios, and the load of ICH is low as JS. Note that, mentioned in Sec. 3.1, JS is load-balanced and has optimal load. On the whole, ICH has low TTR and low load for mixtures of heterogeneous and homogeneous radios.

4.2.1 Worst Case for Load

As mentioned in Sec. 3.1, the load will be worst while the mixtures consisting of homogeneous radios only. We verify the load reduction of ICH compared to the HH in the homogeneous environment. We vary the number of homogeneous radios from 10 to 40, as exhibited in Fig. 16, while the average TTR of ICH is competitive with HH, the ICH largely reduces the load by 35% to 50% from the HH. This is because that the congestion gets severer quickly due to the high load of the HH scheme. The load non-intuitively decreases while number of radios increases, however, the number of radios,

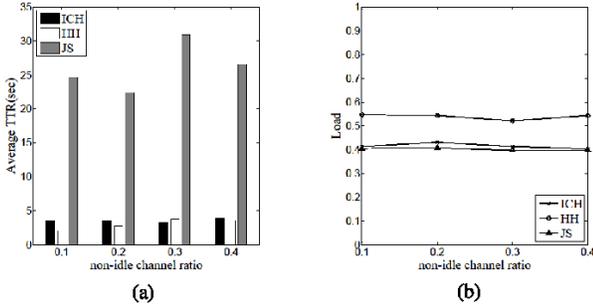


Figure 14: Mixtures of homo & hetero radios: (a) average TTR vs. non-idle channel ratio (b) load vs. non-idle channel ratio

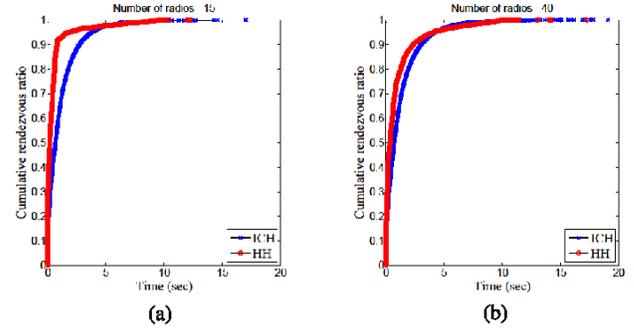


Figure 17: Multiple homogeneous radios: cumulative rendezvous ratio vs. time while number of radios = 15 and 40 respectively

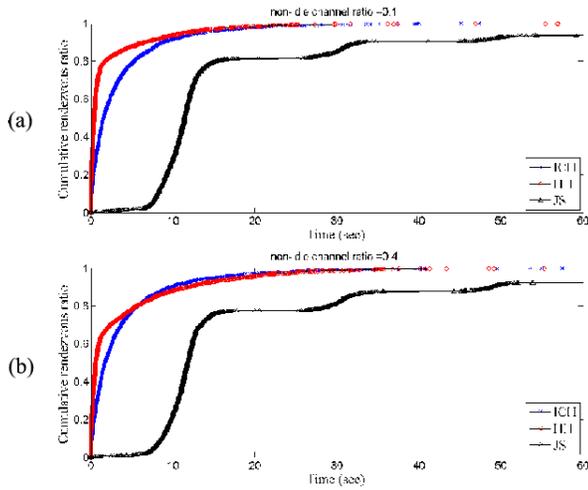


Figure 15: Mixtures of homo & hetero radios: cumulative rendezvous ratio vs. time while (a) non-idle channel ratio = 0.1 and (b) 0.4 respectively

2	0	1	3	2	2	2
2	0	1	3	1	1	1
2	0	1	3	3	3	3
2	0	1	3	0	0	0

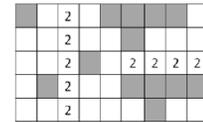


Figure 18: (a) The mapping grid of $V_i = \{0, 1, 2, 3\}$ (b) Let $|V_j| = 5$ and $V_i \cap V_j = \{2\}$, the mapping grid of V_j only shows assignments of channel 2, and marks the corresponding slots V_i hops to channel 2. It cannot guarantee rendezvous in $O(|V_j|^2)$ (or $O(|V_i||V_j|)$) slots.

which hop to the same channel at the same slot, increases. Therefore the congestion becomes more serious when using the HH scheme. Also, the HH has impact of congestion on its immediate slowing down of TTR, where the up-left angle becomes smooth as seen in Fig. 17. This set of simulations show that the ICH is robust to congestion.

5. RELATED WORK

The typical blind rendezvous technique is Channel Hopping (CH). Traditionally, CH schemes for homogeneous radios can be divided into synchronous and asynchronous depend on their environments. In the asynchronous environment, the timer is synchronized among all the radios, so that all the radios can start and hop to a channel simultaneously [13, 20, 17, 3]. However, timer synchronization may not be easily achieved in practice. Asynchronous homogeneous CH schemes are proposed. Bian et al. [5, 4] proposed A-MOCH, and Sym-ACH. A-MOCH requires senders and receivers are known in advance which are not likely known before rendezvous. Sym-ACH assumes each node has a unique ID, and the MAC address seems the only choice, causing the $2^{48}O(|V|^2)$ MTTR. DaSilva et al. [10] proposed another CH scheme under the condition that all radios have the same available channels. Zhang et al. [21] proposed the Asyn-ETCH that needs to pre-construct possible schedules with guaranteed rendezvous, but it is not likely to pre-construct overlapping schedules while $V_i \neq V_j$ and V_j is unknown by i . Lin et al. [14] proposed the JS that uses jump and stay patterns, with length of $2|V|$ and $|V|$ respectively, to ensure rendezvous even when timers are not synchronized.

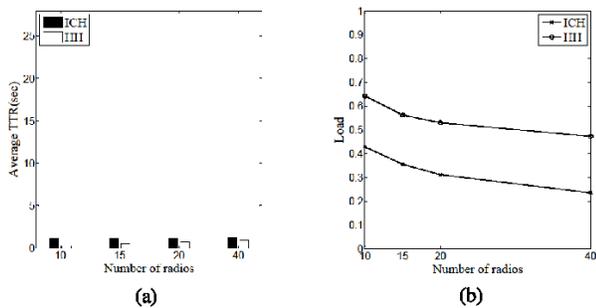


Figure 16: Multiple homogeneous radios: (a) average TTR vs. number of radios (b) load vs. number of radios

For asynchronous heterogeneous environments, Theis et al. proposed the MC [18] based on the number theory. The MC scheme and its modified version cannot guarantee rendezvous if two radios accidentally make the same decision to construct their CH sequences either on the “rate” parameter or on the augmented capability. Romaszko et al. proposed the MtQS-DSrdv [16] that verifies the rendezvous when $|V_i|, |V_j| \leq 8$, but does not provide a theoretical guarantee for all cases under heterogeneous environments. Since the search method for difference set is not detailed in [16], we simplify the MtQS-DSrdv as follows: each radios i i) creates a slot to channel mapping grid of size $|V_i| \cdot (2|V_i| - 1)$, where each row r has slots from $t_i^{[0+r(2|V_i|-1)]}$ to $t_i^{[2|V_i|-2+r(2|V_i|-1)]}$, ii) assigns each channel in V_i to a randomly selected column of slots from the first $|V_i|$ columns, iii) assigns each channel in V_i to a randomly selected rows of the rest empty slots. An example is depicted in the Fig. 18 (a). While $V_i \neq V_j$, the mapping grids need to be pre-constructed to ensure rendezvous, however, it is not sure to find the mapping grids with guarantee. We show a failed example in the Fig. 18 (b).

6. CONCLUSION

In this paper, we study the channel hopping schemes for homogeneous and heterogeneous cognitive radios. We proposed the ICH scheme, an efficient channel hopping scheme which minimizes MTTR and congestion for homogeneous and heterogeneous radios. Extensive simulations showed that our proposal achieves 10 times faster TTR than the state-of-the-art homogeneous scheme and 50% reduction of congestion from the state-of-the-art heterogeneous scheme.

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