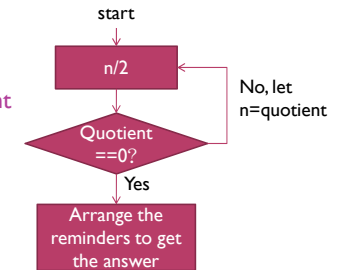


Algorithm

Review what we had learned before

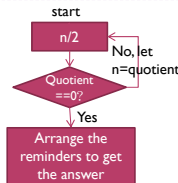
- ▶ Algorithm: A **finite** sequence of instructions to describe a systematical method to solve a problem
- ▶ We can represent the 'decimal-to-binary' algorithm by a flow chart

- ▶ It has starting point [step 1]
- ▶ Step 2 is a condition statement
- ▶ Step 1+step 2 is a loop statement
- ▶ The problem size is shrunk after each loop
- ▶ The loop can be terminated [when quotient ==0]



十進位轉二進位演算法 Three algorithms

- ▶ Problem: converting n_d to m_b .
- ▶ Algorithm 1: as mentioned in the last class
- ▶ Algorithm 2:
 - ▶ $m_b = 0$
 - ▶ For $i = 1$ to n_d
 - ▶ $m_b = m_b + 1$
- ▶ Algorithm 3:

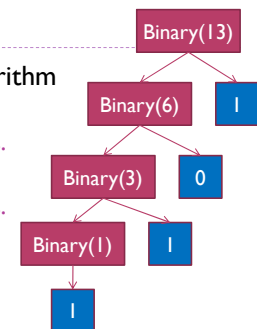


What is the cost of $m_b = m_b + 1$?

- ▶ $m_b = 0$
- ▶ While n_d is not 0
 - ▶ Find $2^k \leq n_d < 2^{k+1}$ → What is the cost of finding k ?
 - ▶ $m_b = m_b + 2^k$ → The cost of $m_b + 2^k$ is just putting 1 at the k th position of m_b
 - ▶ $n_d = n_d - 2^k$ → What is the cost of $n_d = n_d - 2^k$?

Recursive algorithm

- ▶ The first algorithm is a recursive algorithm
 - ▶ To answer what the binary of 13_d is, you need to answer what the binary of 6_d is.
 - ▶ To answer what the binary of 6_d is, you need to answer what the binary of 3_d is.
 - ▶ To answer what the binary of 3_d is, you need to answer what the binary of 1_d is.



- ▶ Recursive algorithm
 - ▶ Turn a big problem into one or several smaller subproblems
 - ▶ Each subproblem is identical to the original one except size
 - ▶ Thus, they can be solved by the same method.
 - ▶ Need a termination condition.

Algorithm

- ▶ An **effective** method for **solving a problem** using a **finite** sequence of **instructions**.
 - ▶ It need be able to solve the problem. (correctness)
 - ▶ It can be represented by a finite number of instructions.
 - ▶ Each instruction must be achievable by computers
 - ▶ Assignment, if-then-else statement, loop statement,
 - ▶ The more effective, the better algorithm is.
 - ▶ How to measure the "efficiency"?
- ▶ Outline
 - ▶ Sorting problem
 - ▶ Correctness, efficiency, recursion



Sorting problem

- ▶ Given N numbers, arrange them in the ascending order.
- ▶ Algorithm: (in ascending order)
 - ▶ Find the smallest element from the list
 - ▶ Recursively sort the rest
- ▶ In the way that computer can do it


```
void SelectionSort(int n, int a[]){
    if (n==1) return;
    int index = FindSmallest(start, end, a[]);
    Swap(a[0], a[index]);
    SelectionSort(n-1, a[1:n-1]);
}
```



How to prove the correctness?

- ▶ Using Induction
 - ▶ For $n=1$, the smallest number is the only number. Therefore, it is sorted.
 - ▶ Assume for $n=k$, the SelectionSort can sort k numbers correctly.
 - ▶ For $n=k+1$, the SelectionSort first finds the smallest element and moves it to $a[0]$, and then sorts the rest k elements.
 - ▶ Since the SelectionSort can sort k elements correctly, and the $a[0]$ is smaller than or equal to other k elements, the output array contains the sorted elements in the ascending order.
 - ▶ By induction, the SelectionSort is correct.



How efficient is this algorithm?

- ▶ How many data comparisons is needed?
 - ▶ $N(N-1)/2$ inside the FindSmallest
 - ▶ N for checking $N==1$
- ▶ How many data movements is needed?
 - ▶ $N(N-1)$ for the FindSmallest
 - ▶ $N-1$ for Swap
- ▶ How many times SelectionSort is called?
 - ▶ $N-1$ times
- ▶ If N is doubled, what will 1 and 2 be changed?
 - ▶ They will be quadrupled (4X)
 - ▶ Number of calls for SelectionSort will be doubled.



Big-theta notation

- ▶ Which one is better? $3000 \cdot N + 9999$ or $0.1 \cdot N^2$
- ▶ We say $f(x) = \Theta(g(x))$ if $\exists M_1, M_2, k > 0$ and for all $x > k$, $M_1 g(x) \leq f(x) \leq M_2 g(x)$

- ▶ $f(n) = 3000 \cdot n + 9999 = \Theta(n)$
- ▶ $f(n) = 0.1 \cdot n^2 = \Theta(n^2)$



Running time and time complexity

- ▶ Suppose your CPU has clock rate 3G HZ (3×10^9) and each operation only takes 1 clock cycle to finish.

Time complexity	N=50	N=51	N=100	N=10 ⁶	N=10 ¹²
$\Theta(1)$	100 s	100 s	100 s	100 s	100 s
$\Theta(\log_2 N)$	$1.88 \cdot 10^{-9}$ s	$1.89 \cdot 10^{-9}$ s	$2.21 \cdot 10^{-9}$ s	$2.21 \cdot 10^{-9}$ s	$1.3 \cdot 10^{-8}$ s
$\Theta(N^{1/2})$	$2.36 \cdot 10^{-9}$ s	$2.38 \cdot 10^{-9}$ s	$3.33 \cdot 10^{-9}$ s	$3.33 \cdot 10^{-7}$ s	$3.33 \cdot 10^{-5}$ s
$\Theta(N)$	$1.666 \cdot 10^{-8}$ s	$1.7 \cdot 10^{-8}$ s	$3.33 \cdot 10^{-8}$ s	$3.33 \cdot 10^{-4}$ s	5.56 minutes
$\Theta(N \cdot \log_2 N)$	$9.4 \cdot 10^{-8}$ s	$9.6 \cdot 10^{-8}$ s	$2.21 \cdot 10^{-7}$ s	$6.64 \cdot 10^{-3}$ s	110 minutes
$\Theta(N^2)$	$8.33 \cdot 10^{-7}$ s	$8.67 \cdot 10^{-7}$ s	$3.33 \cdot 10^{-6}$ s	5.56 minutes	10 ⁷ year
$\Theta(2^N)$	4.34 days	8.69 days	$\sim 10^{13}$ years		
$\Theta(N!)$	$\sim 10^{47}$ years	$\sim 10^{49}$ years	$\sim 10^{140}$ years		



Can we do better?

- ▶ If N is halved, what will the time complexity be?
 - ▶ Assume the number of operations for the SelectionSort is $T(N) = 3N(N-1) + 3N = 3N^2$
 - ▶ Sorting two N/2 numbers takes $T(N/2) + T(N/2) = 3/2N^2$
- ▶ How to merge two sorted sequences?



How many comparisons and data movement are required?

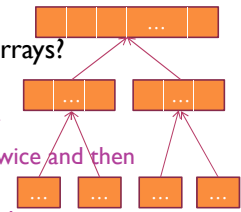
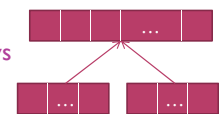
After each comparison, an element will be moved to the new array.

N comparison+data movements to merge two sorted arrays



Two new algorithms

- ▶ We can do the sort as follows
 1. Divide the N elements into two N/2 arrays
 2. Use SelectionSort to sort two N/2 arrays
 3. Merge two sorted arrays
- ▶ The time complexity for the above algorithm is $3/2N^2 + N$
 - ▶ Better than the original one $3N^2$.
- ▶ What if we divide the data to four N/4 arrays?
 - ▶ SelectionSort N/4 data takes $3/16N^2$
 - ▶ There are four N/4 arrays to sort $\rightarrow 3/4N^2$
 - ▶ When merging, we merge two N/4 arrays twice and then merge two N/2 arrays $\rightarrow 2N$
 - ▶ The total time of the algorithm is $3/4N^2 + 2N$



MergeSort

- ▶ Although both algorithm improves the original SelectionSort, the time complexity of them is still $\Theta(N^2)$
 - ▶ When N is doubled, the time for them is quadrupled.
- ▶ What if we apply the splitting and merging recursively?
 1. Divide the N elements into two $N/2$ arrays
 2. Use MergeSort to sort two $N/2$ arrays
 3. Merge two sorted arrays
- ▶ What is the time complexity of MergeSort?
 - ▶ Let $T(N)$ be the time complexity of MergeSort

$$T(N) = 2T(N/2) + 2N$$
 - ▶ Let $T(1) = 1$. What is $T(N)$?

Pictorial view of $T(N)$

- ▶ We can arrange the MergeSorts into layers
 - ▶ The MergeSorts in each layer have the same number of data
 - ▶ There are 2^k MergeSorts in Layer k .
 - ▶ The number of layers is
 - ▶ Merging 2^k segments into 2^{k-1} segments takes
 - ▶ The time complexity of MergeSort is $N \cdot \log_2 N$
 - ▶ When N is doubled, $\log_2 2N = \log_2 N + 1$.

